PERSONAL FI	INANCIAL STATEMENT		FORM PFS COVER SHEET
Filed in accord	PAGE# Pag	ge 1 of 67	
	011, covering calendar year ending December 31, <b>2010</b> .  - INSTRUCTION GUIDE when completing this form.	ACCOUNT # 000	020990
1 NAME	TITLE, FIRST, MI	OFFICE	USE ONLY
	Sen. Royce	Date Received	teran opti brusan tuge.
	NICKNAME, LAST, SUFFIX	KEU	EIVED
	West	JUL 0	1 5 2011
2 ADDRESS		Texas Ethics	s Commission
. ,	320 South R. L. Thornton Freeway Suite 300	Receipt #	
!	Dallas, TX 75203-1804	HD (PM) 6/29	Amount
!	CHECK IS SHEDIS HOME ADDRESS)	Legal Date Processed	
3 TELEPHONE	AREA CODE NUMBER; EXTENSION	PROCESSED	JUL 0 5 2011
NUMBER		Date Imaged	
4 REASON FOR FILING			
STATEMENT	CANDIDATE		_ (INDICATE OFFICE)
	☐ ELECTED OFFICER Texas Senator, District 23		_ (INDICATE OFFICE)
	APP0INTED OFFICER		_ (INDICATE AGENCY)
	☐ EXECUTIVE HEAD		_ (INDICATE AGENCY)
	☐ FORMER OR RETIRED JUDGE SITTING BY ASSIGNMENT		
	☐ STATE PARTY CHAIR		(INDICATE PARTY)
	□ OTHER		(INDICATE POSITION)
5 Family members whose f spouse or dependent chil	I financial activity you are reporting (filer must report information about ildren if the filer had actual control over that activity):	the financial acti	vity of the filer's
SPOUSE			
DEPENDENT CHILD 1.			
2.			
3.			
required to disclose not only over that person's financial a	•	ent child if you ha	d actual control
61	COPY AND ATTACH ADDITIONAL PAGES AS NECES	SSARY R	195370

## PERSONAL FINANCIAL STATEMENT PARTS MARKED 'NOT APPLICABLE' BY FILER

Rather than printing a page for each Part the filer checked 'Not Applicable,' this page summarizes whether the 'Not Applicable' checkbox was checked for each Part. If the checkbox is checked next to a Part below, then no pages for that Part should be present in the report. If a checkbox is not checked, then pages for that Part should be present in the report.

	□ N/A	Part 1A - Sources of Occupational Income
	□ N/A	Part 1B - Retainers
Ε	] N/A	Part 2 - Stock
	] N/A	Part 3 - Bonds, Notes & Other Commercial Paper
	] N/A	Part 4 - Mutual Funds
	] N/A	Part 5 - Income from Interest, Dividends, Royalties & Rents
	] N/A	Part 6 - Personal Notes and Lease Agreements
	] N/A	Part 7A - Interests in Real Property
Ε	] N/A	Part 7B - Interests in Business Entities
Σ	N/A	Part 8 - Gifts
Þ	N/A	Part 9 - Trust Income
×	N/A	Part 10A - Blind Trusts
Σ	N/A	Part 10B - Trustee Statement
	] N/A	Part 11A - Assets of Business Associations
	] N/A	Part 11B - Liabilities of Business Associations
	] N/A	Part 12 - Boards and Executive Positions
Е	] N/A	Part 13 - Expenses Accepted Under Honorarium Exception
X	] N/A	Part 14 - Interest in Business in Common with Lobbyist
	] N/A	Part 15 - Fees Received for Services Rendered to a Lobbyist or Lobbyist's Employer
X	] N/A	Part 16 - Representation by Legislator Before State Agency
X	Î N/A	Part 17 - Benefits Derived from Functions Honoring Public Servant
Σ	N/A	Part 18 - Legislative Continuances

SOURCES OF OCC	UPATIONAL	INCOME	PART 1A
	ut a dependent child's ch the child is listed on	activity, indicate the child the Cover Sheet.	l about whom you are reporting by
1 INFORMATION RELATES TO	☑ FILER	☐ SPOUSE	☐ DEPENDENT CHILD
2 EMPLOYMENT			MPLOYER / POSITION HELD
	State of Texas Se	-	's Home Address)
	State Capital 1400 N. Congress Austin, TX 78701	Ave., Rm 1E.15	
	State Senator		
SELF-EMPLOYED	State Senator	NATURE OF	OCCUPATION
INFORMATION RELATES TO	☑ FILER	☐ SPOUSE	DEPENDENT CHILD
EMPLOYMENT		_	MPLOYER / POSITION HELD
☐ EMPLOYED BY ANOTHER	West & Associates	☐ (Check if Filer	s nome Address)
	320 South R. L. Th Suite 300 Dallas, TX 75203-	-	
	Attorney		
	Legal	NATURE OF	OCCUPATION
INFORMATION RELATES TO			
IN ONWATION RELATED TO	☐ FILER	☐ SPOUSE	☐ DEPENDENT CHILD
EMPLOYMENT			MPLOYER / POSITION HELD
☐ EMPLOYED BY ANOTHER	D \	(Check if Filer	's Home Address)
	Royce West & Ass 320 South R. L. Th Suite 300 Dallas, TX 75203-	ornton Freeway	•
	President		
X SELF-EMPLOYED	Legal	NATURE OF	OCCUPATION
CO	DV AND ATTACU A	DDITIONAL PAGES A	C NECESCA DV

Гехаs Ethics Commission	P.O. Box 12070	Austin, Texas 78711-2070	(512)463-5800	1-800-325-8506
RETAINERS				PART 1B

RETAINERS	PART 1B
☐ NOT APPLICABLE	
your spouse, or a dependent chi services on a matter specified at the work actually performed duri see FORM PFS - INSTRUCTION	ived as a retainer by you, your spouse, or a dependent child (or by a business in which you, ild have a 'substantial interest') for a claim on future services in case of need, rather than for a the time of contracting for or receiving the fee. Report information here only if the value of ng the calendar year did not equal or exceed the value of the retainer. For more information, N GUIDE at a dependent child's activity, indicate the child about whom you are reporting by the child is listed on the Cover Sheet.
1	NAME AND ADDRESS
FEE RECEIVED FROM	Texas Instruments
	12500 TI Boulevard Dallas, TX 75243-0592
2	NAME OF BUSINESS
FEE RECEIVED BY	☐ FILER West & Associates LLP OR FILER'S BUSINESS
	☐ SPOUSE OR SPOUSE'S BUSINESS
	DEPENDENT CHILD OR CHILD'S BUSINESS
3 FEE AMOUNT	☐ LESS THAN \$5,000 ☐ \$5,000 - \$9,999 ☐ \$10,000 - \$24,999 ☒ \$25,000OR MORE
CO	PY AND ATTACH ADDITIONAL PAGES AS NECESSARY

STOCK					PART 2
☐ NOT APPLICAE	3LE				
List each business entity in which you, your spouse, or a dependent child held or acquired stock during the calendar year and indicate the category of the number of shares held or acquired. If some or all of the stock was sold, also indicate the category of the amount of the net gain or loss realized from the sale. For more information, see FORM PFSINSTRUCTION GUIDE.					
When reporting information about a dependent child's activity, indicate the child about whom you are reporting by providing the number under which the child is listed on the Cover Sheet.					
<sup>1</sup> BUSINESS ENTITY	(	ACTIVISION BLIZZAR		AME .	
2 STOCK HELD OR	ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHIL	_D
<sup>3</sup> NUMBER OF SHAP	RES	X LESS THAN 100 ☐ 5,000 TO 9,999	☐ 100 TO 499 ☐ 10,000 OR MORE	☐ 500 TO 999	1,000 TO 4,999
<sup>4</sup> IF SOLD	☐ NET GAIN ☑ NET LOSS	☑ LESS THAN \$5,000	\$5,000 - \$9,999	<b>\$10,000 - \$24,999</b>	\$25,000OR MORE
BUSINESS ENTITY	1	AGNICO EAGLES MIN		AME	
STOCK HELD OR ACQUIRED BY		☑ FILER	SPOUSE	DEPENDENT CHIL	.D
NUMBER OF SHARES		☑ LESS THAN 100	☐ 100 TO 499	☐ 500 TO 999	☐ 1,000 TO 4,999
		☐ 5,000 TO 9,999	10,000 OR MORE		
IF SOLD ☐ NET GAIN ☑ NET LOSS		☑ LESS THAN \$5,000	\$5,000 - \$9,999	<b>\$10,000 - \$24,999</b>	\$25,000OR MORE
BUSINESS ENTITY	,	ALLEGHENY TECH IN		AME	
STOCK HELD OR A	ACQUIRED BY	X FILER	SPOUSE	☐ DEPENDENT CHIL	.D
NUMBER OF SHAF	≀ES		☐ 100 TO 499 ☐ 10,000 OR MORE	☐ 500 TO 999	☐ 1,000 TO 4,999
IF SOLD	X NET GAIN ☐ NET LOSS	☑ LESS THAN \$5,000	\$5,000 - \$9,999	<b>\$10,000 - \$24,999</b>	\$25,000OR MORE
BUSINESS ENTITY		APPLE INC	NA	ME	
STOCK HELD OR A	ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHIL	D
NUMBER OF SHAF	RES	X LESS THAN 100  ☐ 5,000 TO 9,999	☐ 100 TO 499 ☐ 10,000 OR MORE	☐ 500 TO 999	☐ 1,000 TO 4,999
IF SOLD	X NET GAIN ☐ NET LOSS	X LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY		AVERY DENNISON CO		ME	
STOCK HELD OR A	CQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILI	D
NUMBER OF SHAR	ES	<ul><li>X LESS THAN 100</li><li>D 5,000 TO 9,999</li></ul>	☐ 100 TO 499 ☐ 10,000 OR MORE	☐ 500 TO 999	☐ 1,000 TO 4,999
IF SOLD	NET GAIN     □ NET LOSS	X LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
COPY AND ATTACH ADDITIONAL PAGES AS NECESSARY					

STOCK				PART 2	
☐ NOT APPLICABLE					
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1 BUSINESS ENTITY AVON PROD INC					
2 STOCK HELD OR ACQUIRED BY	∏ FILER	SPOUSE	DEPENDENT CHIL	.D	
<sup>3</sup> NUMBER OF SHARES	X LESS THAN 100  □ 5,000 TO 9,999	☐ 100 TO 499 ☐ 10,000 OR MORE	☐ 500 TO 999	☐ 1,000 TO 4,999	
4 IF SOLD ☐ NET GAIN ☐ NET LOSS	X LESS THAN \$5,000	\$5,000 - \$9,999	<b>\$10,000 - \$24,999</b>	\$25,000OR MORE	
BUSINESS ENTITY	BAXTER INTERNTL II		AME		
STOCK HELD OR ACQUIRED BY	☑ FILER	SPOUSE	DEPENDENT CHIL	D	
NUMBER OF SHARES	X LESS THAN 100	☐ 100 TO 499	☐ 500 TO 999	☐ 1,000 TO 4,999	
	☐ 5,000 TO 9,999	10,000 OR MORE	:		
IF SOLD ☐ NET GAIN ☐ NET LOSS	X LESS THAN \$5,000	\$5,000 - \$9,999	<b>\$10,000 - \$24,999</b>	\$25,000OR MORE	
BUSINESS ENTITY	BORG WARNER INC COM				
STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHIL	D	
NUMBER OF SHARES	<ul><li>X LESS THAN 100</li><li>D 5,000 TO 9,999</li></ul>	☐ 100 TO 499 ☐ 10,000 OR MORE	☐ 500 TO 999	☐ 1,000 TO 4,999	
IF SOLD ☐ NET GAIN ☐ NET LOSS	X LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE	
BUSINESS ENTITY	CANDIAN PACIFIC RA		ME		
STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHIL	D	
NUMBER OF SHARES		☐ 100 TO 499 ☐ 10,000 OR MORE	☐ 500 TO 999	☐ 1,000 TO 4,999	
IF SOLD ⊠ NET GAIN ☐ NET LOSS	X LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE	
BUSINESS ENTITY	CERNER CORP COM		ME		
STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHIL	D	
NUMBER OF SHARES	X LESS THAN 100  ☐ 5,000 TO 9,999	☐ 100 TO 499 ☐ 10,000 OR MORE	☐ 500 TO 999	☐ 1,000 TO 4,999	
IF SOLD ⊠ NET GAIN ☐ NET LOSS	X LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE	
COPY AND ATTACH ADDITIONAL PAGES AS NECESSARY					

STOCK					PART 2	
☐ NOT APPLICAE	□ NOT APPLICABLE					
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<sup>1</sup> BUSINESS ENTITY	Y	CHICO FAS INC COM		AME		
2 STOCK HELD OR	ACQUIRED BY	∏ FILER	SPOUSE	DEPENDENT CHIL	D	
<sup>3</sup> NUMBER OF SHAI	RES	LESS THAN 100	<ul><li>X 100 TO 499</li><li>☐ 10,000 OR MORE</li></ul>	☐ 500 TO 999	☐ 1,000 TO 4,999	
<sup>4</sup> IF SOLD	☐ NET GAIN ☑ NET LOSS	X LESS THAN \$5,000	<b>55,000 - \$9,999</b>	<b>\$10,000 - \$24,999</b>	\$25,000OR MORE	
BUSINESS ENTITY	<u> </u>	CHURCH J DWIGHT (		AME		
STOCK HELD OR	ACQUIRED BY	☑ FILER	SPOUSE	DEPENDENT CHIL	D	
NUMBER OF SHAF	RES		☐ 100 TO 499 ☐ 10,000 OR MORE	☐ 500 TO 999	1,000 TO 4,999	
IF SOLD	NET GAIN ☐ NET LOSS	X LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE	
BUSINESS ENTITY CISCO SYSTEMS INC COM						
BUSINESS ENTITY	<b>(</b>	CISCO SYSTEMS INC		ME		
BUSINESS ENTITY STOCK HELD OR A	· · · · · · · · · · · · · · · · · · ·	CISCO SYSTEMS INC		ME DEPENDENT CHIL	D	
	ACQUIRED BY		COM	DEPENDENT CHIL	D 1,000 TO 4,999	
STOCK HELD OR	ACQUIRED BY	☐ LESS THAN 100	SPOUSE  100 TO 499	DEPENDENT CHIL		
STOCK HELD OR A	ACQUIRED BY RES NET GAIN NET LOSS	☐ LESS THAN 100☐ 5,000 TO 9,999	COM  SPOUSE  100 TO 499  10,000 OR MORE  \$5,000 - \$9,999	DEPENDENT CHIL	1,000 TO 4,999	
STOCK HELD OR A NUMBER OF SHAF IF SOLD	ACQUIRED BY RES NET GAIN NET LOSS	☐ LESS THAN 100☐ 5,000 TO 9,999☐ ☐ LESS THAN \$5,000☐	COM  SPOUSE  100 TO 499  10,000 OR MORE  \$5,000 - \$9,999	☐ DEPENDENT CHIL ☐ 500 TO 999 ☐ \$10,000 - \$24,999	1,000 TO 4,999  \$25,000OR MORE	
STOCK HELD OR AN NUMBER OF SHAF	ACQUIRED BY RES NET GAIN NET LOSS	☐ LESS THAN 100☐ 5,000 TO 9,999☐ ☐ LESS THAN \$5,000☐ COGNIZANT TECH SO	COM SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	☐ DEPENDENT CHIL ☐ 500 TO 999 ☐ \$10,000 - \$24,999  ME ☐ DEPENDENT CHIL ☐ 500 TO 999	1,000 TO 4,999  \$25,000OR MORE	
STOCK HELD OR A NUMBER OF SHAF  IF SOLD  BUSINESS ENTITY  STOCK HELD OR A	ACQUIRED BY RES NET GAIN NET LOSS	☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000 ☐ COGNIZANT TECH SO ☐ FILER ☐ LESS THAN 100	SPOUSE  \[ \begin{align*}	☐ DEPENDENT CHIL ☐ 500 TO 999 ☐ \$10,000 - \$24,999  ME ☐ DEPENDENT CHIL ☐ 500 TO 999	1,000 TO 4,999  \$25,000OR MORE	
STOCK HELD OR ANUMBER OF SHAFE  IF SOLD  BUSINESS ENTITY  STOCK HELD OR ANUMBER OF SHAFE	ACQUIRED BY RES  NET GAIN NET LOSS  ACQUIRED BY RES  NET GAIN NET LOSS	☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000 ☐ COGNIZANT TECH SO ☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999	SPOUSE  \[ \begin{align*} SPOUSE \\ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \	DEPENDENT CHIL  500 TO 999  \$10,000 - \$24,999  ME  DEPENDENT CHIL  500 TO 999	1,000 TO 4,999  \$25,000OR MORE  D  1,000 TO 4,999	
STOCK HELD OR A NUMBER OF SHAF  IF SOLD  BUSINESS ENTITY  STOCK HELD OR A NUMBER OF SHAF	ACQUIRED BY RES  NET GAIN NET LOSS  ACQUIRED BY RES  NET GAIN NET LOSS	☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000 ☐ COGNIZANT TECH SO ☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000	SPOUSE  \[ \begin{align*} SPOUSE \\ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \	☐ DEPENDENT CHIL ☐ 500 TO 999 ☐ \$10,000 - \$24,999  ME ☐ DEPENDENT CHIL ☐ 500 TO 999 ☐ \$10,000 - \$24,999	1,000 TO 4,999  \$25,000OR MORE  1,000 TO 4,999  1,000 TO 4,999	
STOCK HELD OR ANUMBER OF SHAFE  IF SOLD  BUSINESS ENTITY  STOCK HELD OR ANUMBER OF SHAFE  IF SOLD  BUSINESS ENTITY	ACQUIRED BY RES  NET GAIN NET LOSS  ACQUIRED BY RES  NET GAIN NET LOSS  ACQUIRED BY	☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000 ☐ COGNIZANT TECH SO ☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000 ☐ COPPER INDUSTRIES	S COM  SPOUSE  100 TO 499  10,000 OR MORE  \$5,000 - \$9,999  DLUTNS A  SPOUSE  100 TO 499  10,000 OR MORE  \$5,000 - \$9,999	☐ DEPENDENT CHIL ☐ 500 TO 999  ☐ \$10,000 - \$24,999  ME ☐ DEPENDENT CHIL ☐ 500 TO 999  ME ☐ DEPENDENT CHIL ☐ 500 TO 999	1,000 TO 4,999  \$25,000OR MORE  1,000 TO 4,999  1,000 TO 4,999	
STOCK HELD OR A NUMBER OF SHAF  IF SOLD  BUSINESS ENTITY  STOCK HELD OR A NUMBER OF SHAF  IF SOLD  BUSINESS ENTITY  STOCK HELD OR A	ACQUIRED BY RES  NET GAIN NET LOSS  ACQUIRED BY RES  NET GAIN NET LOSS  ACQUIRED BY	☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000 ☐ COGNIZANT TECH SO ☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000 ☐ COPPER INDUSTRIES ☐ FILER ☐ LESS THAN 100	SPOUSE     100 TO 499   10,000 OR MORE     10,000 OR MORE     10,000 OR MORE     100 TO 499   10,000 OR MORE     \$5,000 - \$9,999     10,000 OR MORE     \$5,000 - \$9,999     S PLC     SPOUSE     100 TO 499       100 TO 499	☐ DEPENDENT CHIL ☐ 500 TO 999  ☐ \$10,000 - \$24,999  ME ☐ DEPENDENT CHIL ☐ 500 TO 999  ME ☐ DEPENDENT CHIL ☐ 500 TO 999	1,000 TO 4,999  \$25,000OR MORE  1,000 TO 4,999  1,000 TO 4,999  \$25,000OR MORE	

P.O. Box 12070

STOCK				PART 2	
☐ NOT APPLICABLE					
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<sup>1</sup> BUSINESS ENTITY	COPA HOLDINGS SA		AME		
2 STOCK HELD OR ACQUIRED BY	/ X FILER	SPOUSE	DEPENDENT CHIL	_D	
<sup>3</sup> NUMBER OF SHARES	X LESS THAN 100  ☐ 5,000 TO 9,999	☐ 100 TO 499 ☐ 10,000 OR MORE	☐ 500 TO 999	1,000 TO 4,999	
4 IF SOLD ☐ NET GAIN ☐ NET LOSS	☑ LESS THAN \$5,000	\$5,000 - \$9,999	<b>\$10,000 - \$24,999</b>	\$25,000-OR MORE	
BUSINESS ENTITY	CORNING INC	NA	AME		
STOCK HELD OR ACQUIRED BY	✓ X FILER	SPOUSE	DEPENDENT CHIL	D	
NUMBER OF SHARES	LESS THAN 100	X 100 TO 499	☐ 500 TO 999	1,000 TO 4,999	
	5,000 TO 9,999	10,000 OR MORE			
IF SOLD ⊠ NET GAIN ☐ NET LOSS	☑ LESS THAN \$5,000	\$5,000 - \$9,999	<b>\$10,000 - \$24,999</b>	☐ \$25,000-OR MORE	
BUSINESS ENTITY	CORRECTIONS CORP OF AMER				
STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHIL	D	
NUMBER OF SHARES	LESS THAN 100 '	<ul><li>X 100 TO 499</li><li> 10,000 OR MORE</li></ul>	☐ 500 TO 999	☐ 1,000 TO 4,999	
IF SOLD ⊠ NET GAIN ☐ NET LOSS	☑ LESS THAN \$5,000	<b>\$5,000 - \$9,999</b>	<b>\$10,000 - \$24,999</b>	☐ \$25,000OR MORE	
BUSINESS ENTITY	CREE INC	N.A	ME		
STOCK HELD OR ACQUIRED BY		SPOUSE	DEPENDENT CHIL	D	
NUMBER OF SHARES	X LESS THAN 100  ☐ 5,000 TO 9,999	☐ 100 TO 499 ☐ 10,000 OR MORE	☐ 500 TO 999	☐ 1,000 TO 4,999	
IF SOLD ☐ NET GAIN ☐ NET LOSS	☑ LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE	
BUSINESS ENTITY	DIGITAL RLTY TR INC		ME		
STOCK HELD OR ACQUIRED BY	☐ FILER	SPOUSE	DEPENDENT CHIL	D	
NUMBER OF SHARES	☐ 5,000 TO 9,999	☐ 100 TO 499 ☐ 10,000 OR MORE	☐ 500 TO 999	☐ 1,000 TO 4,999	
IF SOLD ⊠ NET GAIN ☐ NET LOSS	X LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE	
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l	STOCK					PART 2
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	When reporting information about a dependent child's activity, indicate the child about whom you are reporting by providing the number under which the child is listed on the Cover Sheet.					eporting by
	BUSINESS ENTITY	Y	DOLBY LABORATOR		AME	
2	STOCK HELD OR	ACQUIRED BY		SPOUSE	DEPENDENT CHIL	.D
3	NUMBER OF SHAI	RES	X LESS THAN 100  ☐ 5,000 TO 9,999	☐ 100 TO 499 ☐ 10,000 OR MORE	☐ 500 TO 999	☐ 1,000 TO 4,999
4	F SOLD	☐ NET GAIN  X NET LOSS	☑ LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
	BUSINESS ENTITY	(	DOW CHEMICAL CO	N.A	ME	
	STOCK HELD OR	ACQUIRED BY		SPOUSE	DEPENDENT CHIL	.D
	NUMBER OF SHAF	RES	X LESS THAN 100 ☐ 5,000 TO 9,999	☐ 100 TO 499 ☐ 10,000 OR MORE	☐ 500 TO 999	☐ 1,000 TO 4,999
	IF SOLD	X NET GAIN ☐ NET LOSS	☑ LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000-OR MORE
	BUSINESS ENTITY	,	DR REDDY LAB LTD		ME	
	STOCK HELD OR	ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHIL	D
	NUMBER OF SHAF	RES	☑ LESS THAN 100 ☐ 5,000 TO 9,999	☐ 100 TO 499 ☐ 10,000 OR MORE	☐ 500 TO 999	☐ 1,000 TO 4,999
	IF SOLD	X NET GAIN ☐ NET LOSS	X LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
	BUSINESS ENTITY	,	DREAMWORKS ANIM		ME	
	STOCK HELD OR A	ACQUIRED BY	X FILER	SPOUSE	☐ DEPENDENT CHIL	D
	NUMBER OF SHAF	RES	X LESS THAN 100  ☐ 5,000 TO 9,999	☐ 100 TO 499 ☐ 10,000 OR MORE	☐ 500 TO 999	1,000 TO 4,999
	IF SOLD	☐ NET GAIN  ☑ NET LOSS	X LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
	BUSINESS ENTITY		EASTMAN CHEMICAL	. CO COM	ME	
	STOCK HELD OR A	CQUIRED BY	X FILER	SPOUSE	☐ DEPENDENT CHIL	D
	NUMBER OF SHAR	RES	X LESS THAN 100  ☐ 5,000 TO 9,999	☐ 100 TO 499 ☐ 10,000 OR MORE	☐ 500 TO 999	1,000 TO 4,999
	IF SOLD	NET GAIN ☐ NET LOSS	X LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
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When reporting information about a dependent child's activity, indicate the child about whom you are reporting by providing the number under which the child is listed on the Cover Sheet.					
<sup>1</sup> BUSINESS ENTITY	Y	EBAY INC COM	N.	AME	
2 STOCK HELD OR	ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHIL	LD
<sup>3</sup> NUMBER OF SHAP	RES	X LESS THAN 100 ☐ 5,000 TO 9,999	☐ 100 TO 499 ☐ 10,000 OR MORE	500 TO 999	☐ 1,000 TO 4,999
<sup>4</sup> IF SOLD	☐ NET GAIN  X NET LOSS	X LESS THAN \$5,000	<b>55,000 - \$9,999</b>	<b>\$10,000 - \$24,999</b>	\$25,000OR MORE
BUSINESS ENTITY	(	FIBRIA CELULOSE SA		AME	
STOCK HELD OR	ACQUIRED BY		SPOUSE	DEPENDENT CHIL	D
NUMBER OF SHAF	RES	X LESS THAN 100  ☐ 5,000 TO 9,999	☐ 100 TO 499 ☐ 10,000 OR MORE	☐ 500 TO 999	1,000 TO 4,999
IF SOLD	☐ NET GAIN  ■ NET LOSS	☑ LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000-OR MORE
BUSINESS ENTITY	,	FMC TECHS INC COM		ME	
STOCK HELD OR A	ACQUIRED BY	☑ FILER	SPOUSE	DEPENDENT CHIL	.D
NUMBER OF SHAF	RES		☐ 100 TO 499 ☐ 10,000 OR MORE	☐ 500 TO 999	☐ 1,000 TO 4,999
IF SOLD	☐ NET GAIN ☑ NET LOSS	☑ LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY		FORD MOTOR CO NE		ME	
STOCK HELD OR A	ACOURED BY		☐ SPOUSE	DEPENDENT CHIL	n
NUMBER OF SHAR		X LESS THAN 100	☐ 100 TO 499	☐ 500 TO 999	1,000 TO 4,999
		5,000 TO 9,999	☐ 10,000 OR MORE	_	1,000 10 4,333
IF SOLD	☐ NET GAIN  ☑ NET LOSS	X LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY		FREEPORT-MCMRAN		ME	
STOCK HELD OR A	CQUIRED BY	X FILER	SPOUSE	DEPENDENT CHIL	D
NUMBER OF SHAR	ES	X LESS THAN 100  ☐ 5,000 TO 9,999	☐ 100 TO 499 ☐ 10,000 OR MORE	☐ 500 TO 999	☐ 1,000 TO 4,999
IF SOLD	☐ NET GAIN ☑ NET LOSS	X LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
COPY AND ATTACH ADDITIONAL PAGES AS NECESSARY					

STOCK					PART 2	
☐ NOT APPLICABLE						
List each business entity in which you, your spouse, or a dependent child held or acquired stock during the calendar year and indicate the category of the number of shares held or acquired. If some or all of the stock was sold, also indicate the category of the amount of the net gain or loss realized from the sale. For more information, see FORM PFSINSTRUCTION GUIDE.						
When reporting information at providing the number under w	When reporting information about a dependent child's activity, indicate the child about whom you are reporting by providing the number under which the child is listed on the Cover Sheet.					
<sup>1</sup> BUSINESS ENTITY	GANNETT CO					
2 STOCK HELD OR ACQUIR	₹ED BY	☑ FILER	SPOUSE	DEPENDENT CHIL	LD	
<sup>3</sup> NUMBER OF SHARES		☐ LESS THAN 100 ☐ 5,000 TO 9,999	X 100 TO 499  ☐ 10,000 OR MORE	☐ 500 TO 999	☐ 1,000 TO 4,999	
4 IF SOLD NET	ΓGAIN ΓLOSS	☑ LESS THAN \$5,000	\$5,000 - \$9,999	<b>\$10,000 - \$24,999</b>	\$25,000OR MORE	
BUSINESS ENTITY		GIVAUDAN SA UNSP	ADR	AME		
STOCK HELD OR ACQUIR	ED BY	☑ FILER	SPOUSE	DEPENDENT CHIL	.D	
NUMBER OF SHARES		X LESS THAN 100  ☐ 5,000 TO 9,999	☐ 100 TO 499 ☐ 10,000 OR MORE	☐ 500 TO 999	1,000 TO 4,999	
IF SOLD ☑ NET	GAIN	☑ LESS THAN \$5,000	\$5,000 - \$9,999	<b>\$10,000 - \$24,999</b>	\$25,000-OR MORE	
BUSINESS ENTITY		GOLDMAN SACHS GR		AME		
STOCK HELD OR ACQUIR	ED BY		SPOUSE	DEPENDENT CHIL	.D	
NUMBER OF SHARES		<ul><li>X LESS THAN 100</li><li>☐ 5,000 TO 9,999</li></ul>	☐ 100 TO 499 ☐ 10,000 OR MORE	☐ 500 TO 999	☐ 1,000 TO 4,999	
IF SOLD ⊠ NET		☑ LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE	
BUSINESS ENTITY		GOODYEAR TIRE RUI		ME		
STOCK HELD OR ACQUIR	ED BY	☑ FILER	SPOUSE	DEPENDENT CHIL	D	
NUMBER OF SHARES		X LESS THAN 100 ☐ 5,000 TO 9,999	☐ 100 TO 499 ☐ 10,000 OR MORE	☐ 500 TO 999	1,000 TO 4,999	
IF SOLD ☐ NET 🔀 NET	GAIN LOSS	☑ LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE	
BUSINESS ENTITY		HARMAN INTL INDS IN	NC NEW	ME		
STOCK HELD OR ACQUIR	ED BY		SPOUSE	DEPENDENT CHILI	D	
NUMBER OF SHARES		X LESS THAN 100 ☐ 5,000 TO 9,999	☐ 100 TO 499 ☐ 10,000 OR MORE	☐ 500 TO 999	☐ 1,000 TO 4,999	
IF SOLD □ NET ☑ NET	ı	☑ LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE	
COPY AND ATTACH ADDITIONAL PAGES AS NECESSARY						

5	STOCK					PART 2
	☐ NOT APPLICA	BLE				
a	nd indicate the cate	gory of the numb int of the net gain	, your spouse, or a dep er of shares held or acc or loss realized from th	quired. If some or a	Il of the stock was so	ld, also indicate the
When reporting information about a d providing the number under which the			ependent child's activity child is listed on the Co	r, indicate the child a over Sheet.	about whom you are r	reporting by
1 1	BUSINESS ENTIT	Υ	HDFC BANK LTD AD		AME	
2 5	STOCK HELD OR	ACQUIRED BY	☑ FILER	SPOUSE	DEPENDENT CHI	LD
3	NUMBER OF SHA	RES	X LESS THAN 100 ☐ 5,000 TO 9,999	☐ 100 TO 499 ☐ 10,000 OR MORE	☐ 500 TO 999	☐ 1,000 TO 4,999
4	F SOLD	X NET GAIN ☐ NET LOSS	X LESS THAN \$5,000	\$5,000 - \$9,999	<b>\$10,000 - \$24,999</b>	☐ \$25,000OR MORE
E	BUSINESS ENTITY	Y	HEWITT ASSOCIATE		AME	
5	STOCK HELD OR	ACQUIRED BY	☑ FILER	SPOUSE	DEPENDENT CHIL	_D
١	NUMBER OF SHARES		X LESS THAN 100 ☐ 5,000 TO 9,999	☐ 100 TO 499 ☐ 10,000 OR MORE	☐ 500 TO 999	☐ 1,000 TO 4,999
I	IF SOLD ⊠ NET GAIN ☐ NET LOSS		☑ LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	☐ \$25,000OR MORE
E	BUSINESS ENTITY	(	ICIC BANK LTD SPD		ME	
S	STOCK HELD OR A	ACQUIRED BY		SPOUSE	DEPENDENT CHIL	.D
١	NUMBER OF SHAF	RES	LESS THAN 100	<ul><li>☒ 100 TO 499</li><li>☐ 10,000 OR MORE</li></ul>	☐ 500 TO 999	☐ 1,000 TO 4,999
	FSOLD	X NET GAIN ☐ NET LOSS	X LESS THAN \$5,000	\$5,000 - \$9,999	<b>\$10,000 - \$24,999</b>	\$25,000-OR MORE
В	BUSINESS ENTITY	,	IHS INC	NA	ME	
s	TOCK HELD OR A	ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHIL	D
N	IUMBER OF SHAF	RES	☑ LESS THAN 100 ☐ 5,000 TO 9,999	☐ 100 TO 499 ☐ 10,000 OR MORE	☐ 500 TO 999	☐ 1,000 TO 4,999
IF	SOLD	X NET GAIN ☐ NET LOSS	X LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
В	USINESS ENTITY	,	INFSYS TECH LTD AE		ME	
S	TOCK HELD OR A	ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHIL	D
N	UMBER OF SHAR	RES	<ul><li>X LESS THAN 100</li><li>D 5,000 TO 9,999</li></ul>	☐ 100 TO 499 ☐ 10,000 OR MORE	☐ 500 TO 999	☐ 1,000 TO 4,999
IF	SOLD	X NET GAIN ☐ NET LOSS	X LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
	COPY AND ATTACH ADDITIONAL PAGES AS NECESSARY					

STOCK				
☐ NOT APPLICABLE				
List each business entity in which you and indicate the category of the numb category of the amount of the net gair INSTRUCTION GUIDE.	er of shares held or acc	uired. If some or al	I of the stock was sol	d, also indicate the
When reporting information about a deproviding the number under which the			bout whom you are r	eporting by
<sup>1</sup> BUSINESS ENTITY	INTL BUSINESS MAC		AME	
2 STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHIL	.D
<sup>3</sup> NUMBER OF SHARES	X LESS THAN 100  ☐ 5,000 TO 9,999	☐ 100 TO 499 ☐ 10,000 OR MORE	☐ 500 TO 999	☐ 1,000 TO 4,999
4 IF SOLD ⊠ NET GAIN ☐ NET LOSS	X LESS THAN \$5,000	<b>\$5,000 - \$9,999</b>	<b>\$10,000 - \$24,999</b>	\$25,000OR MORE
BUSINESS ENTITY	INTL FLAVORS & FRA		AME	
STOCK HELD OR ACQUIRED BY	☐ FILER	SPOUSE	DEPENDENT CHIL	.D
NUMBER OF SHARES	X LESS THAN 100  ☐ 5,000 TO 9,999	☐ 100 TO 499 ☐ 10,000 OR MORE	☐ 500 TO 999	1,000 TO 4,999
IF SOLD ⊠ NET GAIN ☐ NET LOSS	X LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY		NA NA	ME	
BOOMEOO ENTIT	ISHARES COMEX GC	LD TR		
STOCK HELD OR ACQUIRED BY	ISHARES COMEX GO    X   FILER	LD TR	DEPENDENT CHIL	D
,			DEPENDENT CHIL	D 1,000 TO 4,999
STOCK HELD OR ACQUIRED BY	☐ FILER ☐ LESS THAN 100	☐ SPOUSE ☐ 100 TO 499	DEPENDENT CHIL	
STOCK HELD OR ACQUIRED BY  NUMBER OF SHARES  IF SOLD   NET GAIN	<ul><li>☒ FILER</li><li>☒ LESS THAN 100</li><li>☐ 5,000 TO 9,999</li></ul>	☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999	DEPENDENT CHIL	1,000 TO 4,999
STOCK HELD OR ACQUIRED BY  NUMBER OF SHARES  IF SOLD NET GAIN  NET LOSS	<ul><li>☒ FILER</li><li>☒ LESS THAN 100</li><li>☐ 5,000 TO 9,999</li><li>☒ LESS THAN \$5,000</li></ul>	☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999	☐ DEPENDENT CHIL ☐ 500 TO 999 ☐ \$10,000 - \$24,999	☐ 1,000 TO 4,999 ☐ \$25,000OR MORE
STOCK HELD OR ACQUIRED BY  NUMBER OF SHARES  IF SOLD NET GAIN NET LOSS  BUSINESS ENTITY	☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000 ☐ ITRON INC	☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999	☐ DEPENDENT CHIL ☐ 500 TO 999 ☐ \$10,000 - \$24,999  ME ☐ DEPENDENT CHIL ☐ 500 TO 999	☐ 1,000 TO 4,999 ☐ \$25,000OR MORE
STOCK HELD OR ACQUIRED BY  NUMBER OF SHARES  IF SOLD NET GAIN NET LOSS  BUSINESS ENTITY  STOCK HELD OR ACQUIRED BY	☐ FILER  ☐ LESS THAN 100 ☐ 5,000 TO 9,999  ☐ LESS THAN \$5,000  ITRON INC ☐ FILER ☐ LESS THAN 100	☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999  NA ☐ SPOUSE ☐ 100 TO 499	☐ DEPENDENT CHIL ☐ 500 TO 999 ☐ \$10,000 - \$24,999  ME ☐ DEPENDENT CHIL ☐ 500 TO 999	1,000 TO 4,999  \$25,000OR MORE
STOCK HELD OR ACQUIRED BY  NUMBER OF SHARES  IF SOLD NET GAIN NET LOSS  BUSINESS ENTITY  STOCK HELD OR ACQUIRED BY  NUMBER OF SHARES  IF SOLD NET GAIN	☐ FILER  ☐ LESS THAN 100 ☐ 5,000 TO 9,999  ☐ LESS THAN \$5,000  ITRON INC ☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999	☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999  NA ☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MORE	☐ DEPENDENT CHIL ☐ 500 TO 999 ☐ \$10,000 - \$24,999  ME ☐ DEPENDENT CHIL ☐ 500 TO 999 ☐ \$10,000 - \$24,999	1,000 TO 4,999  \$25,000OR MORE  D  1,000 TO 4,999
STOCK HELD OR ACQUIRED BY  NUMBER OF SHARES  IF SOLD NET GAIN NET LOSS  BUSINESS ENTITY  STOCK HELD OR ACQUIRED BY  NUMBER OF SHARES  IF SOLD NET GAIN NET GAIN NET LOSS	☐ FILER  ☐ LESS THAN 100 ☐ 5,000 TO 9,999  ☐ LESS THAN \$5,000  ITRON INC ☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999  ☐ LESS THAN \$5,000	☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999  NA ☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999	☐ DEPENDENT CHIL ☐ 500 TO 999 ☐ \$10,000 - \$24,999  ME ☐ DEPENDENT CHIL ☐ 500 TO 999 ☐ \$10,000 - \$24,999	☐ 1,000 TO 4,999  ☐ \$25,000OR MORE  ☐ 1,000 TO 4,999  ☐ 1,000 TO 4,999  ☐ \$25,000OR MORE
STOCK HELD OR ACQUIRED BY NUMBER OF SHARES  IF SOLD NET GAIN NET LOSS  BUSINESS ENTITY  STOCK HELD OR ACQUIRED BY NUMBER OF SHARES  IF SOLD NET GAIN NET LOSS  BUSINESS ENTITY	☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000 ☐ TRON INC ☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000 ☐ J CREW GROUP INC	☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999  NA ☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999  NA	☐ DEPENDENT CHIL ☐ 500 TO 999  ☐ \$10,000 - \$24,999  ME ☐ DEPENDENT CHIL ☐ 500 TO 999  ME ☐ DEPENDENT CHIL ☐ 500 TO 999  ME ☐ DEPENDENT CHIL ☐ 500 TO 999	☐ 1,000 TO 4,999  ☐ \$25,000OR MORE  ☐ 1,000 TO 4,999  ☐ 1,000 TO 4,999  ☐ \$25,000OR MORE
STOCK HELD OR ACQUIRED BY NUMBER OF SHARES  IF SOLD NET GAIN NET LOSS  BUSINESS ENTITY  STOCK HELD OR ACQUIRED BY NUMBER OF SHARES  IF SOLD NET GAIN NET GAIN NET LOSS  BUSINESS ENTITY  STOCK HELD OR ACQUIRED BY	☐ FILER  ☐ LESS THAN 100 ☐ 5,000 TO 9,999  ☐ LESS THAN \$5,000  ☐ TRON INC ☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999  ☐ LESS THAN \$5,000  ☐ CREW GROUP INC ☐ FILER ☐ LESS THAN 100	☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999  NA ☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999  NA ☐ SPOUSE ☐ 100 TO 499 ☐ 100 TO 499	☐ DEPENDENT CHIL ☐ 500 TO 999  ☐ \$10,000 - \$24,999  ME ☐ DEPENDENT CHIL ☐ 500 TO 999  ME ☐ DEPENDENT CHIL ☐ 500 TO 999  ME ☐ DEPENDENT CHIL ☐ 500 TO 999	☐ 1,000 TO 4,999  ☐ \$25,000OR MORE  ☐ 1,000 TO 4,999  ☐ 1,000 TO 4,999  ☐ \$25,000OR MORE

	STOCK			
☐ NOT APPLICABLE				
List each business entity in which you and indicate the category of the number category of the amount of the net gair INSTRUCTION GUIDE.	er of shares held or acc	uired. If some or al	of the stock was sol	d, also indicate the
When reporting information about a d providing the number under which the			bout whom you are re	eporting by
<sup>1</sup> BUSINESS ENTITY	JOHNSON CONTROL		AME	
2 STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	☐ DEPENDENT CHIL	.D
<sup>3</sup> NUMBER OF SHARES	X LESS THAN 100  ☐ 5,000 TO 9,999	☐ 100 TO 499 ☐ 10,000 OR MORE	☐ 500 TO 999	☐ 1,000 TO 4,999
4 IF SOLD ☐ NET GAIN ☐ NET LOSS	☐ LESS THAN \$5,000	<b>\$5,000 - \$9,999</b>	<b>\$10,000 - \$24,999</b>	\$25,000OR MORE
BUSINESS ENTITY	KRAFT FOODS INC V		ME	
STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHIL	.D
NUMBER OF SHARES	X LESS THAN 100  ☐ 5,000 TO 9,999	☐ 100 TO 499 ☐ 10,000 OR MORE	☐ 500 TO 999	☐ 1,000 TO 4,999
IF SOLD ☐ NET GAIN ☐ NET LOSS	X LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY	LOCKHEED MARTIN		ME	
STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHIL	D
NUMBER OF SHARES	X LESS THAN 100	100 TO 499	☐ 500 TO 999	☐ 1,000 TO 4,999
	5,000 TO 9,999	☐ 10,000 OR MORE		
IF SOLD ☐ NET GAIN ☐ NET LOSS	☐ 5,000 TO 9,999			\$25,000OR MORE
		\$5,000 - \$9,999		
II 0025   ☑ NET LOSS	☑ LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY	☐ LESS THAN \$5,000	\$5,000 - \$9,999 NA	☐ \$10,000 - \$24,999  ME  ☐ DEPENDENT CHIL ☐ 500 TO 999	\$25,000OR MORE
BUSINESS ENTITY  STOCK HELD OR ACQUIRED BY	MARKET VECTORS E    Strict   S	□ \$5,000 - \$9,999  TF TR □ SPOUSE □ 100 TO 499	☐ \$10,000 - \$24,999  ME  ☐ DEPENDENT CHIL ☐ 500 TO 999	\$25,000OR MORE
BUSINESS ENTITY  STOCK HELD OR ACQUIRED BY NUMBER OF SHARES  IF SOLD	MARKET VECTORS E    Siler     Less than 100     5,000 to 9,999	\$5,000 - \$9,999   NA	☐ \$10,000 - \$24,999  ME  ☐ DEPENDENT CHIL ☐ 500 TO 999	\$25,000OR MORE  D  1,000 TO 4,999
BUSINESS ENTITY  STOCK HELD OR ACQUIRED BY NUMBER OF SHARES  IF SOLD  NET GAIN NET LOSS	MARKET VECTORS E  ☑ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999  ☑ LESS THAN \$5,000	\$5,000 - \$9,999   NA	☐ \$10,000 - \$24,999  ME  ☐ DEPENDENT CHIL ☐ 500 TO 999  ☐ \$10,000 - \$24,999	□ \$25,000OR MORE  □ 1,000 TO 4,999 □ \$25,000OR MORE
BUSINESS ENTITY  STOCK HELD OR ACQUIRED BY NUMBER OF SHARES  IF SOLD NET GAIN NET LOSS  BUSINESS ENTITY	MARKET VECTORS E    Strict   Item	\$5,000 - \$9,999   NA	□ \$10,000 - \$24,999  ME □ DEPENDENT CHIL □ 500 TO 999 □ \$10,000 - \$24,999  ME □ DEPENDENT CHIL □ 500 TO 999	□ \$25,000OR MORE  □ 1,000 TO 4,999 □ \$25,000OR MORE
BUSINESS ENTITY  STOCK HELD OR ACQUIRED BY NUMBER OF SHARES  IF SOLD NET GAIN NET LOSS  BUSINESS ENTITY  STOCK HELD OR ACQUIRED BY	MARKET VECTORS E    FILER	S5,000 - \$9,999  TF TR  SPOUSE  100 TO 499  10,000 OR MORE  \$5,000 - \$9,999  NA  SPOUSE  100 TO 499	□ \$10,000 - \$24,999  ME □ DEPENDENT CHIL □ 500 TO 999 □ \$10,000 - \$24,999  ME □ DEPENDENT CHIL □ 500 TO 999	\$25,000OR MORE

STOCK				PART 2	
☐ NOT APPLICABLE					
List each business entity in which and indicate the category of the n category of the amount of the net INSTRUCTION GUIDE.	umber of shares held or ac	quired. If some or a	II of the stock was sol	ld, also indicate the	
When reporting information about providing the number under which			about whom you are r	eporting by	
<sup>1</sup> BUSINESS ENTITY	MICRO CHIP TECHN		AME		
2 STOCK HELD OR ACQUIRED	BY I FILER	SPOUSE	DEPENDENT CHIL	_D	
<sup>3</sup> NUMBER OF SHARES	<ul><li>X LESS THAN 100</li><li>D 5,000 TO 9,999</li></ul>	☐ 100 TO 499 ☐ 10,000 OR MORE	☐ 500 TO 999	☐ 1,000 TO 4,999	
4 IF SOLD ☑ NET GA ☐ NET LO	I IVI I ECC TUAN CE OOD	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000-OR MORE	
BUSINESS ENTITY	MICROSOFT CORP	N	AME		
STOCK HELD OR ACQUIRED	BY X FILER	SPOUSE	DEPENDENT CHIL	.D	
NUMBER OF SHARES	☐ LESS THAN 100 ☐ 5,000 TO 9,999	X 100 TO 499  ☐ 10,000 OR MORE	☐ 500 TO 999	☐ 1,000 TO 4,999	
IF SOLD ⊠ NET GA	I IXI I ESS THAN \$5 000	\$5,000 - \$9,999	<b>\$10,000 - \$24,999</b>	\$25,000OR MORE	
BUSINESS ENTITY	MONSANTO CO NEV		AME		
STOCK HELD OR ACQUIRED	BY X FILER	SPOUSE	DEPENDENT CHIL	.D	
NUMBER OF SHARES	∐ LESS THAN 100     ☐ 5,000 TO 9,999	☐ 100 TO 499 ☐ 10,000 OR MORE	☐ 500 TO 999	☐ 1,000 TO 4,999	
IF SOLD ☐ NET GAI	LIVI LECO TUAN CE COO	\$5,000 - \$9,999	<b>\$10,000 - \$24,999</b>	\$25,000OR MORE	
BUSINESS ENTITY	MONSTER WORLDW		AME		
STOCK HELD OR ACQUIRED	BY X FILER	SPOUSE	DEPENDENT CHIL	.D	
NUMBER OF SHARES	∐ LESS THAN 100     ☐ 5,000 TO 9,999	☐ 100 TO 499 ☐ 10,000 OR MORE	☐ 500 TO 999	☐ 1,000 TO 4,999	
IF SOLD ☑ NET GAI ☐ NET LOS	I IXI I EQQ THAN QE OOO	\$5,000 - \$9,999	\$10,000 <b>-</b> \$24,999	\$25,000OR MORE	
BUSINESS ENTITY	MORGAN STANLEY	N.A	AME		
STOCK HELD OR ACQUIRED	BY X FILER	SPOUSE	DEPENDENT CHIL	D	
NUMBER OF SHARES	☐ LESS THAN 100 ☐ 5,000 TO 9,999	<ul><li>■ 100 TO 499</li><li>■ 10,000 OR MORE</li></ul>	500 TO 999	1,000 TO 4,999	
IF SOLD ☑ NET GAI ☐ NET LOS	LIVI LECC THAN CE OOD	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE	
COP	COPY AND ATTACH ADDITIONAL PAGES AS NECESSARY				

STOCK					PART 2
☐ NOT APPLICA	BLE				
and indicate the cate	egory of the numbe unt of the net gain	, your spouse, or a depe er of shares held or acq or loss realized from th	uired. If some or al	of the stock was sold	d, also indicate the
		ependent child's activity, child is listed on the Co		bout whom you are re	eporting by
<sup>1</sup> BUSINESS ENTIT	Y	NATIONAL-OIL WELL		AME	
2 STOCK HELD OR	ACQUIRED BY	▼ FILER	SPOUSE	DEPENDENT CHIL	D
<sup>3</sup> NUMBER OF SHA	RES	X LESS THAN 100 ☐ 5,000 TO 9,999	☐ 100 TO 499 ☐ 10,000 OR MORE	☐ 500 TO 999	☐ 1,000 TO 4,999
<sup>4</sup> IF SOLD	☐ NET GAIN ☑ NET LOSS	☐ LESS THAN \$5,000	\$5,000 - \$9,999	<b>\$10,000 - \$24,999</b>	☐ \$25,000OR MORE
BUSINESS ENTIT	Y	NEWMONT MINING C		AME	
STOCK HELD OR	ACQUIRED BY		SPOUSE	DEPENDENT CHIL	D
NUMBER OF SHA	RES	X LESS THAN 100 ☐ 5,000 TO 9,999	☐ 100 TO 499 ☐ 10,000 OR MORE	☐ 500 TO 999	☐ 1,000 TO 4,999
IF SOLD	☐ NET GAIN  ☑ NET LOSS	☑ LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY	Y	N VIDIA	NA	ME	
STOCK HELD OR	ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHIL	D
NUMBER OF SHAI	RES	☐ LESS THAN 100 ☐ 5,000 TO 9,999	<ul><li>X 100 TO 499</li><li> 10,000 OR MORE</li></ul>	☐ 500 TO 999	☐ 1,000 TO 4,999
IF SOLD	X NET GAIN ☐ NET LOSS	☑ LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY	(	NYSE EURONET	NA NA	ME	
STOCK HELD OR ACQUIRED BY					
STOCK HELD OK	ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHIL	D
NUMBER OF SHAF		☐ LESS THAN 100☐ 5,000 TO 9,999	☐ SPOUSE  ☑ 100 TO 499 ☐ 10,000 OR MORE	☐ 500 TO 999	D 1,000 TO 4,999
		LESS THAN 100	X 100 TO 499	☐ 500 TO 999	
NUMBER OF SHAP	RES  NET GAIN NET LOSS	LESS THAN 100	<ul><li>X 100 TO 499</li><li> 10,000 OR MORE</li><li> \$5,000 - \$9,999</li></ul>	□ 500 TO 999	1,000 TO 4,999
NUMBER OF SHAF	RES  NET GAIN NET LOSS	LESS THAN 100 5,000 TO 9,999  LESS THAN \$5,000	<ul><li>X 100 TO 499</li><li> 10,000 OR MORE</li><li> \$5,000 - \$9,999</li></ul>	□ \$10,000 - \$24,999	1,000 TO 4,999  \$25,000OR MORE
IF SOLD  BUSINESS ENTITY	RES  NET GAIN NET LOSS  Y  ACQUIRED BY	LESS THAN 100  5,000 TO 9,999  LESS THAN \$5,000  OCCIDENTAL PETE C	X 100 TO 499  ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999  CORP CAL	500 TO 999  \$10,000 - \$24,999  ME  DEPENDENT CHILI  500 TO 999	1,000 TO 4,999  \$25,000OR MORE
IF SOLD  BUSINESS ENTITY  STOCK HELD OR A	RES  NET GAIN NET LOSS  Y  ACQUIRED BY	LESS THAN 100  5,000 TO 9,999  LESS THAN \$5,000  OCCIDENTAL PETE C  FILER  LESS THAN 100	100 TO 499	500 TO 999  \$10,000 - \$24,999  ME  DEPENDENT CHILI  500 TO 999	1,000 TO 4,999  \$25,000OR MORE

STOCK					PART 2
☐ NOT APPLICAB	LE				
and indicate the categ	ory of the numb nt of the net gain	, your spouse, or a depe er of shares held or acc or loss realized from th	quired. If some or all	I of the stock was sol	ld, also indicate the
		ependent child's activity child is listed on the Co		bout whom you are r	reporting by
<sup>1</sup> BUSINESS ENTITY		OWEN CORNING INC		AME	
2 STOCK HELD OR A	ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHIL	LD
<sup>3</sup> NUMBER OF SHAR	RES	☐ LESS THAN 100 ☐ 5,000 TO 9,999	<ul><li>X 100 TO 499</li><li>☐ 10,000 OR MORE</li></ul>	☐ 500 TO 999	1,000 TO 4,999
<sup>4</sup> IF SOLD	X NET GAIN ☐ NET LOSS	LESS THAN \$5,000	☒ \$5,000 - \$9,999	<b>\$10,000 - \$24,999</b>	\$25,000OR MORE
BUSINESS ENTITY		PENN NATL GAMING		AME	
STOCK HELD OR A	CQUIRED BY	☑ FILER	SPOUSE	DEPENDENT CHIL	.D
NUMBER OF SHAR	ES	X LESS THAN 100  ☐ 5,000 TO 9,999	☐ 100 TO 499 ☐ 10,000 OR MORE	☐ 500 TO 999	☐ 1,000 TO 4,999
IF SOLD	☐ NET GAIN ☑ NET LOSS	☑ LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY		PETRLEO BRAS VTG		ME	
STOCK HELD OR A	CQUIRED BY		SPOUSE	DEPENDENT CHIL	.D
NUMBER OF SHAR	ES	X LESS THAN 100 ☐ 5,000 TO 9,999	☐ 100 TO 499 ☐ 10,000 OR MORE	☐ 500 TO 999	☐ 1,000 TO 4,999
IF SOLD	NET GAIN ☐ NET LOSS	☑ LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY		PETRLEO BRAS SA A		ME	
STOCK HELD OR A	CQUIRED BY	☑ FILER	SPOUSE	DEPENDENT CHIL	D
NUMBER OF SHAR	ES	∑ LESS THAN 100     ☐ 5,000 TO 9,999	☐ 100 TO 499 ☐ 10,000 OR MORE	☐ 500 TO 999	☐ 1,000 TO 4,999
IF SOLD	NET GAIN     □ NET LOSS	☑ LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY		PIMCO 20 YR ZERO (		ME	
STOCK HELD OR A	CQUIRED BY	X FILER	SPOUSE	DEPENDENT CHIL	D
NUMBER OF SHARI	ES	☑ LESS THAN 100 ☐ 5,000 TO 9,999	☐ 100 TO 499 ☐ 10,000 OR MORE	☐ 500 TO 999	☐ 1,000 TO 4,999
IF SOLD	☐ NET GAIN ☑ NET LOSS	X LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
	COPY A	ND ATTACH ADDITIC	NAL PAGES AS I	NECESSARY	

STOCK				PART 2
☐ NOT APPLICABLE				
List each business entity in which you and indicate the category of the numb category of the amount of the net gair INSTRUCTION GUIDE.	er of shares held or acc	quired. If some or al	I of the stock was sol	d, also indicate the
When reporting information about a deproviding the number under which the			about whom you are r	eporting by
<sup>1</sup> BUSINESS ENTITY	PLEXUS CORP COM		AME	
2 STOCK HELD OR ACQUIRED BY	▼ FILER	SPOUSE	DEPENDENT CHIL	.D
<sup>3</sup> NUMBER OF SHARES	X LESS THAN 100  ☐ 5,000 TO 9,999	☐ 100 TO 499 ☐ 10,000 OR MORE	☐ 500 TO 999	☐ 1,000 TO 4,999
4 IF SOLD ☐ NET GAIN ☐ NET LOSS	X LESS THAN \$5,000	\$5,000 - \$9,999	<b>\$10,000 - \$24,999</b>	☐ \$25,000OR MORE
BUSINESS ENTITY	POLO RALPH LAURE		AME	
STOCK HELD OR ACQUIRED BY		SPOUSE	☐ *DEPENDENT CHIL	.D
NUMBER OF SHARES	X LESS THAN 100  ☐ 5,000 TO 9,999	☐ 100 TO 499 ☐ 10,000 OR MORE	☐ 500 TO 999	☐ 1,000 TO 4,999
IF SOLD   ☑ NET GAIN ☐ NET LOSS	X LESS THAN \$5,000	\$5,000 - \$9,999	<b>\$10,000 - \$24,999</b>	☐ \$25,000OR MORE
BUSINESS ENTITY	POTASH CORP SASK	NAME POTASH CORP SASKATCHEWAN		
STOCK HELD OR ACQUIRED BY		SPOUSE	DEPENDENT CHIL	.D
NUMBER OF SHARES	X LESS THAN 100 ☐ 5,000 TO 9,999	☐ 100 TO 499 ☐ 10,000 OR MORE	☐ 500 TO 999	☐ 1,000 TO 4,999
IF SOLD ☑ NET GAIN ☐ NET LOSS	☑ LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY	PROSHARES SHORT		ME	
STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHIL	D
NUMBER OF SHARES	<ul><li>X LESS THAN 100</li><li>D 5,000 TO 9,999</li></ul>	☐ 100 TO 499 ☐ 10,000 OR MORE	☐ 500 TO 999	1,000 TO 4,999
IF SOLD ☐ NET GAIN ☐ NET LOSS	☑ LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY	PROSHARES SHOR S		ME	
STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHIL	D
NUMBER OF SHARES	<ul><li>X LESS THAN 100</li><li>☐ 5,000 TO 9,999</li></ul>	☐ 100 TO 499 ☐ 10,000 OR MORE	☐ 500 TO 999	1,000 TO 4,999
IF SOLD NET GAIN				
X NET LOSS	X LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE

STOCK				PART 2	
☐ NOT APPLICABLE					
List each business entity in which you and indicate the category of the numb category of the amount of the net gain INSTRUCTION GUIDE.	er of shares held or acc	quired. If some or a	Il of the stock was so	ld, also indicate the	
When reporting information about a de providing the number under which the	ependent child's activity child is listed on the Co	, indicate the child a over Sheet.	about whom you are r	reporting by	
<sup>1</sup> BUSINESS ENTITY	PROSHARES UNTRA		AME		
2 STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHI	LD	
<sup>3</sup> NUMBER OF SHARES	X LESS THAN 100  ☐ 5,000 TO 9,999	☐ 100 TO 499 ☐ 10,000 OR MORE	☐ 500 TO 999	☐ 1,000 TO 4,999	
4 IF SOLD ☐ NET GAIN ☐ NET LOSS	X LESS THAN \$5,000	<b>55,000 - \$9,999</b>	<b>\$10,000 - \$24,999</b>	☐ \$25,000OR MORE	
BUSINESS ENTITY	PROSHARES ULTRA		AME		
STOCK HELD OR ACQUIRED BY	☑ FILER	SPOUSE	DEPENDENT CHIL	.D	
NUMBER OF SHARES	<ul><li>X LESS THAN 100</li><li>D 5,000 TO 9,999</li></ul>	☐ 100 TO 499 ☐ 10,000 OR MORE	☐ 500 TO 999	☐ 1,000 TO 4,999	
IF SOLD ☐ NET GAIN ☐ NET LOSS	☑ LESS THAN \$5,000	\$5,000 - \$9,999	<b>\$10,000 - \$24,999</b>	\$25,000OR MORE	
BUSINESS ENTITY	PROSHARES ULTRA		AME		
STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHIL	.D	
NUMBER OF SHARES	LESS THAN 100	<ul><li>☒ 100 TO 499</li><li>☐ 10,000 OR MORE</li></ul>	☐ 500 TO 999	☐ 1,000 TO 4,999	
IF SOLD ☐ NET GAIN ☐ NET LOSS	X LESS THAN \$5,000	\$5,000 - \$9,999	<b>\$10,000 - \$24,999</b>	\$25,000OR MORE	
BUSINESS ENTITY	PROSHARES ULTRA		ME		
STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHIL	D	
NUMBER OF SHARES	LESS THAN 100	<ul><li>✓ 100 TO 499</li><li>✓ 10,000 OR MORE</li></ul>	☐ 500 TO 999	1,000 TO 4,999	
IF SOLD ☐ NET GAIN ☐ NET LOSS	X LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE	
BUSINESS ENTITY	RADIO SHACK CORP	NA	ME		
STOCK HELD OR ACQUIRED BY	∏ FILER	SPOUSE	DEPENDENT CHIL	D	
NUMBER OF SHARES	LESS THAN 100	<ul><li>X 100 TO 499</li><li>☐ 10,000 OR MORE</li></ul>	☐ 500 TO 999	1,000 TO 4,999	
IF SOLD ☐ NET GAIN ☐ NET LOSS	☑ LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE	
COPY AN	COPY AND ATTACH ADDITIONAL PAGES AS NECESSARY				

STOCK				PART 2
☐ NOT APPLICABLE				
List each business entity in which you, and indicate the category of the numb category of the amount of the net gain INSTRUCTION GUIDE.	er of shares held or acc	quired. If some or al	I of the stock was sol	d, also indicate the
When reporting information about a de providing the number under which the	ependent child's activity child is listed on the Co	, indicate the child a over Sheet.	bout whom you are r	eporting by
<sup>1</sup> BUSINESS ENTITY	REGAL BELOIT COR		AME	
2 STOCK HELD OR ACQUIRED BY	☑ FILER	SPOUSE	DEPENDENT CHIL	.D
<sup>3</sup> NUMBER OF SHARES	<ul><li>X LESS THAN 100</li><li>D 5,000 TO 9,999</li></ul>	☐ 100 TO 499 ☐ 10,000 OR MORE	☐ 500 TO 999	☐ 1,000 TO 4,999
4 IF SOLD ⊠ NET GAIN ☐ NET LOSS	☐ LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY	ROPER INDUSTRIES		AME	
STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHIL	.D
NUMBER OF SHARES	X LESS THAN 100	☐ 100 TO 499	☐ 500 TO 999	☐ 1,000 TO 4,999
	☐ 5,000 TO 9,999	10,000 OR MORE	:	
IF SOLD ☑ NET GAIN ☐ NET LOSS	☑ LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY	SAIC INC	NA	ME	
STOCK HELD OR ACQUIRED BY		SPOUSE	DEPENDENT CHIL	D
NUMBER OF SHARES	☐ LESS THAN 100 ☐ 5,000 TO 9,999	<ul><li>X 100 TO 499</li><li>☐ 10,000 OR MORE</li></ul>	☐ 500 TO 999	☐ 1,000 TO 4,999
IF SOLD ☐ NET GAIN ☐ NET LOSS	☑ LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY	SHAW GROUP INC	NA	ME	
STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHIL	D
NUMBER OF SHARES	☑ LESS THAN 100 ☐ 5,000 TO 9,999	☐ 100 TO 499 ☐ 10,000 OR MORE	☐ 500 TO 999	1,000 TO 4,999
IF SOLD ☐ NET GAIN ☐ NET LOSS	X LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY	SOUTHWESTERN EN	NA ERGY CO	ME	
STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHIL	D
NUMBER OF SHARES	<ul><li>X LESS THAN 100</li><li>D 5,000 TO 9,999</li></ul>	☐ 100 TO 499 ☐ 10,000 OR MORE	☐ 500 TO 999	1,000 TO 4,999
IF SOLD ☑ NET GAIN ☐ NET LOSS	X LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
CODY AL	ND ATTACH ADDITIO	MAI DACES AS I	MECECCARY	

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STOCK					PART 2
☐ NOT APPLICAB	BLE				
and indicate the categ	gory of the numb nt of the net gain	your spouse, or a depe er of shares held or acc or loss realized from th	quired. If some or al	I of the stock was sol	d, also indicate the
When reporting inform providing the number	nation about a de under which the	ependent child's activity child is listed on the Co	, indicate the child a over Sheet.	bout whom you are r	eporting by
<sup>1</sup> BUSINESS ENTITY	,	STERICYCLE INC CO		AME	
2 STOCK HELD OR A	ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHIL	.D
<sup>3</sup> NUMBER OF SHAF	RES	X LESS THAN 100 ☐ 5,000 TO 9,999	☐ 100 TO 499 ☐ 10,000 OR MORE	☐ 500 TO 999	☐ 1,000 TO 4,999
<sup>4</sup> IF SOLD	X NET GAIN ☐ NET LOSS	X LESS THAN \$5,000	\$5,000 - \$9,999	<b>\$10,000 - \$24,999</b>	\$25,000OR MORE
BUSINESS ENTITY		TATA MOTORS LTD		AME	
STOCK HELD OR A	ACQUIRED BY		SPOUSE	DEPENDENT CHIL	D
NUMBER OF SHAR	RES	LESS THAN 100		☐ 500 TO 999	☐ 1,000 TO 4,999
IF SOLD	X NET GAIN ☐ NET LOSS	☑ LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY		TEVAR PHARMACTC		ME	
BUSINESS ENTITY STOCK HELD OR A		TEVAR PHARMACTC		ME DEPENDENT CHIL	D
	ACQUIRED BY		L INDS ADR	DEPENDENT CHIL	D 1,000 TO 4,999
STOCK HELD OR A	ACQUIRED BY	☐ FILER ☐ LESS THAN 100	L INDS ADR  SPOUSE  100 TO 499	DEPENDENT CHIL	-
STOCK HELD OR A	ACQUIRED BY	<ul><li>☒ FILER</li><li>☒ LESS THAN 100</li><li>☐ 5,000 TO 9,999</li></ul>	L INDS ADR  SPOUSE  100 TO 499  10,000 OR MORE  \$5,000 - \$9,999	DEPENDENT CHIL	1,000 TO 4,999
STOCK HELD OR A NUMBER OF SHAR IF SOLD	ACQUIRED BY RES  NET GAIN NET LOSS	<ul><li>☒ FILER</li><li>☒ LESS THAN 100</li><li>☐ 5,000 TO 9,999</li><li>☒ LESS THAN \$5,000</li></ul>	L INDS ADR  SPOUSE  100 TO 499  10,000 OR MORE  \$5,000 - \$9,999	☐ DEPENDENT CHIL ☐ 500 TO 999 ☐ \$10,000 - \$24,999	1,000 TO 4,999  \$25,000-OR MORE
STOCK HELD OR A NUMBER OF SHAR IF SOLD BUSINESS ENTITY	ACQUIRED BY RES  NET GAIN NET LOSS  ACQUIRED BY	☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000  TREEHOUSE FOODS	L INDS ADR  SPOUSE  100 TO 499  10,000 OR MORE  \$5,000 - \$9,999  INC COM	☐ DEPENDENT CHIL ☐ 500 TO 999 ☐ \$10,000 - \$24,999  ME ☐ DEPENDENT CHILI ☐ 500 TO 999	1,000 TO 4,999  \$25,000-OR MORE
STOCK HELD OR A NUMBER OF SHAR IF SOLD BUSINESS ENTITY STOCK HELD OR A	ACQUIRED BY RES  NET GAIN NET LOSS  ACQUIRED BY	☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000 ☐ TREEHOUSE FOODS ☐ FILER ☐ LESS THAN 100	L INDS ADR  SPOUSE  100 TO 499  10,000 OR MORE  \$5,000 - \$9,999  INC COM  SPOUSE  100 TO 499	☐ DEPENDENT CHIL ☐ 500 TO 999 ☐ \$10,000 - \$24,999  ME ☐ DEPENDENT CHILI ☐ 500 TO 999	1,000 TO 4,999  \$25,000-OR MORE
STOCK HELD OR A NUMBER OF SHAR  IF SOLD  BUSINESS ENTITY  STOCK HELD OR A NUMBER OF SHAR	ACQUIRED BY RES NET GAIN NET LOSS ACQUIRED BY RES	☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000 ☐ TREEHOUSE FOODS ☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999	L INDS ADR  SPOUSE  100 TO 499  10,000 OR MORE  \$5,000 - \$9,999  INC COM  SPOUSE  100 TO 499  10,000 OR MORE  \$5,000 - \$9,999	☐ DEPENDENT CHIL ☐ 500 TO 999 ☐ \$10,000 - \$24,999  ME ☐ DEPENDENT CHILI ☐ 500 TO 999 ☐ \$10,000 - \$24,999	1,000 TO 4,999  \$25,000OR MORE  1,000 TO 4,999
STOCK HELD OR A NUMBER OF SHAR  IF SOLD  BUSINESS ENTITY  STOCK HELD OR A NUMBER OF SHAR  IF SOLD	ACQUIRED BY RES  NET GAIN NET LOSS  ACQUIRED BY RES  NET GAIN NET GAIN NET LOSS	☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000 ☐ TREEHOUSE FOODS ☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000	L INDS ADR  SPOUSE  100 TO 499  10,000 OR MORE  \$5,000 - \$9,999  INC COM  SPOUSE  100 TO 499  10,000 OR MORE  \$5,000 - \$9,999	☐ DEPENDENT CHIL ☐ 500 TO 999 ☐ \$10,000 - \$24,999  ME ☐ DEPENDENT CHILI ☐ 500 TO 999 ☐ \$10,000 - \$24,999	1,000 TO 4,999  \$25,000OR MORE  1,000 TO 4,999  1,000 TO 4,999
STOCK HELD OR A NUMBER OF SHAR  IF SOLD  BUSINESS ENTITY  STOCK HELD OR A NUMBER OF SHAR  IF SOLD  BUSINESS ENTITY	ACQUIRED BY RES  NET GAIN NET LOSS  ACQUIRED BY RES  NET GAIN NET LOSS  CQUIRED BY	☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000 ☐ TREEHOUSE FOODS ☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000 ☐ TYCO INTL LTD MAME	L INDS ADR  SPOUSE  100 TO 499  10,000 OR MORE  \$5,000 - \$9,999  INC COM  SPOUSE  100 TO 499  10,000 OR MORE  \$5,000 - \$9,999  NA	☐ DEPENDENT CHIL ☐ 500 TO 999 ☐ \$10,000 - \$24,999  ME ☐ DEPENDENT CHILI ☐ 500 TO 999 ☐ \$10,000 - \$24,999  ME	1,000 TO 4,999  \$25,000OR MORE  1,000 TO 4,999  1,000 TO 4,999
STOCK HELD OR A NUMBER OF SHAR  IF SOLD  BUSINESS ENTITY  STOCK HELD OR A NUMBER OF SHAR  IF SOLD  BUSINESS ENTITY  STOCK HELD OR A	ACQUIRED BY RES  NET GAIN NET LOSS  ACQUIRED BY RES  NET GAIN NET LOSS  CQUIRED BY	☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000 ☐ TREEHOUSE FOODS ☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000 ☐ TYCO INTL LTD MAME ☐ FILER ☐ LESS THAN 100	L INDS ADR  □ SPOUSE □ 100 TO 499 □ 10,000 OR MORE □ \$5,000 - \$9,999  INC COM □ SPOUSE □ 100 TO 499 □ 10,000 OR MORE □ \$5,000 - \$9,999  EN-AKT □ SPOUSE □ 100 TO 499 □ 100 TO 499	☐ DEPENDENT CHILE ☐ 500 TO 999  ☐ \$10,000 - \$24,999  ME ☐ DEPENDENT CHILE ☐ 500 TO 999  ☐ \$10,000 - \$24,999  ME ☐ DEPENDENT CHILE ☐ 500 TO 999	1,000 TO 4,999  \$25,000OR MORE  1,000 TO 4,999  1,000 TO 4,999  \$25,000OR MORE

STOCK				PART 2	
☐ NOT APPLICABLE					
List each business entity in which you and indicate the category of the numb category of the amount of the net gair INSTRUCTION GUIDE.	er of shares held or acc	quired. If some or al	I of the stock was sol	d, also indicate the	
When reporting information about a deproviding the number under which the	ependent child's activity child is listed on the Co	v, indicate the child a over Sheet.	bout whom you are r	eporting by	
<sup>1</sup> BUSINESS ENTITY	ULTRA PETROLEUM CORP				
2 STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHIL	D	
<sup>3</sup> NUMBER OF SHARES	X LESS THAN 100  ☐ 5,000 TO 9,999	☐ 100 TO 499 ☐ 10,000 OR MORE	☐ 500 TO 999	☐ 1,000 TO 4,999	
4 IF SOLD ☑ NET GAIN ☐ NET LOSS	☑ LESS THAN \$5,000	\$5,000 - \$9,999	<b>\$10,000 - \$24,999</b>	\$25,000OR MORE	
BUSINESS ENTITY	UNITED CONTL HOLDGS				
STOCK HELD OR ACQUIRED BY	∏ FILER	SPOUSE	DEPENDENT CHIL	.D	
NUMBER OF SHARES	LESS THAN 100	X 100 TO 499	☐ 500 TO 999	☐ 1,000 TO 4,999	
	☐ 5,000 TO 9,999	☐ 10,000 OR MORE	:		
IF SOLD ☑ NET GAIN ☐ NET LOSS	☐ LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000-OR MORE	
BUSINESS ENTITY	VERISK ANALYTICS		ME		
STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHIL	D	
NUMBER OF SHARES	☐ 5,000 TO 9,999	☐ 100 TO 499 ☐ 10,000 OR MORE	☐ 500 TO 999	☐ 1,000 TO 4,999	
IF SOLD ☐ NET GAIN ☐ NET LOSS	☐ LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE	
BUSINESS ENTITY	VEA TEX PHARMCTO		ME		
STOCK HELD OR ACQUIRED BY		SPOUSE	DEPENDENT CHIL	D	
NUMBER OF SHARES	☐ 5,000 TO 9,999	☐ 100 TO 499 ☐ 10,000 OR MORE	☐ 500 TO 999	☐ 1,000 TO 4,999	
IF SOLD ☐ NET GAIN ☐ NET LOSS	X LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE	
BUSINESS ENTITY	WABTEC	NA	ME		
	M en en	SPOUSE	DEPENDENT CHIL	D	
STOCK HELD OR ACQUIRED BY	X FILER				
STOCK HELD OR ACQUIRED BY NUMBER OF SHARES	<ul><li>☑ FILER</li><li>☑ LESS THAN 100</li><li>☐ 5,000 TO 9,999</li></ul>	☐ 100 TO 499 ☐ 10,000 OR MORE	☐ 500 TO 999	☐ 1,000 TO 4,999	
	X LESS THAN 100		☐ 500 TO 999 ☐ \$10,000 - \$24,999	☐ 1,000 TO 4,999 ☐ \$25,000OR MORE	

STOCK				PART 2
☐ NOT APPLICABLE				
List each business entity in which and indicate the category of the category of the amount of the ne INSTRUCTION GUIDE.	number of shares held or a	acquired. If some or a	Il of the stock was sol	ld, also indicate the
When reporting information about providing the number under which	t a dependent child's activ h the child is listed on the	ity, indicate the child a	about whom you are r	eporting by
<sup>1</sup> BUSINESS ENTITY	WHIRLPOOL CORI		AME	
2 STOCK HELD OR ACQUIRE	BY X FILER	SPOUSE	DEPENDENT CHIL	D
<sup>3</sup> NUMBER OF SHARES	X LESS THAN 100  ☐ 5,000 TO 9,999	☐ 100 TO 499 ☐ 10,000 OR MOR	☐ 500 TO 999	1,000 TO 4,999
4 IF SOLD ⊠ NET G	I IXI I ESS THAN \$5 00	00 🔲 \$5,000 - \$9,999	<b>\$10,000 - \$24,999</b>	\$25,000-OR MORE
BUSINESS ENTITY	WHOLE FOOD MK		AME	
STOCK HELD OR ACQUIRE	BY X FILER	SPOUSE	DEPENDENT CHIL	D
NUMBER OF SHARES	☑ LESS THAN 100	☐ 100 TO 499	☐ 500 TO 999	1,000 TO 4,999
	☐ 5,000 TO 9,999	10,000 OR MORI	Ξ	
IF SOLD □ NET G ☑ NET L	I IXI LEGG THAN \$5 OC	00 🔲 \$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY	WMS INDS INC CO		AME	
STOCK HELD OR ACQUIRE	BY X FILER	SPOUSE	DEPENDENT CHIL	.D
NUMBER OF SHARES	<ul><li>X LESS THAN 100</li><li>☐ 5,000 TO 9,999</li></ul>	☐ 100 TO 499 ☐ 10,000 OR MORI	☐ 500 TO 999	1,000 TO 4,999
IF SOLD ☑ NET G	1 IVI 1 ECO TILAN #E 00	0 🔲 \$5,000 - \$9,999	<b>\$10,000 - \$24,999</b>	\$25,000OR MORE
BUSINESS ENTITY	AMERICAN INTERN		AME	
STOCK HELD OR ACQUIRED	BY X FILER	SPOUSE	DEPENDENT CHIL	D
NUMBER OF SHARES	☐ LESS THAN 100 ☐ 5,000 TO 9,999	☐ 100 TO 499 ☐ 10,000 OR MORE	☐ 500 TO 999	X 1,000 TO 4,999
IF SOLD ☑ NET G/	1 1 1 ESS THAN \$5 00	0	X \$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY	CHART INDS INC	N	AME	
	OT WALL IN DO IN O			
STOCK HELD OR ACQUIRED		SPOUSE	☐ DEPENDENT CHIL	D
STOCK HELD OR ACQUIRED NUMBER OF SHARES		☐ SPOUSE  ☑ 100 TO 499 ☐ 10,000 OR MORE	☐ 500 TO 999	D 1,000 TO 4,999
	BY SILER  LESS THAN 100  5,000 TO 9,999	☐ 100 TO 499 ☐ 10,000 OR MORE	☐ 500 TO 999	

i _					PART 2
☐ NOT APPLICABLE					
List each business entity in and indicate the category category of the amount of INSTRUCTION GUIDE.	of the number	er of shares held or acq	uired. If some or all	of the stock was sole	d, also indicate the
When reporting information providing the number und				bout whom you are re	eporting by
<sup>1</sup> BUSINESS ENTITY		CHESAPEAKE ENER		ME	
2 STOCK HELD OR ACC	QUIRED BY	▼ FILER	SPOUSE	DEPENDENT CHIL	.D
<sup>3</sup> NUMBER OF SHARES	3	☐ LESS THAN 100 ☐ 5,000 TO 9,999	☐ 100 TO 499 ☐ 10,000 OR MORE	☐ 500 TO 999	X 1,000 TO 4,999
<sup>4</sup> IF SOLD □	NET GAIN NET LOSS	LESS THAN \$5,000	☒ \$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY		CITIGROUP	NA	ME	
STOCK HELD OR ACC	QUIRED BY	☑ FILER	SPOUSE	DEPENDENT CHIL	D
NUMBER OF SHARES	<b>,</b>	☐ LESS THAN 100 ☑ 5,000 TO 9,999	☐ 100 TO 499 ☐ 10,000 OR MORE	☐ 500 TO 999	☐ 1,000 TO 4,999
IF SOLD ☑	NET GAIN NET LOSS	☑ LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY		DIANA SHIPPING INC		ME	
STOCK HELD OR ACQ	QUIRED BY	X FILER	SPOUSE	DEPENDENT CHIL	D
NUMBER OF SHARES		LESS THAN 100	☐ 100 TO 499	☐ 500 TO 999	[V] 4 000 TO 4 000
		☐ 5,000 TO 9,999	10,000 OR MORE	<del></del>	X 1,000 TO 4,999
" OOLD	NET GAIN NET LOSS	5,000 TO 9,999  LESS THAN \$5,000			□ \$25,000-OR MORE
" OOLD			\$5,000 - \$9,999		
U	NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	X \$10,000 - \$24,999	\$25,000-OR MORE
BUSINESS ENTITY	NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999  NA ES ADR	<ul><li></li></ul>	\$25,000-OR MORE
BUSINESS ENTITY  STOCK HELD OR ACQ  NUMBER OF SHARES  IF SOLD	NET LOSS	LESS THAN \$5,000  HIMAX TECHNOLOGI  FILER  LESS THAN 100	\$5,000 - \$9,999  NA ES ADR  SPOUSE  100 TO 499	<ul><li></li></ul>	\$25,000-OR MORE
BUSINESS ENTITY  STOCK HELD OR ACQ  NUMBER OF SHARES  IF SOLD	QUIRED BY NET GAIN	LESS THAN \$5,000  HIMAX TECHNOLOGI  FILER  LESS THAN 100  5,000 TO 9,999	\$5,000 - \$9,999   NA     SPOUSE   100 TO 499   10,000 OR MORE   \$5,000 - \$9,999   NA	\$10,000 - \$24,999   ME	□ \$25,000-OR MORE  □ \$25,000-OR MORE  □ \$25,000-OR MORE
BUSINESS ENTITY  STOCK HELD OR ACQ  NUMBER OF SHARES  IF SOLD	NET GAIN NET LOSS	LESS THAN \$5,000  HIMAX TECHNOLOGI  FILER  LESS THAN 100  5,000 TO 9,999  LESS THAN \$5,000	\$5,000 - \$9,999   NA     SPOUSE   100 TO 499   10,000 OR MORE   \$5,000 - \$9,999   NA	\$10,000 - \$24,999   ME	□ \$25,000OR MORE  □ \$25,000OR MORE  □ \$25,000OR MORE
BUSINESS ENTITY  STOCK HELD OR ACQ  NUMBER OF SHARES  IF SOLD  BUSINESS ENTITY	NET GAIN NET LOSS	LESS THAN \$5,000  HIMAX TECHNOLOGI  FILER  LESS THAN 100  5,000 TO 9,999  LESS THAN \$5,000  PNC FINCL SERVICES	\$5,000 - \$9,999  NA ES ADR  SPOUSE  100 TO 499  10,000 OR MORE  \$5,000 - \$9,999		□ \$25,000OR MORE  □ \$25,000OR MORE  □ \$25,000OR MORE
BUSINESS ENTITY  STOCK HELD OR ACQ  NUMBER OF SHARES  IF SOLD  BUSINESS ENTITY  STOCK HELD OR ACQ  NUMBER OF SHARES  IF SOLD	NET GAIN NET LOSS	LESS THAN \$5,000  HIMAX TECHNOLOGI  FILER  LESS THAN 100  5,000 TO 9,999  LESS THAN \$5,000  PNC FINCL SERVICES  FILER  LESS THAN 100	\$5,000 - \$9,999	\$10,000 - \$24,999   ME	□ \$25,000-OR MORE  □ \$25,000-OR MORE  □ \$25,000-OR MORE  □ \$25,000-OR MORE

STOCK					PART 2	
☐ NOT APPLICAE	BLE					
and indicate the cate	gory of the numbent of the net gain	, your spouse, or a depe er of shares held or acc or loss realized from th	juired. If some or al	l of the stock was sol	d, also indicate the	
		ependent child's activity child is listed on the Co		bout whom you are r	eporting by	
1 BUSINESS ENTITY	1	UNITED STS CORP NEW				
2 STOCK HELD OR	ACQUIRED BY		SPOUSE	DEPENDENT CHIL	D	
<sup>3</sup> NUMBER OF SHAI	RES	LESS THAN 100	☐ 100 TO 499 ☐ 10,000 OR MORE	☐ 500 TO 999	X 1,000 TO 4,999	
<sup>4</sup> IF SOLD	X NET GAIN ☐ NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	<b>X</b> \$10,000 - \$24,999	\$25,000OR MORE	
BUSINESS ENTITY	(	AT&T INC	NA	ME		
STOCK HELD OR	ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHIL	D	
NUMBER OF SHAF	RES	LESS THAN 100 5,000 TO 9,999	☐ 100 TO 499 ☐ 10,000 OR MORE	☐ 500 TO 999	X 1,000 TO 4,999	
IF SOLD	☐ NET GAIN ☐ NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE	
BUSINESS ENTITY	•	BANK OF AMERICA C		ME		
STOCK HELD OR A	ACQUIRED BY		SPOUSE	DEPENDENT CHIL	D	
NUMBER OF SHAF	RES	☐ LESS THAN 100 ☐ 5,000 TO 9,999	☐ 100 TO 499 ☐ 10,000 OR MORE	☐ 500 TO 999	X 1,000 TO 4,999	
IF SOLD	☐ NET GAIN ☐ NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE	
BUSINESS ENTITY	•	EXXON MOBIL CORP		ME ·		
STOCK HELD OR A	ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHIL	D	
NUMBER OF SHAF	RES	☐ LESS THAN 100 ☐ 5,000 TO 9,999	☐ 100 TO 499 ☐ 10,000 OR MORE	☐ 500 TO 999	X 1,000 TO 4,999	
IF SOLD	☐ NET GAIN ☐ NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE	
BUSINESS ENTITY	,	FANNIE MAE (USA) C	NA OM NPV	ME		
STOCK HELD OR A	ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHIL	D	
NUMBER OF SHAF	RES	LESS THAN 100	☐ 100 TO 499 ☑ 10,000 OR MORE	☐ 500 TO 999	☐ 1,000 TO 4,999	
IF SOLD	☐ NET GAIN ☐ NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE	
COPY AND ATTACH ADDITIONAL PAGES AS NECESSARY						

STOCK				PART 2	
☐ NOT APPLICABLE					
List each business entity in which you and indicate the category of the numb category of the amount of the net gain INSTRUCTION GUIDE.	er of shares held or acc	uired. If some or al	l of the stock was sol	d, also indicate the	
When reporting information about a deproviding the number under which the			bout whom you are re	eporting by	
<sup>1</sup> BUSINESS ENTITY	FORD MOTOR CO NEW				
2 STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHIL	D	
<sup>3</sup> NUMBER OF SHARES	LESS THAN 100	☐ 100 TO 499 ☐ 10,000 OR MORE	☐ 500 TO 999	X 1,000 TO 4,999	
4 IF SOLD NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE	
BUSINESS ENTITY	FREDDIE MAC	NA	ME		
STOCK HELD OR ACQUIRED BY	☑ FILER	SPOUSE	DEPENDENT CHIL	D	
NUMBER OF SHARES	LESS THAN 100	☐ 100 TO 499	☐ 500 TO 999	☐ 1,000 TO 4,999	
	5,000 TO 9,999				
IF SOLD ☐ NET GAIN ☐ NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000-OR MORE	
BUSINESS ENTITY	GENERAL ELECTRIC		ME		
BUSINESS ENTITY  STOCK HELD OR ACQUIRED BY	GENERAL ELECTRIC		ME DEPENDENT CHIL	D	
	☑ FILER ☐ LESS THAN 100	☐ SPOUSE ☐ 100 TO 499	DEPENDENT CHIL	D X 1,000 TO 4,999	
STOCK HELD OR ACQUIRED BY	☑ FILER	☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MORE	DEPENDENT CHIL		
STOCK HELD OR ACQUIRED BY  NUMBER OF SHARES  IF SOLD  NET GAIN	☐ LESS THAN 100☐ 5,000 TO 9,999	☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999	DEPENDENT CHIL	X 1,000 TO 4,999	
STOCK HELD OR ACQUIRED BY  NUMBER OF SHARES  IF SOLD  NET GAIN NET LOSS	☐ LESS THAN 100☐ 5,000 TO 9,999☐ LESS THAN \$5,000	☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999	☐ DEPENDENT CHIL ☐ 500 TO 999 ☐ \$10,000 - \$24,999	<ul><li>X 1,000 TO 4,999</li><li>X \$25,000OR MORE</li></ul>	
STOCK HELD OR ACQUIRED BY  NUMBER OF SHARES  IF SOLD NET GAIN NET LOSS  BUSINESS ENTITY	☐ LESS THAN 100☐ 5,000 TO 9,999☐ LESS THAN \$5,000☐ INTL PAPER CO	☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999	☐ DEPENDENT CHIL ☐ 500 TO 999 ☐ \$10,000 - \$24,999  ME ☐ DEPENDENT CHIL ☐ 500 TO 999	<ul><li>X 1,000 TO 4,999</li><li>X \$25,000OR MORE</li></ul>	
STOCK HELD OR ACQUIRED BY  NUMBER OF SHARES  IF SOLD NET GAIN NET LOSS  BUSINESS ENTITY  STOCK HELD OR ACQUIRED BY	☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000  INTL PAPER CO ☐ FILER ☐ LESS THAN 100	☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999  NA ☐ SPOUSE ☐ 100 TO 499	☐ DEPENDENT CHIL ☐ 500 TO 999 ☐ \$10,000 - \$24,999  ME ☐ DEPENDENT CHIL ☐ 500 TO 999	1,000 TO 4,999  \$25,000OR MORE	
STOCK HELD OR ACQUIRED BY  NUMBER OF SHARES  IF SOLD NET GAIN NET LOSS  BUSINESS ENTITY  STOCK HELD OR ACQUIRED BY  NUMBER OF SHARES  IF SOLD NET GAIN	☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000  INTL PAPER CO ☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999	☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999  NA ☐ SPOUSE ☐ 10,000 OR MORE ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999	☐ DEPENDENT CHIL ☐ 500 TO 999 ☐ \$10,000 - \$24,999  ME ☐ DEPENDENT CHIL ☐ 500 TO 999 ☐ \$10,000 - \$24,999	□ \$25,000OR MORE □ \$25,000OR MORE □ \$1,000 TO 4,999	
STOCK HELD OR ACQUIRED BY  NUMBER OF SHARES  IF SOLD NET GAIN NET LOSS  BUSINESS ENTITY  STOCK HELD OR ACQUIRED BY  NUMBER OF SHARES  IF SOLD NET GAIN NET LOSS	☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000  INTL PAPER CO ☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000	☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999  NA ☐ SPOUSE ☐ 10,000 OR MORE ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999	☐ DEPENDENT CHIL ☐ 500 TO 999 ☐ \$10,000 - \$24,999  ME ☐ DEPENDENT CHIL ☐ 500 TO 999 ☐ \$10,000 - \$24,999	☐ \$25,000OR MORE ☐ \$25,000OR MORE ☐ 1,000 TO 4,999 ☐ \$25,000OR MORE	
STOCK HELD OR ACQUIRED BY NUMBER OF SHARES  IF SOLD NET GAIN NET LOSS  BUSINESS ENTITY  STOCK HELD OR ACQUIRED BY NUMBER OF SHARES  IF SOLD NET GAIN NET LOSS  BUSINESS ENTITY	☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000  INTL PAPER CO ☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000  VALERO ENERGY CO	☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999  NA ☐ SPOUSE ☐ 10,000 OR MORE ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999	☐ DEPENDENT CHIL ☐ 500 TO 999 ☐ \$10,000 - \$24,999  ME ☐ DEPENDENT CHIL ☐ 500 TO 999 ☐ \$10,000 - \$24,999  ME	□ \$25,000OR MORE □ \$25,000OR MORE □ 1,000 TO 4,999 □ \$25,000OR MORE	
STOCK HELD OR ACQUIRED BY NUMBER OF SHARES  IF SOLD NET GAIN NET LOSS  BUSINESS ENTITY  STOCK HELD OR ACQUIRED BY NUMBER OF SHARES  IF SOLD NET GAIN NET LOSS  BUSINESS ENTITY  STOCK HELD OR ACQUIRED BY	☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000  INTL PAPER CO ☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000  VALERO ENERGY CO ☐ FILER ☐ LESS THAN 100	☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999  NA ☐ SPOUSE ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999  NA ORP NEW ☐ SPOUSE ☐ 100 TO 499	☐ DEPENDENT CHIL ☐ 500 TO 999 ☐ \$10,000 - \$24,999  ME ☐ DEPENDENT CHIL ☐ 500 TO 999 ☐ \$10,000 - \$24,999  ME ☐ DEPENDENT CHIL	□ \$25,000OR MORE □ \$25,000OR MORE □ \$25,000OR MORE □ \$25,000OR MORE	

STOCK					PART 2
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List each business entit and indicate the catego category of the amount INSTRUCTION GUIDE.	ry of the number of the net gain	er of shares held or acc	uired. If some or al	l of the stock was sol	d, also indicate the
When reporting informa providing the number ur				bout whom you are r	eporting by
<sup>1</sup> BUSINESS ENTITY		WELLS FARGO & CO NEW DEL			
2 STOCK HELD OR AC	CQUIRED BY	X FILER	SPOUSE	DEPENDENT CHIL	D
<sup>3</sup> NUMBER OF SHARE	ES	LESS THAN 100	☐ 100 TO 499 ☐ 10,000 OR MORE	∑ 500 TO 999	☐ 1,000 TO 4,999
<sup>4</sup> IF SOLD [	NET GAIN NET LOSS	LESS THAN \$5,000	<b>\$5,000 - \$9,999</b>	<b>\$10,000 - \$24,999</b>	\$25,000OR MORE
BUSINESS ENTITY		WINDSTREAM	N.A	AME	
STOCK HELD OR AC	QUIRED BY	☑ FILER	SPOUSE	DEPENDENT CHIL	D
NUMBER OF SHARE	S	LESS THAN 100	☐ 100 TO 499	☐ 500 TO 999	X 1,000 TO 4,999
		☐ 5,000 TO 9,999	10,000 OR MORE		
IF SOLD [	NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY		ISHARES SILVER TR		ME	
STOCK HELD OR AC	QUIRED BY	∏ FILER	SPOUSE	☐ DEPENDENT CHIL	D
NUMBER OF SHARE	S	☐ LESS THAN 100 ☐ 5,000 TO 9,999	☐ 100 TO 499 ☐ 10,000 OR MORE	500 TO 999	X 1,000 TO 4,999
" 00 -	NET GAIN NET LOSS	LESS THAN \$5,000	<b>\$5,000 - \$9,999</b>	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY		CLOROX CO DEL CO		ME	
STOCK HELD OR AC	QUIRED BY	X FILER	SPOUSE	DEPENDENT CHIL	D
NUMBER OF SHARE	S	☐ LESS THAN 100 ☐ 5,000 TO 9,999	☐ 100 TO 499 ☐ 10,000 OR MORE	∑ 500 TO 999	1,000 TO 4,999
IF SOLD [	NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY		EMERSON ELEC CO	NA	ME	
STOCK HELD OR AC	QUIRED BY		SPOUSE	DEPENDENT CHIL	D
NUMBER OF SHARE	S	☐ LESS THAN 100 ☐ 5,000 TO 9,999	☐ 100 TO 499 ☐ 10,000 OR MORE	∑ 500 TO 999	☐ 1,000 TO 4,999
IF SOLD [	NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
COPY AND ATTACH ADDITIONAL PAGES AS NECESSARY					

STOCK				PART 2	
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List each business entity in which yo and indicate the category of the num category of the amount of the net ga INSTRUCTION GUIDE.	ber of shares held or ac	quired. If some or a	ll of the stock was sol	d, also indicate the	
When reporting information about a providing the number under which the			about whom you are r	eporting by	
<sup>1</sup> BUSINESS ENTITY	TEXAS INSTRUMENTS				
2 STOCK HELD OR ACQUIRED BY	/ X FILER	SPOUSE	DEPENDENT CHIL	.D	
<sup>3</sup> NUMBER OF SHARES	☐ LESS THAN 100 ☐ 5,000 TO 9,999	☐ 100 TO 499 ☐ 10,000 OR MORE	∑ 500 TO 999 ≣	☐ 1,000 TO 4,999	
4 IF SOLD NET GAIN NET LOSS	LESS THAN \$5,000	<b>\$5,000 - \$9,999</b>	<b>\$10,000 - \$24,999</b>	☐ \$25,000-OR MORE	
BUSINESS ENTITY	AGNICO EAGLE MINES LTD				
STOCK HELD OR ACQUIRED BY	/ X FILER	SPOUSE	DEPENDENT CHIL	D	
NUMBER OF SHARES	X LESS THAN 100	☐ 100 TO 499	☐ 500 TO 999	☐ 1,000 TO 4,999	
	☐ 5,000 TO 9,999	☐ 10,000 OR MORE	<b>=</b>		
IF SOLD □ NET GAIN □ NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	<b>\$10,000 - \$24,999</b>	\$25,000OR MORE	
BUSINESS ENTITY ALBEMARLE CORP COM					
BUSINESS ENTITY	ALBEMARLE CORP (		AME		
BUSINESS ENTITY  STOCK HELD OR ACQUIRED BY			AME  DEPENDENT CHIL	D	
		СОМ	DEPENDENT CHIL	D 1,000 TO 4,999	
STOCK HELD OR ACQUIRED BY	✓ X FILER X LESS THAN 100	SPOUSE  100 TO 499	DEPENDENT CHIL		
STOCK HELD OR ACQUIRED BY NUMBER OF SHARES  IF SOLD	✓ X FILER  X LESS THAN 100  5,000 TO 9,999	SPOUSE  100 TO 499  10,000 OR MORE  \$5,000 - \$9,999	DEPENDENT CHIL	1,000 TO 4,999	
STOCK HELD OR ACQUIRED BY NUMBER OF SHARES  IF SOLD  NET GAIN NET LOSS	LESS THAN 100 5,000 TO 9,999 LESS THAN \$5,000  ALLEGHENY TECH IF	SPOUSE  100 TO 499  10,000 OR MORE  \$5,000 - \$9,999	☐ DEPENDENT CHIL ☐ 500 TO 999   ☐ \$10,000 - \$24,999	1,000 TO 4,999  \$25,000OR MORE	
STOCK HELD OR ACQUIRED BY NUMBER OF SHARES  IF SOLD  NET GAIN NET LOSS  BUSINESS ENTITY	✓ IX FILER  IX LESS THAN 100  I 5,000 TO 9,999  I LESS THAN \$5,000  ALLEGHENY TECH IN	SPOUSE  100 TO 499  10,000 OR MORE  \$5,000 - \$9,999	DEPENDENT CHIL  500 TO 999  \$10,000 - \$24,999  AME  DEPENDENT CHIL  500 TO 999	1,000 TO 4,999  \$25,000OR MORE	
STOCK HELD OR ACQUIRED BY  NUMBER OF SHARES  IF SOLD NET GAIN NET LOSS  BUSINESS ENTITY  STOCK HELD OR ACQUIRED BY	✓ IX FILER  X LESS THAN 100  □ 5,000 TO 9,999  □ LESS THAN \$5,000  ALLEGHENY TECH II  X FILER  □ LESS THAN 100	SPOUSE    100 TO 499   10,000 OR MORE   \$5,000 - \$9,999	DEPENDENT CHIL  500 TO 999  \$10,000 - \$24,999  AME  DEPENDENT CHIL  500 TO 999	1,000 TO 4,999  \$25,000OR MORE	
STOCK HELD OR ACQUIRED BY NUMBER OF SHARES  IF SOLD NET GAIN NET LOSS  BUSINESS ENTITY  STOCK HELD OR ACQUIRED BY NUMBER OF SHARES  IF SOLD NET GAIN	X FILER   X LESS THAN 100   5,000 TO 9,999   LESS THAN \$5,000     ALLEGHENY TECH II   X FILER   LESS THAN 100   5,000 TO 9,999	SPOUSE  100 TO 499  10,000 OR MORE  \$5,000 - \$9,999  NO  SPOUSE  10,000 OR MORE  10,000 OR MORE	DEPENDENT CHIL  500 TO 999  \$10,000 - \$24,999   ME  DEPENDENT CHIL  500 TO 999	1,000 TO 4,999  \$25,000OR MORE  D  1,000 TO 4,999	
STOCK HELD OR ACQUIRED BY NUMBER OF SHARES  IF SOLD NET GAIN NET LOSS  BUSINESS ENTITY  STOCK HELD OR ACQUIRED BY NUMBER OF SHARES  IF SOLD NET GAIN NET LOSS	X FILER   X LESS THAN 100   5,000 TO 9,999   LESS THAN \$5,000     ALLEGHENY TECH II   X FILER   LESS THAN 100   5,000 TO 9,999   LESS THAN \$5,000     APACHE CORP	SPOUSE  100 TO 499  10,000 OR MORE  \$5,000 - \$9,999  NO  SPOUSE  10,000 OR MORE  10,000 OR MORE	DEPENDENT CHIL  500 TO 999  \$10,000 - \$24,999  AME  DEPENDENT CHIL  500 TO 999  \$10,000 - \$24,999	□ 1,000 TO 4,999 □ \$25,000OR MORE □ 1,000 TO 4,999 □ 1,000 TO 4,999 □ \$25,000OR MORE	
STOCK HELD OR ACQUIRED BY NUMBER OF SHARES  IF SOLD NET GAIN NET LOSS  BUSINESS ENTITY  STOCK HELD OR ACQUIRED BY NUMBER OF SHARES  IF SOLD NET GAIN NET LOSS  BUSINESS ENTITY	X FILER   X LESS THAN 100   5,000 TO 9,999   LESS THAN \$5,000     ALLEGHENY TECH III   X FILER   LESS THAN 100   5,000 TO 9,999   LESS THAN \$5,000     APACHE CORP	SPOUSE  100 TO 499  10,000 OR MORE  \$5,000 - \$9,999  NC  SPOUSE  10,000 OR MORE  10,000 OR MORE  \$5,000 - \$9,999	DEPENDENT CHIL  500 TO 999  \$10,000 - \$24,999  AME  DEPENDENT CHIL  500 TO 999  \$10,000 - \$24,999  AME  DEPENDENT CHIL  500 TO 999  AME  DEPENDENT CHIL  500 TO 999	□ 1,000 TO 4,999 □ \$25,000OR MORE □ 1,000 TO 4,999 □ 1,000 TO 4,999 □ \$25,000OR MORE	
STOCK HELD OR ACQUIRED BY NUMBER OF SHARES  IF SOLD NET GAIN NET LOSS  BUSINESS ENTITY  STOCK HELD OR ACQUIRED BY NUMBER OF SHARES  IF SOLD NET GAIN NET LOSS  BUSINESS ENTITY  STOCK HELD OR ACQUIRED BY	I   I   I   I   I   I   I   I   I   I	SPOUSE  SPOUSE  100 TO 499  10,000 OR MORE  \$5,000 - \$9,999  N/ SPOUSE  100 TO 499  10,000 OR MORE  \$5,000 - \$9,999  N/ SPOUSE  100 TO 499	DEPENDENT CHIL  500 TO 999  \$10,000 - \$24,999  AME  DEPENDENT CHIL  500 TO 999  \$10,000 - \$24,999  AME  DEPENDENT CHIL  500 TO 999  AME  DEPENDENT CHIL  500 TO 999	☐ 1,000 TO 4,999  ☐ \$25,000OR MORE  ☐ 1,000 TO 4,999  ☐ \$25,000OR MORE  ☐ □ \$25,000OR MORE	

STOCK				PART 2
☐ NOT APPLICABLE				
List each business entity in which you and indicate the category of the numb category of the amount of the net gair INSTRUCTION GUIDE.	er of shares held or acc	quired. If some or a	II of the stock was sol	d, also indicate the
When reporting information about a deproviding the number under which the			about whom you are r	eporting by
<sup>1</sup> BUSINESS ENTITY	APPLE INC	N	AME	
2 STOCK HELD OR ACQUIRED BY	☑ FILER	SPOUSE	DEPENDENT CHIL	D
<sup>3</sup> NUMBER OF SHARES	X LESS THAN 100  ☐ 5,000 TO 9,999	☐ 100 TO 499 ☐ 10,000 OR MORE	☐ 500 TO 999	☐ 1,000 TO 4,999
4 IF SOLD NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	☐ \$25,000OR MORE
BUSINESS ENTITY	ASSURED GUARANT		AME	
STOCK HELD OR ACQUIRED BY	∏ FILER	SPOUSE	DEPENDENT CHIL	.D
NUMBER OF SHARES	LESS THAN 100 5,000 TO 9,999	<ul><li>X 100 TO 499</li><li>☐ 10,000 OR MORE</li></ul>	☐ 500 TO 999	☐ 1,000 TO 4,999
IF SOLD ☐ NET GAIN ☐ NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY	BARRICK GOLD COR		AME	
STOCK HELD OR ACQUIRED BY	∏ FILER	SPOUSE	DEPENDENT CHIL	.D
NUMBER OF SHARES	X LESS THAN 100 ☐ 5,000 TO 9,999	☐ 100 TO 499 ☐ 10,000 OR MORE	☐ 500 TO 999	☐ 1,000 TO 4,999
IF SOLD ☐ NET GAIN ☐ NET LOSS	LESS THAN \$5,000	<b>55,000 - \$9,999</b>	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY	BORG WARNER INC		ME	
STOCK HELD OR ACQUIRED BY	☑ FILER	SPOUSE	☐ DEPENDENT CHIL	D
NUMBER OF SHARES	X LESS THAN 100 ☐ 5,000 TO 9,999	☐ 100 TO 499 ☐ 10,000 OR MORE	☐ 500 TO 999	1,000 TO 4,999
IF SOLD NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY	BRISTOL-MYERS SQI	NA UIBB CO	ME	
STOCK HELD OR ACQUIRED BY	ズ FILER	SPOUSE	DEPENDENT CHIL	D
STOCK HELD OR ACQUIRED BY NUMBER OF SHARES		☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MORE		D 1,000 TO 4,999
	☑ FILER ☐ LESS THAN 100			

				PART 2
☐ NOT APPLICABLE				
List each business entity in which you and indicate the category of the numb category of the amount of the net gain INSTRUCTION GUIDE.	er of shares held or acc	quired. If some or al	I of the stock was sol	d, also indicate the
When reporting information about a deproviding the number under which the			bout whom you are r	eporting by
<sup>1</sup> BUSINESS ENTITY	CLEAN HARBORS INC			
2 STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHIL	.D
<sup>3</sup> NUMBER OF SHARES	X LESS THAN 100 ☐ 5,000 TO 9,999	☐ 100 TO 499 ☐ 10,000 OR MORE	☐ 500 TO 999	☐ 1,000 TO 4,999
4 IF SOLD ☐ NET GAIN ☐ NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	<b>\$10,000 - \$24,999</b>	☐ \$25,000OR MORE
BUSINESS ENTITY	COGNIZANT TECH S		AME	
STOCK HELD OR ACQUIRED BY		SPOUSE	DEPENDENT CHIL	.D
NUMBER OF SHARES	☐ LESS THAN 100	100 TO 499	☐ 500 TO 999	1,000 TO 4,999
	5,000 TO 9,999	10,000 OR MORE		
IF SOLD ☐ NET GAIN ☐ NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	☐ \$25,000OR MORE
BUSINESS ENTITY COMPASS MINERALS INTL INC				
Boomado EMITT	COMPASS MINERALS	S INTL INC		
STOCK HELD OR ACQUIRED BY	COMPASS MINERALS    X   FILER	S INTL INC	DEPENDENT CHIL	D
			DEPENDENT CHIL	D 1,000 TO 4,999
STOCK HELD OR ACQUIRED BY	☐ FILER ☐ LESS THAN 100	☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MORE	DEPENDENT CHIL	
STOCK HELD OR ACQUIRED BY  NUMBER OF SHARES  IF SOLD    NET GAIN	☐ 5,000 TO 9,999	☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999	DEPENDENT CHIL	1,000 TO 4,999
STOCK HELD OR ACQUIRED BY  NUMBER OF SHARES  IF SOLD	☐ LESS THAN 100☐ 5,000 TO 9,999☐ LESS THAN \$5,000☐	☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999	☐ DEPENDENT CHIL ☐ 500 TO 999 ☐ \$10,000 - \$24,999	1,000 TO 4,999  \$25,000—OR MORE
STOCK HELD OR ACQUIRED BY  NUMBER OF SHARES  IF SOLD  NET GAIN NET LOSS  BUSINESS ENTITY	☐ LESS THAN 100☐ 5,000 TO 9,999☐ LESS THAN \$5,000☐ CROWN HLDGS INC	☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999	☐ DEPENDENT CHIL ☐ 500 TO 999 ☐ \$10,000 - \$24,999  ME ☐ DEPENDENT CHIL ☐ 500 TO 999	1,000 TO 4,999  \$25,000—OR MORE
STOCK HELD OR ACQUIRED BY  NUMBER OF SHARES  IF SOLD NET GAIN NET LOSS  BUSINESS ENTITY  STOCK HELD OR ACQUIRED BY	☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000  CROWN HLDGS INC ☐ FILER ☐ LESS THAN 100	□ SPOUSE □ 100 TO 499 □ 10,000 OR MORE □ \$5,000 - \$9,999  NA □ SPOUSE □ 100 TO 499	☐ DEPENDENT CHIL ☐ 500 TO 999 ☐ \$10,000 - \$24,999  ME ☐ DEPENDENT CHIL ☐ 500 TO 999	1,000 TO 4,999  \$25,000—OR MORE
STOCK HELD OR ACQUIRED BY  NUMBER OF SHARES  IF SOLD NET GAIN NET LOSS  BUSINESS ENTITY  STOCK HELD OR ACQUIRED BY  NUMBER OF SHARES  IF SOLD NET GAIN	☐ FILER  ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000  CROWN HLDGS INC ☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999	☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999  NA ☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999	☐ DEPENDENT CHIL ☐ 500 TO 999 ☐ \$10,000 - \$24,999  ME ☐ DEPENDENT CHIL ☐ 500 TO 999 ☐ \$10,000 - \$24,999  ME	☐ 1,000 TO 4,999  ☐ \$25,000—OR MORE  ☐ ☐ 1,000 TO 4,999
STOCK HELD OR ACQUIRED BY NUMBER OF SHARES  IF SOLD NET GAIN NET LOSS  BUSINESS ENTITY  STOCK HELD OR ACQUIRED BY NUMBER OF SHARES  IF SOLD NET GAIN NET LOSS	☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000  CROWN HLDGS INC ☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000	☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999  NA ☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999	☐ DEPENDENT CHIL ☐ 500 TO 999 ☐ \$10,000 - \$24,999  ME ☐ DEPENDENT CHIL ☐ 500 TO 999 ☐ \$10,000 - \$24,999  ME	☐ 1,000 TO 4,999  ☐ \$25,000—OR MORE  ☐ 1,000 TO 4,999  ☐ 1,000 TO 4,999  ☐ \$25,000OR MORE
STOCK HELD OR ACQUIRED BY NUMBER OF SHARES  IF SOLD NET GAIN NET LOSS  BUSINESS ENTITY  STOCK HELD OR ACQUIRED BY NUMBER OF SHARES  IF SOLD NET GAIN NET LOSS  BUSINESS ENTITY	☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000  CROWN HLDGS INC ☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000  DISCOVERY COMMU	☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999  NA ☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999  NICATN INC SERIES	☐ DEPENDENT CHIL ☐ 500 TO 999  ☐ \$10,000 - \$24,999  ME ☐ DEPENDENT CHIL ☐ 500 TO 999  ☐ \$10,000 - \$24,999  ME S A ☐ DEPENDENT CHIL ☐ 500 TO 999	☐ 1,000 TO 4,999  ☐ \$25,000—OR MORE  ☐ 1,000 TO 4,999  ☐ 1,000 TO 4,999  ☐ \$25,000OR MORE
STOCK HELD OR ACQUIRED BY NUMBER OF SHARES  IF SOLD NET GAIN NET LOSS  BUSINESS ENTITY  STOCK HELD OR ACQUIRED BY NUMBER OF SHARES  IF SOLD NET GAIN NET LOSS  BUSINESS ENTITY  STOCK HELD OR ACQUIRED BY	☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000  CROWN HLDGS INC ☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000  DISCOVERY COMMU ☐ FILER ☐ LESS THAN 100	☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999  NA ☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999  NICATN INC SERIES ☐ SPOUSE ☐ 100 TO 499 ☐ 100 TO 499	☐ DEPENDENT CHIL ☐ 500 TO 999  ☐ \$10,000 - \$24,999  ME ☐ DEPENDENT CHIL ☐ 500 TO 999  ☐ \$10,000 - \$24,999  ME S A ☐ DEPENDENT CHIL ☐ 500 TO 999	☐ 1,000 TO 4,999  ☐ \$25,000—OR MORE  ☐ 1,000 TO 4,999  ☐ \$25,000—OR MORE  ☐ 1,000 TO 4,999  ☐ 1,000 TO 4,999

STOCK				PART 2
☐ NOT APPLICABLE				
List each business entity in which you and indicate the category of the number category of the amount of the net gair INSTRUCTION GUIDE.	er of shares held or acc	quired. If some or a	I of the stock was sol	d, also indicate the
When reporting information about a d providing the number under which the			about whom you are r	eporting by
1 BUSINESS ENTITY DISNEY (WALI) CO COMS STK				
2 STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHIL	D
<sup>3</sup> NUMBER OF SHARES	X LESS THAN 100  ☐ 5,000 TO 9,999	☐ 100 TO 499 ☐ 10,000 OR MORE	☐ 500 TO 999	1,000 TO 4,999
4 IF SOLD NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	<b>\$10,000 - \$24,999</b>	\$25,000OR MORE
BUSINESS ENTITY	DOW CHEMICAL CO	NA.	AME	
STOCK HELD OR ACQUIRED BY	☑ FILER	SPOUSE	DEPENDENT CHIL	.D
NUMBER OF SHARES	☐ LESS THAN 100 ☐ 5,000 TO 9,999	<ul><li>X 100 TO 499</li><li>☐ 10,000 OR MORE</li></ul>	☐ 500 TO 999	☐ 1,000 TO 4,999
IF SOLD NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY	DR REDDY'S LAB LTI		AME	
STOCK HELD OR ACQUIRED BY	☐ FILER	SPOUSE	DEPENDENT CHIL	D
NUMBER OF SHARES	X LESS THAN 100  ☐ 5,000 TO 9,999	☐ 100 TO 499 ☐ 10,000 OR MORE	☐ 500 TO 999	☐ 1,000 TO 4,999
IF SOLD NET GAIN NET LOSS	LESS THAN \$5,000	<b>55,000 - \$9,999</b>	<b>\$10,000 - \$24,999</b>	\$25,000OR MORE
BUSINESS ENTITY	DREAMWORKS ANIM		ME	
STOCK HELD OR ACQUIRED BY		SPOUSE	☐ DEPENDENT CHIL	D
NUMBER OF SHARES	X LESS THAN 100  ☐ 5,000 TO 9,999	☐ 100 TO 499 ☐ 10,000 OR MORE	☐ 500 TO 999	1,000 TO 4,999
IF SOLD NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY	EBAY INC COM	NA	ME	
STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	☐ DEPENDENT CHIL	D
NUMBER OF SHARES	X LESS THAN 100 ☐ 5,000 TO 9,999	☐ 100 TO 499 ☐ 10,000 OR MORE	☐ 500 TO 999	☐ 1,000 TO 4,999
IF SOLD ☐ NET GAIN ☐ NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
COPY AND ATTACH ADDITIONAL PAGES AS NECESSARY				

STOCK				PART 2
☐ NOT APPLICABLE				
List each business entity in which you and indicate the category of the numb category of the amount of the net gain INSTRUCTION GUIDE.	er of shares held or acc	quired. If some or al	I of the stock was sol	d, also indicate the
When reporting information about a de providing the number under which the			bout whom you are r	eporting by
<sup>1</sup> BUSINESS ENTITY	EXPEDITORS INTL WASH INC			
2 STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHIL	.D
<sup>3</sup> NUMBER OF SHARES	X LESS THAN 100  ☐ 5,000 TO 9,999	☐ 100 TO 499 ☐ 10,000 OR MORE	☐ 500 TO 999	☐ 1,000 TO 4,999
4 IF SOLD NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	<b>\$10,000 - \$24,999</b>	\$25,000OR MORE
BUSINESS ENTITY	FMC TECHS INC COM		AME	
STOCK HELD OR ACQUIRED BY		SPOUSE	DEPENDENT CHIL	D
NUMBER OF SHARES	X LESS THAN 100 ☐ 5,000 TO 9,999	100 TO 499	☐ 500 TO 999	☐ 1,000 TO 4,999
IF SOLD ☐ NET GAIN ☐ NET LOSS	LESS THAN \$5,000	10,000 OR MORE	\$10,000 - \$24,999	\$25,000-OR MORE
BUSINESS ENTITY	FRAC KINDER MORG		ME	
STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHIL	D
NUMBER OF SHARES	LESS THAN 100	☐ 100 TO 499 ☑ 10,000 OR MORE	☐ 500 TO 999	☐ 1,000 TO 4,999
IF SOLD NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY	GIVAUDAN SA UNSP		ME	
STOCK HELD OR ACQUIRED BY		SPOUSE	DEPENDENT CHIL	D
NUMBER OF SHARES	LESS THAN 100 5,000 TO 9,999	<ul><li>X 100 TO 499</li><li> 10,000 OR MORE</li></ul>	☐ 500 TO 999	☐ 1,000 TO 4,999
IF SOLD ☐ NET GAIN ☐ NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY	GLOBAL PMTS INC G		ME	
STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHIL	D
NUMBER OF SHARES	X LESS THAN 100 ☐ 5,000 TO 9,999	☐ 100 TO 499 ☐ 10,000 OR MORE	☐ 500 TO 999	☐ 1,000 TO 4,999
IF SOLD NET GAIN				
IF SOLD ☐ NET GAIN ☐ NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	10,000 - \$24,999	\$25,000OR MORE

STOCK				PART 2
☐ NOT APPLICABLE				
List each business entity in which and indicate the category of the nucategory of the amount of the net INSTRUCTION GUIDE.	mber of shares held or ac	quired. If some or a	II of the stock was sol	d, also indicate the
When reporting information about providing the number under which			about whom you are r	eporting by
<sup>1</sup> BUSINESS ENTITY	GREENHILL COMPA		AME	
2 STOCK HELD OR ACQUIRED	SY X FILER	SPOUSE	DEPENDENT CHIL	.D
<sup>3</sup> NUMBER OF SHARES		☐ 100 TO 499 ☐ 10,000 OR MORE	☐ 500 TO 999 ≣	☐ 1,000 TO 4,999
4 IF SOLD NET GAI	I I I ESS THAN \$5 000	\$5,000 - \$9,999	\$10,000 - \$24,999	☐ \$25,000-OR MORE
BUSINESS ENTITY	GRUPO FIN GALICIA		AME	
STOCK HELD OR ACQUIRED	Y X FILER	SPOUSE	DEPENDENT CHIL	D
NUMBER OF SHARES	X LESS THAN 100	☐ 100 TO 499	☐ 500 TO 999	☐ 1,000 TO 4,999
	☐ 5,000 TO 9,999	☐ 10,000 OR MORE	<b>=</b>	
IF SOLD □ NET GAII □ NET LOS	I I I ESS THAN \$5 000	\$5,000 - \$9,999	<b>\$10,000 - \$24,999</b>	\$25,000OR MORE
BUSINESS ENTITY	HAIN CELESTIAL GR		AME	
STOCK HELD OR ACQUIRED	SY X FILER	SPOUSE	DEPENDENT CHIL	D
NUMBER OF SHARES	☑ LESS THAN 100	☐ 100 TO 499	☐ 500 TO 999	☐ 1,000 TO 4,999
	5,000 TO 9,999	10,000 OR MORE		
IF SOLD ☐ NET GAII☐ NET LOS	1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1	<b>\$5,000 - \$9,999</b>	<b>\$10,000 - \$24,999</b>	\$25,000OR MORE
BUSINESS ENTITY	HASBRO INC COM	N/	AME	
STOCK HELD OR ACQUIRED	Y X FILER	SPOUSE	DEPENDENT CHIL	D
NUMBER OF SHARES	☐ LESS THAN 100 ☐ 5,000 TO 9,999	<ul><li>X 100 TO 499</li><li>☐ 10,000 OR MORE</li></ul>	☐ 500 TO 999	☐ 1,000 TO 4,999
IF SOLD NET GAIN	ESS THAN \$5 000	\$5,000 - \$9,999	<b>\$10,000 - \$24,999</b>	\$25,000OR MORE
BUSINESS ENTITY	ICIC BANK LTD SPD		AME	
STOCK HELD OR ACQUIRED I	Y X FILER	SPOUSE	☐ DEPENDENT CHIL	D
NUMBER OF SHARES			_	
NOMBER OF GRANES	□ LESS THAN 100     □ 5,000 TO 9,999	☐ 100 TO 499 ☐ 10,000 OR MORE	☐ 500 TO 999 :	1,000 TO 4,999
IF SOLD NET GAIN	5,000 TO 9,999		-	1,000 TO 4,999

STOCK					PART 2
☐ NOT APPLICAB	LE				
and indicate the categ	gory of the number nt of the net gain	your spouse, or a depe er of shares held or acq or loss realized from th	uired. If some or al	l of the stock was sole	d, also indicate the
		pendent child's activity child is listed on the Co		bout whom you are re	eporting by
<sup>1</sup> BUSINESS ENTITY	•	ISRAEL CHEMICALS-		AME	
2 STOCK HELD OR ACQUIRED BY		X FILER	SPOUSE	☐ DEPENDENT CHIL	D
<sup>3</sup> NUMBER OF SHAF	RES	LESS THAN 100	<ul><li>■ 100 TO 499</li><li>■ 10,000 OR MORE</li></ul>	☐ 500 TO 999	☐ 1,000 TO 4,999
<sup>4</sup> IF SOLD	☐ NET GAIN ☐ NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	<b>\$10,000 - \$24,999</b>	\$25,000OR MORE
BUSINESS ENTITY	,	ITC HOLDGS CORP	NA	AME	
STOCK HELD OR A	ACQUIRED BY	☑ FILER	SPOUSE	DEPENDENT CHIL	D
NUMBER OF SHAF	RES	X LESS THAN 100  ☐ 5,000 TO 9,999	☐ 100 TO 499 ☐ 10,000 OR MORE	☐ 500 TO 999	1,000 TO 4,999
IF SOLD	☐ NET GAIN ☐ NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY		JOHNSON CONTROL		ME	
BUSINESS ENTITY STOCK HELD OR A		JOHNSON CONTROL		ME DEPENDENT CHIL	D
	ACQUIRED BY		S INC	DEPENDENT CHIL	D 1,000 TO 4,999
STOCK HELD OR A	ACQUIRED BY	☐ LESS THAN 100	S INC SPOUSE 100 TO 499	DEPENDENT CHIL	
STOCK HELD OR A	ACQUIRED BY RES  NET GAIN NET LOSS	☐ LESS THAN 100☐ 5,000 TO 9,999	S INC  SPOUSE  100 TO 499  10,000 OR MORE  \$5,000 - \$9,999	DEPENDENT CHIL	1,000 TO 4,999
STOCK HELD OR A NUMBER OF SHAR IF SOLD	ACQUIRED BY RES  NET GAIN NET LOSS	☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000	S INC  SPOUSE  100 TO 499  10,000 OR MORE  \$5,000 - \$9,999	☐ DEPENDENT CHIL ☐ 500 TO 999 ☐ \$10,000 - \$24,999	1,000 TO 4,999  \$25,000OR MORE
STOCK HELD OR A NUMBER OF SHAR IF SOLD BUSINESS ENTITY	ACQUIRED BY  RES  NET GAIN NET LOSS  ACQUIRED BY	☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000  KRAFT FOORDS INC	S INC  SPOUSE  100 TO 499  10,000 OR MORE  \$5,000 - \$9,999	DEPENDENT CHIL  500 TO 999  \$10,000 - \$24,999  ME  DEPENDENT CHIL  500 TO 999	1,000 TO 4,999  \$25,000OR MORE
STOCK HELD OR A NUMBER OF SHAR  IF SOLD  BUSINESS ENTITY  STOCK HELD OR A	ACQUIRED BY  RES  NET GAIN NET LOSS  ACQUIRED BY	☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000  KRAFT FOORDS INC ☐ FILER ☐ LESS THAN 100	S INC  SPOUSE  100 TO 499  10,000 OR MORE  \$5,000 - \$9,999  VA CLA  SPOUSE  100 TO 499	DEPENDENT CHIL  500 TO 999  \$10,000 - \$24,999  ME  DEPENDENT CHIL  500 TO 999	1,000 TO 4,999  \$25,000OR MORE
STOCK HELD OR A NUMBER OF SHAR  IF SOLD  BUSINESS ENTITY  STOCK HELD OR A NUMBER OF SHAR	ACQUIRED BY RES  NET GAIN NET LOSS  ACQUIRED BY RES  NET GAIN NET LOSS	☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000  KRAFT FOORDS INC ☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999	S INC  SPOUSE  100 TO 499  10,000 OR MORE  \$5,000 - \$9,999  VA CLA  SPOUSE  100 TO 499  10,000 OR MORE  \$5,000 - \$9,999	DEPENDENT CHIL  500 TO 999  \$10,000 - \$24,999  ME  DEPENDENT CHIL  500 TO 999	1,000 TO 4,999  \$25,000OR MORE  D  1,000 TO 4,999
STOCK HELD OR A NUMBER OF SHAR  IF SOLD  BUSINESS ENTITY  STOCK HELD OR A NUMBER OF SHAR  IF SOLD	ACQUIRED BY RES  NET GAIN NET LOSS  ACQUIRED BY RES  NET GAIN NET GAIN NET LOSS	☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000  KRAFT FOORDS INC ☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000	S INC  SPOUSE  100 TO 499  10,000 OR MORE  \$5,000 - \$9,999  VA CLA  SPOUSE  100 TO 499  10,000 OR MORE  \$5,000 - \$9,999	☐ DEPENDENT CHIL ☐ 500 TO 999  ☐ \$10,000 - \$24,999  ME ☐ DEPENDENT CHIL ☐ 500 TO 999 ☐ \$10,000 - \$24,999	☐ 1,000 TO 4,999  ☐ \$25,000OR MORE  ☐ 1,000 TO 4,999  ☐ 1,000 TO 4,999  ☐ \$25,000OR MORE
STOCK HELD OR A NUMBER OF SHAR  IF SOLD  BUSINESS ENTITY  STOCK HELD OR A NUMBER OF SHAR  IF SOLD  BUSINESS ENTITY	ACQUIRED BY RES  NET GAIN NET LOSS  ACQUIRED BY RES NET GAIN NET LOSS	☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000  KRAFT FOORDS INC ☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000  LAS VEGAS SANDS C	S INC  SPOUSE  100 TO 499  10,000 OR MORE  \$5,000 - \$9,999  VA CLA  SPOUSE  100 TO 499  10,000 OR MORE  \$5,000 - \$9,999	☐ DEPENDENT CHIL ☐ 500 TO 999  ☐ \$10,000 - \$24,999  ME ☐ DEPENDENT CHIL ☐ 500 TO 999  ☐ \$10,000 - \$24,999  ME ☐ DEPENDENT CHIL ☐ 500 TO 999	☐ 1,000 TO 4,999  ☐ \$25,000OR MORE  ☐ 1,000 TO 4,999  ☐ 1,000 TO 4,999  ☐ \$25,000OR MORE
STOCK HELD OR A NUMBER OF SHAR  IF SOLD  BUSINESS ENTITY  STOCK HELD OR A NUMBER OF SHAR  IF SOLD  BUSINESS ENTITY  STOCK HELD OR A	ACQUIRED BY RES  NET GAIN NET LOSS  ACQUIRED BY RES NET GAIN NET LOSS	☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000  KRAFT FOORDS INC ☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000  LAS VEGAS SANDS CO ☐ FILER ☐ LESS THAN 100	S INC  SPOUSE  100 TO 499  10,000 OR MORE  \$5,000 - \$9,999  VA CLA  SPOUSE  100 TO 499  10,000 OR MORE  \$5,000 - \$9,999	☐ DEPENDENT CHIL ☐ 500 TO 999  ☐ \$10,000 - \$24,999  ME ☐ DEPENDENT CHIL ☐ 500 TO 999  ☐ \$10,000 - \$24,999  ME ☐ DEPENDENT CHIL ☐ 500 TO 999	☐ 1,000 TO 4,999  ☐ \$25,000OR MORE  ☐ 1,000 TO 4,999  ☐ 1,000 TO 4,999  ☐ \$25,000OR MORE

STOCK				PART 2
☐ NOT APPLICABLE				
List each business entity in which you and indicate the category of the numb category of the amount of the net gain INSTRUCTION GUIDE.	er of shares held or acq	quired. If some or all	l of the stock was sol	d, also indicate the
When reporting information about a de providing the number under which the			bout whom you are r	eporting by
<sup>1</sup> BUSINESS ENTITY	MBIA INC COM	NA	ME	
2 STOCK HELD OR ACQUIRED BY		SPOUSE	DEPENDENT CHIL	.D
<sup>3</sup> NUMBER OF SHARES	<ul><li>X LESS THAN 100</li><li>D 5,000 TO 9,999</li></ul>	☐ 100 TO 499 ☐ 10,000 OR MORE	☐ 500 TO 999	☐ 1,000 TO 4,999
4 IF SOLD ☐ NET GAIN ☐ NET LOSS	LESS THAN \$5,000	<b>\$5,000 - \$9,999</b>	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY	MCDONALDS CORP		ME	
STOCK HELD OR ACQUIRED BY	∏ FILER	SPOUSE	DEPENDENT CHIL	D
NUMBER OF SHARES	X LESS THAN 100  ☐ 5,000 TO 9,999	☐ 100 TO 499 ☐ 10,000 OR MORE	☐ 500 TO 999	☐ 1,000 TO 4,999
IF SOLD ☐ NET GAIN ☐ NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY	MGM RESORTS INTE		ME	
STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHIL	D
NUMBER OF SHARES	LESS THAN 100	<ul><li>■ 100 TO 499</li><li>■ 10,000 OR MORE</li></ul>	☐ 500 TO 999	☐ 1,000 TO 4,999
IF SOLD ☐ NET GAIN ☐ NET LOSS	LESS THAN \$5,000	<b>\$5,000 - \$9,999</b>	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY	MICROCHIP TECHNO	NA DLOGY INC	ME	
STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	☐ DEPENDENT CHIL	D
NUMBER OF SHARES	LESS THAN 100	X 100 TO 499	☐ 500 TO 999	1,000 TO 4,999
	☐ 5,000 TO 9,999	☐ 10,000 OR MORE		.,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,
IF SOLD NET GAIN NET LOSS	5,000 TO 9,999	10,000 OR MORE  \$5,000 - \$9,999		\$25,000OR MORE
0025		\$5,000 - \$9,999	\$10,000 - \$24,999	_
□ NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY	LESS THAN \$5,000	\$5,000 - \$9,999  NAI	\$10,000 - \$24,999 ME	\$25,000OR MORE
BUSINESS ENTITY  STOCK HELD OR ACQUIRED BY	☐ LESS THAN \$5,000  MONSTER WORLDWI  ☑ FILER ☐ LESS THAN 100	\$5,000 - \$9,999   NAI    DE INC	\$10,000 - \$24,999	\$25,000OR MORE

STOCK					PART 2
☐ NOT APPLICAB	LE			•	
and indicate the categ	ory of the number nt of the net gain	your spouse, or a deper of shares held or acq or loss realized from th	uired. If some or al	l of the stock was sol	d, also indicate the
		pendent child's activity child is listed on the Co		bout whom you are re	eporting by
<sup>1</sup> BUSINESS ENTITY	•	NALCO HLDGS CO	N/	AME	
2 STOCK HELD OR ACQUIRED BY		X FILER	SPOUSE	DEPENDENT CHIL	.D
<sup>3</sup> NUMBER OF SHAR	RES	☐ LESS THAN 100 ☐ 5,000 TO 9,999	<ul><li>X 100 TO 499</li><li>☐ 10,000 OR MORE</li></ul>	☐ 500 TO 999	1,000 TO 4,999
<sup>4</sup> IF SOLD	☐ NET GAIN ☐ NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	<b>\$10,000 - \$24,999</b>	\$25,000OR MORE
BUSINESS ENTITY	,	NAT FUEL GAS CO N		AME	
STOCK HELD OR A	CQUIRED BY		SPOUSE	DEPENDENT CHIL	D
NUMBER OF SHAR	RES	X LESS THAN 100 ☐ 5,000 TO 9,999	☐ 100 TO 499 ☐ 10,000 OR MORE	☐ 500 TO 999	1,000 TO 4,999
IF SOLD	☐ NET GAIN ☐ NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
				·	
BUSINESS ENTITY		NEWMONT MINING C		ME	
BUSINESS ENTITY  STOCK HELD OR A		NEWMONT MINING C		ME  DEPENDENT CHIL	D
	CQUIRED BY		ORP	DEPENDENT CHIL	D 1,000 TO 4,999
STOCK HELD OR A	CQUIRED BY	☐ FILER ☐ LESS THAN 100	SPOUSE  100 TO 499	DEPENDENT CHIL	1,000 TO 4,999
STOCK HELD OR A	ACQUIRED BY	<ul><li>X FILER</li><li>X LESS THAN 100</li><li>☐ 5,000 TO 9,999</li></ul>	SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	DEPENDENT CHIL	1,000 TO 4,999
STOCK HELD OR A NUMBER OF SHAR IF SOLD	CQUIRED BY	<ul><li>☒ FILER</li><li>☒ LESS THAN 100</li><li>☐ 5,000 TO 9,999</li><li>☐ LESS THAN \$5,000</li></ul>	SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	☐ DEPENDENT CHIL ☐ 500 TO 999 ☐ \$10,000 - \$24,999	1,000 TO 4,999  \$25,000OR MORE
STOCK HELD OR A NUMBER OF SHAR IF SOLD BUSINESS ENTITY	ACQUIRED BY RES NET GAIN NET LOSS	☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000  NEXTERA ENERGY IN	SORP SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	DEPENDENT CHIL  500 TO 999  \$10,000 - \$24,999  ME  DEPENDENT CHIL  500 TO 999	1,000 TO 4,999  \$25,000OR MORE
STOCK HELD OR A NUMBER OF SHAR  IF SOLD  BUSINESS ENTITY  STOCK HELD OR A	ACQUIRED BY RES NET GAIN NET LOSS	☐ LESS THAN \$5,000  NEXTERA ENERGY IN  LESS THAN \$100	SORP SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999  NC SHS SPOUSE 100 TO 499	DEPENDENT CHIL  500 TO 999  \$10,000 - \$24,999  ME  DEPENDENT CHIL  500 TO 999	1,000 TO 4,999  \$25,000OR MORE
STOCK HELD OR A NUMBER OF SHAR  IF SOLD  BUSINESS ENTITY  STOCK HELD OR A NUMBER OF SHAR	ACQUIRED BY RES NET GAIN NET LOSS ACQUIRED BY RES NET GAIN	ILES THAN 100     □ 5,000 TO 9,999      LESS THAN \$5,000  NEXTERA ENERGY IN      ILES THAN 100     □ 5,000 TO 9,999	SORP SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999  NC SHS SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	DEPENDENT CHIL  500 TO 999  \$10,000 - \$24,999  ME  DEPENDENT CHIL  500 TO 999	1,000 TO 4,999  \$25,000OR MORE  D  1,000 TO 4,999
STOCK HELD OR A NUMBER OF SHAR  IF SOLD  BUSINESS ENTITY  STOCK HELD OR A NUMBER OF SHAR  IF SOLD	ACQUIRED BY RES NET GAIN NET LOSS ACQUIRED BY RES NET GAIN NET GAIN NET LOSS	<ul> <li>X FILER</li> <li>X LESS THAN 100</li> <li>☐ 5,000 TO 9,999</li> <li>☐ LESS THAN \$5,000</li> <li>NEXTERA ENERGY IN</li> <li>X FILER</li> <li>X LESS THAN 100</li> <li>☐ 5,000 TO 9,999</li> <li>☐ LESS THAN \$5,000</li> </ul>	SORP SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999  NC SHS SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	DEPENDENT CHIL  500 TO 999  \$10,000 - \$24,999  ME  DEPENDENT CHIL  500 TO 999  \$10,000 - \$24,999	☐ 1,000 TO 4,999  ☐ \$25,000OR MORE  ☐ 1,000 TO 4,999  ☐ 1,000 TO 4,999  ☐ \$25,000OR MORE
STOCK HELD OR A NUMBER OF SHAR  IF SOLD  BUSINESS ENTITY  STOCK HELD OR A NUMBER OF SHAR  IF SOLD  BUSINESS ENTITY	ACQUIRED BY RES NET GAIN NET LOSS ACQUIRED BY RES NET GAIN NET LOSS	<ul> <li>X FILER</li> <li>X LESS THAN 100</li> <li>☐ 5,000 TO 9,999</li> <li>☐ LESS THAN \$5,000</li> <li>NEXTERA ENERGY IN</li> <li>X FILER</li> <li>X LESS THAN 100</li> <li>☐ 5,000 TO 9,999</li> <li>☐ LESS THAN \$5,000</li> <li>NOVO NORDISK A S A</li> </ul>	SORP SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999  NC SHS SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999  ADR	☐ DEPENDENT CHIL ☐ 500 TO 999  ☐ \$10,000 - \$24,999  ME ☐ DEPENDENT CHIL ☐ 500 TO 999  ME ☐ DEPENDENT CHIL ☐ 500 TO 999  ME ☐ DEPENDENT CHIL ☐ 500 TO 999	☐ 1,000 TO 4,999  ☐ \$25,000OR MORE  ☐ 1,000 TO 4,999  ☐ 1,000 TO 4,999  ☐ \$25,000OR MORE
STOCK HELD OR A NUMBER OF SHAR  IF SOLD  BUSINESS ENTITY  STOCK HELD OR A NUMBER OF SHAR  IF SOLD  BUSINESS ENTITY  STOCK HELD OR A	ACQUIRED BY RES NET GAIN NET LOSS ACQUIRED BY RES NET GAIN NET LOSS	☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000  NEXTERA ENERGY IN ☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000  NOVO NORDISK A S A	SORP SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999  NA SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999  NA ADR SPOUSE 100 TO 499 100 TO 499 100 TO 499	☐ DEPENDENT CHIL ☐ 500 TO 999  ☐ \$10,000 - \$24,999  ME ☐ DEPENDENT CHIL ☐ 500 TO 999  ME ☐ DEPENDENT CHIL ☐ 500 TO 999  ME ☐ DEPENDENT CHIL ☐ 500 TO 999	☐ 1,000 TO 4,999  ☐ \$25,000OR MORE  ☐ 1,000 TO 4,999  ☐ \$25,000OR MORE  ☐ □ \$25,000OR MORE

Texas Ethics Commission

STOCK				PART 2
☐ NOT APPLICABLE				
List each business entity in which you, your spouse, or a dependent child held or acquired stock during the calendar year and indicate the category of the number of shares held or acquired. If some or all of the stock was sold, also indicate the category of the amount of the net gain or loss realized from the sale. For more information, see FORM PFSINSTRUCTION GUIDE.				
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<sup>1</sup> BUSINESS ENTITY	NOVOZYMES A/S AD		AME	
2 STOCK HELD OR ACQUIRED BY		SPOUSE	DEPENDENT CHIL	.D
<sup>3</sup> NUMBER OF SHARES	X LESS THAN 100 ☐ 5,000 TO 9,999	☐ 100 TO 499 ☐ 10,000 OR MORE	☐ 500 TO 999	☐ 1,000 TO 4,999
4 IF SOLD ☐ NET GAIN ☐ NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 <b>-</b> \$24,999	\$25,000OR MORE
BUSINESS ENTITY	NYSE EURONEXT	N.A	AME	
STOCK HELD OR ACQUIRED BY		SPOUSE	DEPENDENT CHIL	.D
NUMBER OF SHARES	X LESS THAN 100 ☐ 5,000 TO 9,999	☐ 100 TO 499 ☐ 10,000 OR MORE	☐ 500 TO 999	1,000 TO 4,999
IF SOLD ☐ NET GAIN ☐ NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY	OCCIDENTAL PETE (		ME	
STOCK HELD OR ACQUIRED BY		SPOUSE	DEPENDENT CHIL	D
NUMBER OF SHARES	<ul><li>X LESS THAN 100</li><li>D 5,000 TO 9,999</li></ul>	☐ 100 TO 499 ☐ 10,000 OR MORE	☐ 500 TO 999	☐ 1,000 TO 4,999
IF SOLD ☐ NET GAIN ☐ NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY	PEABODY ENERGY (		ME	
STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHIL	D
NUMBER OF SHARES	LESS THAN 100	<ul><li>X 100 TO 499</li><li>☐ 10,000 OR MORE</li></ul>	☐ 500 TO 999	☐ 1,000 TO 4,999
IF SOLD NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY	PERRIGO CO	NA	ME .	
STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHIL	D
NUMBER OF SHARES	<ul><li>X LESS THAN 100</li><li>D 5,000 TO 9,999</li></ul>	☐ 100 TO 499 ☐ 10,000 OR MORE	☐ 500 TO 999	☐ 1,000 TO 4,999
IF SOLD NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
COPY AI	ND ATTACH ADDITION	ONAL PAGES AS	NECESSARY	

Texas Ethics Commission

				PART 2	
☐ NOT APPLICABLE					
List each business entity in which you, your spouse, or a dependent child held or acquired stock during the calendar year and indicate the category of the number of shares held or acquired. If some or all of the stock was sold, also indicate the category of the amount of the net gain or loss realized from the sale. For more information, see FORM PFSINSTRUCTION GUIDE.					
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<sup>1</sup> BUSINESS ENTITY	PHILIP MORRIS INTL INC				
2 STOCK HELD OR ACQUIRED BY	/ X FILER	SPOUSE	DEPENDENT CHIL	D	
<sup>3</sup> NUMBER OF SHARES	X LESS THAN 100 ☐ 5,000 TO 9,999	☐ 100 TO 499 ☐ 10,000 OR MORE	☐ 500 TO 999	☐ 1,000 TO 4,999	
4 IF SOLD	LESS THAN \$5,000	\$5,000 - \$9,999	<b>\$10,000 - \$24,999</b>	\$25,000OR MORE	
BUSINESS ENTITY	PHILLIPS VAN HEUS		AME		
STOCK HELD OR ACQUIRED BY	/ X FILER	SPOUSE	DEPENDENT CHIL	D	
NUMBER OF SHARES	X LESS THAN 100	☐ 100 TO 499	☐ 500 TO 999	☐ 1,000 TO 4,999	
	☐ 5,000 TO 9,999	☐ 10,000 OR MORE	:		
IF SOLD ☐ NET GAIN ☐ NET LOSS	LESS THAN \$5,000	<b>\$5,000 - \$9,999</b>	\$10,000 - \$24,999	\$25,000-OR MORE	
BUSINESS ENTITY	PLEXUS CORP COM	NA NA	AME		
	PLEXUS CORP COM				
STOCK HELD OR ACQUIRED BY		SPOUSE	DEPENDENT CHIL	D	
STOCK HELD OR ACQUIRED BY NUMBER OF SHARES		☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MORE	☐ 500 TO 999	D 1,000 TO 4,999	
	X FILER X LESS THAN 100	☐ 100 TO 499	☐ 500 TO 999	<u> </u>	
NUMBER OF SHARES  IF SOLD    NET GAIN	✓ X FILER  X LESS THAN 100  5,000 TO 9,999	100 TO 499 10,000 OR MORE \$5,000 - \$9,999	500 TO 999  \$10,000 - \$24,999	1,000 TO 4,999	
NUMBER OF SHARES  IF SOLD	FILER  LESS THAN 100  5,000 TO 9,999  LESS THAN \$5,000  PROSHARES ULTRA	100 TO 499 10,000 OR MORE \$5,000 - \$9,999	500 TO 999  \$10,000 - \$24,999	1,000 TO 4,999  \$25,000-OR MORE	
NUMBER OF SHARES  IF SOLD   NET GAIN   NET LOSS  BUSINESS ENTITY	FILER  LESS THAN 100  5,000 TO 9,999  LESS THAN \$5,000  PROSHARES ULTRA	100 TO 499 10,000 OR MORE \$5,000 - \$9,999  NA SHORT RUSSELL20	\$10,000 - \$24,999  \$10,000 - \$24,999  DEPENDENT CHIL  500 TO 999	1,000 TO 4,999  \$25,000-OR MORE	
NUMBER OF SHARES  IF SOLD NET GAIN NET LOSS  BUSINESS ENTITY  STOCK HELD OR ACQUIRED BY	FILER  LESS THAN 100  5,000 TO 9,999  LESS THAN \$5,000  PROSHARES ULTRA  X FILER  LESS THAN 100	100 TO 499 10,000 OR MORE \$5,000 - \$9,999  SHORT RUSSELL20 SPOUSE 100 TO 499	\$10,000 - \$24,999  \$10,000 - \$24,999  DEPENDENT CHIL  500 TO 999	1,000 TO 4,999  \$25,000—OR MORE	
NUMBER OF SHARES  IF SOLD   NET GAIN   NET LOSS  BUSINESS ENTITY  STOCK HELD OR ACQUIRED BY NUMBER OF SHARES  IF SOLD   NET GAIN	FILER  LESS THAN 100  5,000 TO 9,999  LESS THAN \$5,000  PROSHARES ULTRA  LESS THAN 100  5,000 TO 9,999	100 TO 499	\$10,000 - \$24,999  \$10,000 - \$24,999  DEPENDENT CHIL	1,000 TO 4,999  \$25,000—OR MORE  D  1,000 TO 4,999	
NUMBER OF SHARES  IF SOLD   NET GAIN   NET LOSS  BUSINESS ENTITY  STOCK HELD OR ACQUIRED BY NUMBER OF SHARES  IF SOLD   NET GAIN   NET LOSS	FILER  LESS THAN 100  5,000 TO 9,999  LESS THAN \$5,000  PROSHARES ULTRA  LESS THAN 100  5,000 TO 9,999  LESS THAN \$5,000  PROSHARES ULTRA	100 TO 499	500 TO 999  \$10,000 - \$24,999  ME 00  DEPENDENT CHIL  500 TO 999  \$10,000 - \$24,999	☐ 1,000 TO 4,999  ☐ \$25,000—OR MORE  ☐ 1,000 TO 4,999  ☐ 1,000 TO 4,999  ☐ \$25,000—OR MORE	
NUMBER OF SHARES  IF SOLD   NET GAIN   NET LOSS  BUSINESS ENTITY  STOCK HELD OR ACQUIRED BY NUMBER OF SHARES  IF SOLD   NET GAIN   NET LOSS  BUSINESS ENTITY	FILER  LESS THAN 100  5,000 TO 9,999  LESS THAN \$5,000  PROSHARES ULTRA  LESS THAN 100  5,000 TO 9,999  LESS THAN \$5,000  PROSHARES ULTRA	100 TO 499	500 TO 999  \$10,000 - \$24,999  ME  000  DEPENDENT CHIL  \$10,000 - \$24,999  ME  DEPENDENT CHIL  500 TO 999  ME  DEPENDENT CHIL	☐ 1,000 TO 4,999  ☐ \$25,000—OR MORE  ☐ 1,000 TO 4,999  ☐ 1,000 TO 4,999  ☐ \$25,000—OR MORE	
NUMBER OF SHARES  IF SOLD   NET GAIN   NET LOSS  BUSINESS ENTITY  STOCK HELD OR ACQUIRED BY NUMBER OF SHARES  IF SOLD   NET GAIN   NET LOSS  BUSINESS ENTITY  STOCK HELD OR ACQUIRED BY	FILER  LESS THAN 100  5,000 TO 9,999  LESS THAN \$5,000  PROSHARES ULTRA  LESS THAN 100  5,000 TO 9,999  LESS THAN \$5,000  PROSHARES ULTRA  X FILER  X LESS THAN \$5,000  PROSHARES ULTRA  X FILER  X LESS THAN 100	100 TO 499	500 TO 999  \$10,000 - \$24,999  ME  000  DEPENDENT CHIL  \$10,000 - \$24,999  ME  DEPENDENT CHIL  500 TO 999  ME  DEPENDENT CHIL	☐ 1,000 TO 4,999  ☐ \$25,000—OR MORE  ☐ 1,000 TO 4,999  ☐ 1,000 TO 4,999  ☐ \$25,000—OR MORE	

Austin, Texas 78711-2070

STOCK				PART 2	
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<sup>1</sup> BUSINESS ENTITY	PROSHARES ULTRA		AME		
<sup>2</sup> STOCK HELD OR ACQUIRED BY		SPOUSE	☐ DEPENDENT CHIL	D	
<sup>3</sup> NUMBER OF SHARES	X LESS THAN 100  ☐ 5,000 TO 9,999	☐ 100 TO 499 ☐ 10,000 OR MORE	☐ 500 TO 999	☐ 1,000 TO 4,999	
4 IF SOLD ☐ NET GAIN ☐ NET LOSS	LESS THAN \$5,000	<b>\$5,000 - \$9,999</b>	\$10,000 - \$24,999	\$25,000OR MORE	
BUSINESS ENTITY	ROBERTHALF INTL II		ME		
STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHIL	D	
NUMBER OF SHARES	☑ LESS THAN 100	☐ 100 TO 499	☐ 500 TO 999	☐ 1,000 TO 4,999	
	5,000 TO 9,999	☐ 10,000 OR MORE			
IF SOLD NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE	
BUSINESS ENTITY	ROPER INDUSTRIES		ME		
BUSINESS ENTITY  STOCK HELD OR ACQUIRED BY	ROPER INDUSTRIES		ME DEPENDENT CHIL	D	
		INC COM	☐ DEPENDENT CHIL ☐ 500 TO 999	D 1,000 TO 4,999	
STOCK HELD OR ACQUIRED BY	☐ FILER ☐ LESS THAN 100	INC COM  SPOUSE  100 TO 499  10,000 OR MORE	DEPENDENT CHIL		
STOCK HELD OR ACQUIRED BY  NUMBER OF SHARES  IF SOLD	☐ 5,000 TO 9,999	INC COM  ☐ SPOUSE  ☐ 100 TO 499  ☐ 10,000 OR MORE  ☐ \$5,000 - \$9,999	DEPENDENT CHIL	1,000 TO 4,999	
STOCK HELD OR ACQUIRED BY  NUMBER OF SHARES  IF SOLD  NET GAIN NET LOSS	<ul><li>☒ FILER</li><li>☒ LESS THAN 100</li><li>☐ 5,000 TO 9,999</li><li>☐ LESS THAN \$5,000</li></ul>	INC COM  ☐ SPOUSE  ☐ 100 TO 499  ☐ 10,000 OR MORE  ☐ \$5,000 - \$9,999	☐ DEPENDENT CHIL ☐ 500 TO 999 ☐ \$10,000 - \$24,999	☐ 1,000 TO 4,999 ☐ \$25,000—OR MORE	
STOCK HELD OR ACQUIRED BY  NUMBER OF SHARES  IF SOLD NET GAIN NET LOSS  BUSINESS ENTITY	☐ LESS THAN 100☐ 5,000 TO 9,999☐ LESS THAN \$5,000☐ SLM CORP	INC COM  SPOUSE  100 TO 499  10,000 OR MORE  \$5,000 - \$9,999	☐ DEPENDENT CHIL ☐ 500 TO 999 ☐ \$10,000 - \$24,999  ME ☐ DEPENDENT CHIL ☐ 500 TO 999	☐ 1,000 TO 4,999 ☐ \$25,000—OR MORE	
STOCK HELD OR ACQUIRED BY  NUMBER OF SHARES  IF SOLD NET GAIN NET LOSS  BUSINESS ENTITY  STOCK HELD OR ACQUIRED BY	☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000  SLM CORP ☐ FILER ☐ LESS THAN 100	INC COM  ☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999  NA ☐ SPOUSE ☑ 100 TO 499	☐ DEPENDENT CHIL ☐ 500 TO 999 ☐ \$10,000 - \$24,999  ME ☐ DEPENDENT CHIL ☐ 500 TO 999	1,000 TO 4,999  \$25,000-OR MORE	
STOCK HELD OR ACQUIRED BY  NUMBER OF SHARES  IF SOLD NET GAIN NET LOSS  BUSINESS ENTITY  STOCK HELD OR ACQUIRED BY  NUMBER OF SHARES  IF SOLD NET GAIN	☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000  SLM CORP ☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999	INC COM  ☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999  NA ☐ SPOUSE ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999	☐ DEPENDENT CHIL ☐ 500 TO 999 ☐ \$10,000 - \$24,999  ME ☐ DEPENDENT CHIL ☐ 500 TO 999	☐ 1,000 TO 4,999 ☐ \$25,000—OR MORE ☐ ☐ 1,000 TO 4,999	
STOCK HELD OR ACQUIRED BY  NUMBER OF SHARES  IF SOLD NET GAIN NET LOSS  BUSINESS ENTITY  STOCK HELD OR ACQUIRED BY  NUMBER OF SHARES  IF SOLD NET GAIN NET LOSS	☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000  SLM CORP ☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000	INC COM  ☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999  NA ☐ SPOUSE ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999	☐ DEPENDENT CHIL ☐ 500 TO 999 ☐ \$10,000 - \$24,999  ME ☐ DEPENDENT CHIL ☐ 500 TO 999 ☐ \$10,000 - \$24,999	☐ 1,000 TO 4,999  ☐ \$25,000—OR MORE  ☐ 1,000 TO 4,999  ☐ 1,000 TO 4,999  ☐ \$25,000—OR MORE	
STOCK HELD OR ACQUIRED BY  NUMBER OF SHARES  IF SOLD  NET GAIN NET LOSS  BUSINESS ENTITY  STOCK HELD OR ACQUIRED BY  NUMBER OF SHARES  IF SOLD NET GAIN NET LOSS  BUSINESS ENTITY	☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000  SLM CORP ☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000  SOTHEBY'S (DELAWARD)	SPOUSE	☐ DEPENDENT CHIL ☐ 500 TO 999 ☐ \$10,000 - \$24,999  ME ☐ DEPENDENT CHIL ☐ 500 TO 999 ☐ \$10,000 - \$24,999  ME	☐ 1,000 TO 4,999  ☐ \$25,000—OR MORE  ☐ 1,000 TO 4,999  ☐ 1,000 TO 4,999  ☐ \$25,000—OR MORE	
STOCK HELD OR ACQUIRED BY  NUMBER OF SHARES  IF SOLD NET GAIN NET LOSS  BUSINESS ENTITY  STOCK HELD OR ACQUIRED BY  NUMBER OF SHARES  IF SOLD NET GAIN NET LOSS  BUSINESS ENTITY  STOCK HELD OR ACQUIRED BY	☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000  SLM CORP ☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000  SOTHEBY'S (DELAW/ ☐ FILER ☐ LESS THAN 100	SPOUSE	□ DEPENDENT CHIL         □ 500 TO 999         □ \$10,000 - \$24,999         ME         □ DEPENDENT CHIL         □ 500 TO 999         □ \$10,000 - \$24,999         ME         □ DEPENDENT CHIL         □ DEPENDENT CHIL	☐ 1,000 TO 4,999  ☐ \$25,000—OR MORE  ☐ 1,000 TO 4,999  ☐ 1,000 TO 4,999  ☐ \$25,000—OR MORE	

STOCK				PART 2
☐ NOT APPLICABLE				
List each business entity in which you and indicate the category of the numl category of the amount of the net gai INSTRUCTION GUIDE.	per of shares held or acc	quired. If some or al	I of the stock was sol	d, also indicate the
When reporting information about a dependent child's activity, indicate the child about whom you are reporting by providing the number under which the child is listed on the Cover Sheet.				
<sup>1</sup> BUSINESS ENTITY	STERICYCLE INC COM			
2 STOCK HELD OR ACQUIRED BY	☐ FILER	SPOUSE	DEPENDENT CHIL	.D
<sup>3</sup> NUMBER OF SHARES	X LESS THAN 100 ☐ 5,000 TO 9,999	☐ 100 TO 499 ☐ 10,000 OR MORE	☐ 500 TO 999	☐ 1,000 TO 4,999
4 IF SOLD NET GAIN NET LOSS	LESS THAN \$5,000	<b>\$5,000 - \$9,999</b>	<b>\$10,000 - \$24,999</b>	☐ \$25,000-OR MORE
BUSINESS ENTITY	TATA MORTOS LTD		AME	
STOCK HELD OR ACQUIRED BY	☑ FILER	SPOUSE	DEPENDENT CHIL	.D
NUMBER OF SHARES	LESS THAN 100	X 100 TO 499	☐ 500 TO 999	☐ 1,000 TO 4,999
	☐ 5,000 TO 9,999	☐ 10,000 OR MORE	•	
IF SOLD NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
	1			
BUSINESS ENTITY	TENARIS S A ADR	N.A	AME	
BUSINESS ENTITY  STOCK HELD OR ACQUIRED BY		. SPOUSE	ME DEPENDENT CHIL	D
			DEPENDENT CHIL	D 1,000 TO 4,999
STOCK HELD OR ACQUIRED BY	☐ FILER ☐ LESS THAN 100	SPOUSE 100 TO 499	DEPENDENT CHIL	
STOCK HELD OR ACQUIRED BY NUMBER OF SHARES  IF SOLD NET GAIN	<ul><li>☒ FILER</li><li>☒ LESS THAN 100</li><li>☐ 5,000 TO 9,999</li></ul>	☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999	DEPENDENT CHIL	1,000 TO 4,999
STOCK HELD OR ACQUIRED BY NUMBER OF SHARES  IF SOLD NET GAIN NET LOSS	<ul><li>☒ FILER</li><li>☒ LESS THAN 100</li><li>☐ 5,000 TO 9,999</li><li>☐ LESS THAN \$5,000</li></ul>	☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999	☐ DEPENDENT CHIL ☐ 500 TO 999 : ☐ \$10,000 - \$24,999	1,000 TO 4,999  \$25,000-OR MORE
STOCK HELD OR ACQUIRED BY NUMBER OF SHARES  IF SOLD  NET GAIN NET LOSS  BUSINESS ENTITY	☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000 ☐ TRANSDIGM GROUP	SPOUSE  100 TO 499  10,000 OR MORE  \$5,000 - \$9,999	DEPENDENT CHIL  500 TO 999  \$10,000 - \$24,999  ME  DEPENDENT CHIL  500 TO 999	1,000 TO 4,999  \$25,000-OR MORE
STOCK HELD OR ACQUIRED BY NUMBER OF SHARES  IF SOLD NET GAIN NET LOSS  BUSINESS ENTITY  STOCK HELD OR ACQUIRED BY	☐ FILER  ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000  ☐ TRANSDIGM GROUP ☐ FILER ☐ LESS THAN 100	SPOUSE  100 TO 499  10,000 OR MORE  \$5,000 - \$9,999  INC  SPOUSE  100 TO 499	DEPENDENT CHIL  500 TO 999  \$10,000 - \$24,999  ME  DEPENDENT CHIL  500 TO 999	1,000 TO 4,999  \$25,000—OR MORE
STOCK HELD OR ACQUIRED BY NUMBER OF SHARES  IF SOLD NET GAIN NET LOSS  BUSINESS ENTITY  STOCK HELD OR ACQUIRED BY NUMBER OF SHARES  IF SOLD NET GAIN	☐ FILER  ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000 ☐ TRANSDIGM GROUP ☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999	□ SPOUSE □ 100 TO 499 □ 10,000 OR MORE □ \$5,000 - \$9,999  INC □ SPOUSE □ 100 TO 499 □ 10,000 OR MORE □ \$5,000 - \$9,999	DEPENDENT CHIL  500 TO 999  \$10,000 - \$24,999  ME  DEPENDENT CHIL  500 TO 999	☐ 1,000 TO 4,999  ☐ \$25,000—OR MORE  ☐ 1,000 TO 4,999
STOCK HELD OR ACQUIRED BY NUMBER OF SHARES  IF SOLD NET GAIN NET LOSS  BUSINESS ENTITY  STOCK HELD OR ACQUIRED BY NUMBER OF SHARES  IF SOLD NET GAIN NET LOSS	☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000 ☐ TRANSDIGM GROUP ☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000	□ SPOUSE □ 100 TO 499 □ 10,000 OR MORE □ \$5,000 - \$9,999  INC □ SPOUSE □ 100 TO 499 □ 10,000 OR MORE □ \$5,000 - \$9,999	DEPENDENT CHIL  500 TO 999  \$10,000 - \$24,999  DEPENDENT CHIL  500 TO 999  \$10,000 - \$24,999	☐ 1,000 TO 4,999  ☐ \$25,000—OR MORE  ☐ 1,000 TO 4,999  ☐ 1,000 TO 4,999  ☐ \$25,000—OR MORE
STOCK HELD OR ACQUIRED BY NUMBER OF SHARES  IF SOLD NET GAIN NET LOSS  BUSINESS ENTITY  STOCK HELD OR ACQUIRED BY NUMBER OF SHARES  IF SOLD NET GAIN NET LOSS  BUSINESS ENTITY	☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000 ☐ TRANSDIGM GROUP ☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000 ☐ TREEHOUSE FOODS	☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999  INC ☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999  INC OM STK	☐ DEPENDENT CHIL ☐ 500 TO 999  SIME ☐ BEPENDENT CHIL ☐ 500 TO 999 ☐ \$10,000 - \$24,999 ☐ \$10,000 - \$24,999 ☐ \$10,000 - \$24,999 ☐ \$10,000 - \$24,999 ☐ \$10,000 - \$24,999 ☐ \$10,000 - \$24,999	☐ 1,000 TO 4,999  ☐ \$25,000—OR MORE  ☐ 1,000 TO 4,999  ☐ 1,000 TO 4,999  ☐ \$25,000—OR MORE
STOCK HELD OR ACQUIRED BY NUMBER OF SHARES  IF SOLD NET GAIN NET LOSS  BUSINESS ENTITY  STOCK HELD OR ACQUIRED BY NUMBER OF SHARES  IF SOLD NET GAIN NET LOSS  BUSINESS ENTITY  STOCK HELD OR ACQUIRED BY	☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000 ☐ TRANSDIGM GROUP ☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000 ☐ TREEHOUSE FOODS ☐ FILER ☐ LESS THAN 100	□ SPOUSE □ 100 TO 499 □ 10,000 OR MORE □ \$5,000 - \$9,999  INC □ SPOUSE □ 100 TO 499 □ 10,000 OR MORE □ \$5,000 - \$9,999  INC COM STK □ SPOUSE □ 100 TO 499 □ 100 TO 499	☐ DEPENDENT CHIL ☐ 500 TO 999  SIME ☐ BEPENDENT CHIL ☐ 500 TO 999 ☐ \$10,000 - \$24,999 ☐ \$10,000 - \$24,999 ☐ \$10,000 - \$24,999 ☐ \$10,000 - \$24,999 ☐ \$10,000 - \$24,999 ☐ \$10,000 - \$24,999	☐ 1,000 TO 4,999  ☐ \$25,000—OR MORE  ☐ 1,000 TO 4,999  ☐ \$25,000—OR MORE  ☐

STOCK					PART 2
☐ NOT APPLICABLE	=				
List each business entity in which you, your spouse, or a dependent child held or acquired stock during the calendar year and indicate the category of the number of shares held or acquired. If some or all of the stock was sold, also indicate the category of the amount of the net gain or loss realized from the sale. For more information, see FORM PFSINSTRUCTION GUIDE.					
When reporting information about a dependent child's activity, indicate the child about whom you are reporting by providing the number under which the child is listed on the Cover Sheet.					
<sup>1</sup> BUSINESS ENTITY		TYCO INTL LTD NAMEN-AKT			
2 STOCK HELD OR AC	QUIRED BY	Y X FILER SPOUSE DEPENDENT CHILD			D
<sup>3</sup> NUMBER OF SHARE	ES .	X LESS THAN 100 ☐ 5,000 TO 9,999	☐ 100 TO 499 ☐ 10,000 OR MORE	☐ 500 TO 999	☐ 1,000 TO 4,999
<sup>4</sup> IF SOLD [	NET GAIN NET LOSS	LESS THAN \$5,000	<b>\$5,000 - \$9,999</b>	<b>\$10,000 - \$24,999</b>	\$25,000OR MORE
BUSINESS ENTITY		UNITED CONTL HLD		ME	
STOCK HELD OR AC	QUIRED BY	☑ FILER	SPOUSE	DEPENDENT CHIL	D
NUMBER OF SHARE	ES .	LESS THAN 100	<ul><li>X 100 TO 499</li><li>☐ 10,000 OR MORE</li></ul>	☐ 500 TO 999	☐ 1,000 TO 4,999
IF SOLD [	NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY		VERISK ANALYTICS I		ME	
STOCK HELD OR AC	QUIRED BY	X FILER	SPOUSE	☐ DEPENDENT CHIL	D
NUMBER OF SHARE	S	☑ LESS THAN 100 ☐ 5,000 TO 9,999	☐ 100 TO 499 ☐ 10,000 OR MORE	☐ 500 TO 999	☐ 1,000 TO 4,999
IF SOLD [	NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY		WADDELL & REED FI		ME	
STOCK HELD OR AC	QUIRED BY	X FILER	SPOUSE	DEPENDENT CHIL	D
NUMBER OF SHARE	S	<ul><li>X LESS THAN 100</li><li>D 5,000 TO 9,999</li></ul>	☐ 100 TO 499 ☐ 10,000 OR MORE	☐ 500 TO 999	1,000 TO 4,999
IF SOLD [	NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY		WASTE MANAGEMEN		ME	
STOCK HELD OR AC	QUIRED BY	X FILER	SPOUSE	DEPENDENT CHIL	D
NUMBER OF SHARE	S	☐ LESS THAN 100 ☐ 5,000 TO 9,999	<ul><li>✓ 100 TO 499</li><li>✓ 10,000 OR MORE</li></ul>	☐ 500 TO 999	☐ 1,000 TO 4,999
IF SOLD [	NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
COPY AND ATTACH ADDITIONAL PAGES AS NECESSARY					

STOCK					PART 2
☐ NOT APPLICAB	BLE				
and indicate the cated	gory of the numbe nt of the net gain	your spouse, or a depe er of shares held or acc or loss realized from th	uired. If some or al	I of the stock was sol	d, also indicate the
When reporting information about a dependent child's activity, indicate the child about whom you are reporting by providing the number under which the child is listed on the Cover Sheet.					
<sup>1</sup> BUSINESS ENTITY	1	WEBMD HEALTH CORP			
2 STOCK HELD OR A	ACQUIRED BY		SPOUSE	DEPENDENT CHIL	.D
<sup>3</sup> NUMBER OF SHAF	RES	X LESS THAN 100 ☐ 5,000 TO 9,999	☐ 100 TO 499 ☐ 10,000 OR MORE	☐ 500 TO 999	☐ 1,000 TO 4,999
<sup>4</sup> IF SOLD	☐ NET GAIN ☐ NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	☐ \$25,000-OR MORE
BUSINESS ENTITY	1	WYNN MACAU LTD S		AME	
STOCK HELD OR A	ACQUIRED BY	☑ FILER	SPOUSE	DEPENDENT CHIL	D
NUMBER OF SHAF	RES	X LESS THAN 100	☐ 100 TO 499	☐ 500 TO 999	1,000 TO 4,999
		☐ 5,000 TO 9,999	10,000 OR MORE		
IF SOLD	☐ NET GAIN ☐ NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY	•	WYNN RESORT LTD	NA	ME	
BUSINESS ENTITY STOCK HELD OR A		WYNN RESORT LTD	NA SPOUSE	ME DEPENDENT CHIL	D
	ACQUIRED BY			DEPENDENT CHIL	D 1,000 TO 4,999
STOCK HELD OR A	ACQUIRED BY	☐ FILER ☐ LESS THAN 100	☐ SPOUSE ☐ 100 TO 499	DEPENDENT CHIL	
STOCK HELD OR A	ACQUIRED BY RES NET GAIN NET LOSS	<ul><li>☒ FILER</li><li>☒ LESS THAN 100</li><li>☐ 5,000 TO 9,999</li></ul>	☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999	DEPENDENT CHIL	1,000 TO 4,999
STOCK HELD OR A NUMBER OF SHAF IF SOLD	ACQUIRED BY RES NET GAIN NET LOSS	<ul><li>☑ FILER</li><li>☑ LESS THAN 100</li><li>☐ 5,000 TO 9,999</li><li>☐ LESS THAN \$5,000</li></ul>	☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999	☐ DEPENDENT CHIL ☐ 500 TO 999 ☐ \$10,000 - \$24,999	1,000 TO 4,999  \$25,000-OR MORE
STOCK HELD OR A NUMBER OF SHAF  IF SOLD  BUSINESS ENTITY	ACQUIRED BY RES NET GAIN NET LOSS	☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000  ETFS PHYSICAL PALI	☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999  LADIUM SHARES	DEPENDENT CHIL  500 TO 999  \$10,000 - \$24,999  ME  DEPENDENT CHIL  500 TO 999	1,000 TO 4,999  \$25,000-OR MORE
STOCK HELD OR A NUMBER OF SHAF  IF SOLD  BUSINESS ENTITY  STOCK HELD OR A	ACQUIRED BY RES NET GAIN NET LOSS	☐ FILER  ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000  ETFS PHYSICAL PALI ☐ FILER ☐ LESS THAN 100	☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999  LADIUM SHARES ☐ SPOUSE ☐ 100 TO 499	DEPENDENT CHIL  500 TO 999  \$10,000 - \$24,999  ME  DEPENDENT CHIL  500 TO 999	1,000 TO 4,999  \$25,000OR MORE
STOCK HELD OR A NUMBER OF SHAF  IF SOLD  BUSINESS ENTITY  STOCK HELD OR A NUMBER OF SHAF	ACQUIRED BY RES  NET GAIN NET LOSS  ACQUIRED BY RES  NET GAIN NET LOSS	<ul> <li>X FILER</li> <li>X LESS THAN 100</li> <li>D 5,000 TO 9,999</li> <li>D LESS THAN \$5,000</li> <li>ETFS PHYSICAL PALI</li> <li>X FILER</li> <li>X LESS THAN 100</li> <li>D 5,000 TO 9,999</li> </ul>	☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999  LADIUM SHARES ☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999	DEPENDENT CHIL  500 TO 999  \$10,000 - \$24,999  ME  DEPENDENT CHIL  500 TO 999	☐ 1,000 TO 4,999  ☐ \$25,000—OR MORE  ☐ 1,000 TO 4,999
STOCK HELD OR A NUMBER OF SHAF  IF SOLD  BUSINESS ENTITY  STOCK HELD OR A NUMBER OF SHAF	ACQUIRED BY RES  NET GAIN NET LOSS  ACQUIRED BY RES  NET GAIN NET LOSS	<ul> <li>X FILER</li> <li>X LESS THAN 100</li> <li></li></ul>	☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999  LADIUM SHARES ☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999	☐ DEPENDENT CHIL ☐ 500 TO 999  ☐ \$10,000 - \$24,999  ME ☐ DEPENDENT CHIL ☐ 500 TO 999  ☐ \$10,000 - \$24,999	☐ 1,000 TO 4,999  ☐ \$25,000OR MORE  ☐ 1,000 TO 4,999  ☐ 1,000 TO 4,999  ☐ \$25,000OR MORE
STOCK HELD OR A NUMBER OF SHAF  IF SOLD  BUSINESS ENTITY  STOCK HELD OR A NUMBER OF SHAF  IF SOLD  BUSINESS ENTITY	ACQUIRED BY RES NET GAIN NET LOSS ACQUIRED BY RES NET GAIN NET LOSS	<ul> <li>X FILER</li> <li>X LESS THAN 100</li> <li>☐ 5,000 TO 9,999</li> <li>☐ LESS THAN \$5,000</li> <li>ETFS PHYSICAL PALI</li> <li>X FILER</li> <li>X LESS THAN 100</li> <li>☐ 5,000 TO 9,999</li> <li>☐ LESS THAN \$5,000</li> <li>ETFS PHYSICAL PLATE</li> </ul>	☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999  LADIUM SHARES ☐ 100 TO 499 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999  TINUM SHARES	□ DEPENDENT CHIL         □ 500 TO 999         □ \$10,000 - \$24,999         ME         □ DEPENDENT CHIL         □ \$10,000 - \$24,999         ME         □ DEPENDENT CHIL         □ DEPENDENT CHIL         □ 500 TO 999	☐ 1,000 TO 4,999  ☐ \$25,000OR MORE  ☐ 1,000 TO 4,999  ☐ 1,000 TO 4,999  ☐ \$25,000OR MORE
STOCK HELD OR A NUMBER OF SHAF  IF SOLD  BUSINESS ENTITY  STOCK HELD OR A NUMBER OF SHAF  IF SOLD  BUSINESS ENTITY  STOCK HELD OR A	ACQUIRED BY RES NET GAIN NET LOSS ACQUIRED BY RES NET GAIN NET LOSS	☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000  ETFS PHYSICAL PALI ☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000  ETFS PHYSICAL PLATE ☐ ☐ FILER ☐ LESS THAN \$5,000	☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999  LADIUM SHARES ☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999  TINUM SHARES ☐ SPOUSE ☐ 100 TO 499 ☐ 100 TO 499	□ DEPENDENT CHIL         □ 500 TO 999         □ \$10,000 - \$24,999         ME         □ DEPENDENT CHIL         □ \$10,000 - \$24,999         ME         □ DEPENDENT CHIL         □ DEPENDENT CHIL         □ 500 TO 999	☐ 1,000 TO 4,999  ☐ \$25,000OR MORE  ☐ 1,000 TO 4,999  ☐ \$25,000OR MORE

BONDS, NOTES &	OTHER COMMER	CIAL PAPE	R PART 3
☐ NOT APPLICABLE			
1	he category of the amount of		pouse, or a dependent child during the realized from the sale. For more
When reporting information abore providing the number under white			about whom you are reporting by
1 DESCRIPTION OF INSTRUMENT	CALIFORNIA ST VAR PUR	P	
<sup>2</sup> HELD OR ACQUIRED BY			
	☑ FILER	SPOUSE	DEPENDENT CHILD
<sup>3</sup> IF SOLD			
☐ NET GAIN ☐ NET LOSS	☐ LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999 \$25,000OR MORE
DESCRIPTION OF INSTRUMENT	DALLAS TEX INDPT SCH		
HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD
IF SOLD  NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	☐ \$10,000 - \$24,999 ☐ \$25,000OR MORE
DESCRIPTION OF INSTRUMENT	MENLO PK CALIF CITY SC	Н	
HELD OR ACQUIRED BY	☑ FILER	SPOUSE	DEPENDENT CHILD
IF SOLD  NET GAIN NET LOSS	☐ LESS THAN \$5,000	\$5,000 - \$9,999	☐ \$10,000 - \$24,999 ☐ \$25,000OR MORE
cc	PY AND ATTACH ADDITION	ONAL PAGES AS	NECESSARY

BONDS, NOTES &	OTHER COMMER	RCIAL PAPE	R PART 3
☐ NOT APPLICABLE			
	he category of the amount of		spouse, or a dependent child during the srealized from the sale. For more
When reporting information abo providing the number under whi	· · · · · · · · · · · · · · · · · · ·		about whom you are reporting by
DESCRIPTION OF INSTRUMENT	GILROY CALIF UNI SCH		
<sup>2</sup> HELD OR ACQUIRED BY			
	X FILER	SPOUSE	DEPENDENT CHILD
3 IF SOLD			
☐ NET GAIN ☐ NET LOSS	☐ LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999 \$25,000OR MORE
DESCRIPTION OF INSTRUMENT	GOLDEN ST TOB SCRTZN	CRP	
HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD
IF SOLD			
☐ NET GAIN ☐ NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999 \$25,000OR MORE
DESCRIPTION OF INSTRUMENT	HANFORD CALIF JT UN HS	SD	
HELD OR ACQUIRED BY	⊠ FILER	SPOUSE	DEPENDENT CHILD
IF SOLD  NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999 \$25,000OR MORE
co	PY AND ATTACH ADDITION	ONAL PAGES AS	NECESSARY

BONDS, NOTES & OTHER COMMERCIAL PAPER PART 3					
□ NOT APPLICABLE					
List all bonds, notes and other commercial paper held or acquired by you, your spouse, or a dependent child during the calendar year. If sold, indicate the category of the amount of the net gain or loss realized from the sale. For more information, see FORM PFSINSTRUCTION GUIDE					
When reporting information about a dependent child's activity, indicate the child about whom you are reporting by providing the number under which the child is listed on the Cover Sheet.					
1 DESCRIPTION OF INSTRUMENT	LOS ANGELES CALIF DEP	Т			
<sup>2</sup> HELD OR ACQUIRED BY					
	☐ ☐ FILER	SPOUSE	DEPENDENT CHILD		
<sup>3</sup> IF SOLD					
☐ NET GAIN ☐ NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999 \$25,000OR MORE		
DESCRIPTION OF INSTRUMENT	TEXAS ST TPK AT CENT TI	EX			
HELD OR ACQUIRED BY			P (PMANAGE)		
HELD ON AGGINED BY	X FILER	SPOUSE	DEPENDENT CHILD		
IF SOLD					
☐ NET GAIN ☐ NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999 \$25,000OR MORE		
			-		
COPY AND ATTACH ADDITIONAL PAGES AS NECESSARY					

Texas Ethics Commission P.O. Box	t 12070 Austin, Texas 7	78711-2070	(512)463	-5800	1-800-32	5-850
MUTUAL FUNDS					PART	4
☐ NOT APPLICABLE						
List each mutual fund and the number acquired during the calendar year a some or all of the shares of a mutual from the sale. For more information When reporting information about a providing the number under which the	and indicate the category of al fund were sold, also ind n, see FORM PFSINSTF dependent child's activity	of the number of sha icate the category of RUCTION GUIDE r, indicate the child a	res of mutual funds h f the amount of the no	eld or acquet gain or le	uired. If oss realiz	ed
<sup>1</sup> MUTUAL FUND	The Investment Compan	NA y of America	ME			
<sup>2</sup> SHARES OF MUTUAL FUND HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHIL	.D		
3 NUMBER OF SHARES OF MUTUAL FUND	☐ LESS THAN 100	☐ 100 TO 499 ☐ 10,000 OR MORE	☐ 500 TO 999	1,000	ГО 4,999	
4 IF SOLD  NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,00	0OR MOI	RE

COPY AND ATTACH ADDITIONAL PAGES AS NECESSARY

INCOME FROM INT  ☐ NOT APPLICABLE	「EREST, DIVIDE	NDS, ROYAL	TIES & RENTS PART 5	
	d rents during the calendar	year and indicate the	xcess of \$500 that was derived from category of the amount of the income. For	
When reporting information abo providing the number under whi			about whom you are reporting by	
<sup>1</sup> SOURCE OF INCOME	Desmond Bryant	NAME AND AD	DRESS	
<sup>2</sup> RECEIVED BY	☑ FILER	SPOUSE	DEPENDENT CHILD	
<sup>3</sup> AMOUNT	<b>5500 - \$4,999</b>	☒ \$5,000 - \$9,999	\$10,000 - \$24,999 \$25,000OR MORE	
SOURCE OF INCOME		NAME AND AD	DRESS	
	AT&T Inc			
			•	
RECEIVED BY	☑ FILER	SPOUSE	DEPENDENT CHILD	
AMOUNT	☒ \$500 - \$4,999	\$5,000 - \$9,999	\$10,000 - \$24,999 \$25,000OR MORE	
SOURCE OF INCOME		NAME AND AD	DRESS	
	Exxon Mobil			
RECEIVED BY	√ X FILER	SPOUSE	DEPENDENT CHILD	
AMOUNT	፟፟፟፟፟፟፟ \$500 - \$4,999	\$5,000 - \$9,999	\$10,000 - \$24,999 \$25,000OR MORE	
COPY AND ATTACH ADDITIONAL PAGES AS NECESSARY				

INCOME FROM INT	TEREST, DIVIDEN	IDS, ROYAL	TIES & RENTS PART 5		
	d rents during the calendar ye		xcess of \$500 that was derived from category of the amount of the income. For		
When reporting information abo providing the number under whi			about whom you are reporting by		
<sup>1</sup> SOURCE OF INCOME	Windstream Corp	NAME AND AD	DDRESS		
<sup>2</sup> RECEIVED BY	⊠ FILER	SPOUSE	DEPENDENT CHILD		
<sup>3</sup> AMOUNT	☒ \$500 - \$4,999	<b>\$5,000 - \$9,999</b>	☐ \$10,000 - \$24,999 ☐ \$25,000OR MORE		
SOURCE OF INCOME	Menlo PK CA City Sch	NAME AND AD	DRESS		
i	Melio PR CA City Scil				
RECEIVED BY	X FILER	SPOUSE	DEPENDENT CHILD		
AMOUNT	区 \$500 - \$4,999	\$5,000 - \$9,999	☐ \$10,000 - \$24,999 ☐ \$25,000OR MORE		
SOURCE OF INCOME		NAME AND AD	DRESS		
·	Hanford Calif JT UN HSD				
RECEIVED BY	☑ FILER	SPOUSE	DEPENDENT CHILD		
AMOUNT	☒ \$500 - \$4,999	<b>\$5,000 - \$9,999</b>	\$10,000 - \$24,999 \$25,000-OR MORE		
COPY AND ATTACH ADDITIONAL PAGES AS NECESSARY					

INCOME FROM INT	TEREST, DIVIDE	NDS, ROYAL	TIES & RENTS PART 5	
☐ NOT APPLICABLE				
	rents during the calendar	year and indicate the	xcess of \$500 that was derived from category of the amount of the income. For	
When reporting information abo providing the number under whi			about whom you are reporting by	
<sup>1</sup> SOURCE OF INCOME	NAME AND ADDRESS  Los Angeles Calif Dept Airport			
<sup>2</sup> RECEIVED BY	☑ FILER	SPOUSE	DEPENDENT CHILD	
<sup>3</sup> AMOUNT	☒ \$500 - \$4,999	<b>55,000 - \$9,999</b>	\$10,000 - \$24,999 \$25,000OR MORE	
SOURCE OF INCOME		NAME AND AD	DDRESS	
	Golden ST TOB SCRTZN	CRP		
RECEIVED BY	☑ FILER	SPOUSE	DEPENDENT CHILD	
AMOUNT	☒ \$500 - \$4,999	\$5,000 - \$9,999	☐ \$10,000 - \$24,999 ☐ \$25,000OR MORE	
SOURCE OF INCOME		NAME AND AD	DRESS	
	Dallas Tex Indpt Sch			
RECEIVED BY	X FILER	SPOUSE	DEPENDENT CHILD	
AMOUNT	☒ \$500 - \$4,999	<b>\$5,000 - \$9,999</b>	☐ \$10,000 - \$24,999 ☐ \$25,000OR MORE	
COPY AND ATTACH ADDITIONAL PAGES AS NECESSARY				

PERSONAL NOTES AND LEASE AGREEMENTS PART 6						
☐ NOT APPLICABLE	□ NOT APPLICABLE					
Identify each guarantor of a loan and each person or financial institution to whom you, your spouse, or a dependent child had a total financial liability of more than \$1,000 in the form of a personal note or notes or lease agreement at any time during the calendar year and indicate the category of the amount of the liability. For more information, see FORM PFSINSTRUCTION GUIDE  When reporting information about a dependent child's activity, indicate the child about whom you are reporting by providing the number under which the child is listed on the Cover Sheet.						
1 PERSON OR INSTITUTION HOLDING NOTE OR LEASE AGREEMENT	Dallas National Bank (Note)					
<sup>2</sup> LIABILITY OF	☑ FILER	SPOUSE	DEPENDENT CHILD			
<sup>3</sup> GUARANTOR						
<sup>4</sup> AMOUNT	<b>1,000 - \$4,999</b>	\$5,000 - \$9,999	☐ \$10,000 - \$24,999 🔀 \$25,000OR MORE			
PERSON OR INSTITUTION HOLDING NOTE OR LEASE AGREEMENT	U. S. Bank (Vehicle)					
LIABILITY OF	X FILER	SPOUSE	DEPENDENT CHILD			
GUARANTOR						
AMOUNT	<b>\$1,000 - \$4,999</b>	\$5,000 - \$9,999	☐ \$10,000 - \$24,999 🗵 \$25,000OR MORE			
PERSON OR INSTITUTION HOLDING NOTE OR LEASE AGREEMENT	South Dallas Developme	ent Fund				
LIABILITY OF	X FILER	SPOUSE	DEPENDENT CHILD			
GUARANTOR						
AMOUNT	<b>\$1,000 - \$4,999</b>	\$5,000 - \$9,999	\$10,000 - \$24,999  \$25,000OR MORE			
COPY AND ATTACH ADDITIONAL PAGES AS NECESSARY						

	ox 12070 Austin, Texas		(512)463-	_
PERSONAL NOTES	AND LEASE A	<b>GREEMENTS</b>	3	PART 6
☐ NOT APPLICABLE				
Identify each guarantor of a loan a a dependent child had a total finan agreement at any time during the ction, see FORM PFS-INSTRUCTI When reporting information about a providing the number under which	icial liability of more than calendar year and indicat ON GUIDE a dependent child's activi	\$1,000 in the form of e the category of the ty, indicate the child a	a personal note or not amount of the liability.	For more informa-
PERSON OR INSTITUTION HOLDING NOTE OR LEASE AGREEMENT	Bank of America			
<sup>2</sup> LIABILITY OF	☑ FILER	SPOUSE	DEPENDENT CHIL	D
<sup>3</sup> GUARANTOR				
<sup>4</sup> AMOUNT	\$1,000 - \$4,999	\$5,000 - \$9,999	<b>\$10,000 - \$24,999</b>	X \$25,000OR MORE

INTERESTS IN REA	L PROPERTY			PART <b>7A</b>
☐ NOT APPLICABLE				
Describe all beneficial interests in calendar year. If the interest was For an explanation of 'beneficial ir INSTRUCTION GUIDE.	sold, also indicate the ca	ategory of the amou	nt of the net gain or loss	s realized from the sale.
When reporting information about providing the number under which			ld about whom you are	reporting by
<sup>1</sup> HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CH	ILD
2 STREET ADDRESS  NOT AVAILABLE CHECK IF FILER'S HOME ADDRESS	7318 Oakmore Dallas, TX 75249-1307		DING CITY, COUNTY AND STATE	
<sup>3</sup> DESCRIPTION		BER OF LOTS OR ACRES AND	NAME OF COUNTY WHERE LOCA	ATED
☑ LOTS ☐ ACRES	1 lot Dallas County			
4 NAMES OF PERSONS RETAINING AN INTEREST  NOT APPLICABLE (SEVERED MINERAL INTEREST)				
5 IF SOLD  NET GAIN NET LOSS	LESS THAN \$5,000	<b>\$5,000 - \$9,999</b>	<b>\$10,000 - \$24,999</b>	☐ \$25,000OR MORE
HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHI	ILD
STREET ADDRESS  NOT AVAILABLE CHECK IF FILER'S HOME ADDRESS	320 South R. L. Thornto formerly 511 Eds Dallas, TX 75203-1804	on Freeway	DING CITY, COUNTY AND STATE	
DESCRIPTION		ER OF LOTS OR ACRES AND	NAME OF COUNTY WHERE LOCA	ATED
X LOTS ☐ ACRES	1 lot Dallas County			
NAMES OF PERSONS RETAINING AN INTEREST  NOT APPLICABLE (SEVERED MINERAL INTEREST)				
IF SOLD  NET GAIN NET LOSS	LESS THAN \$5,000	<b>5</b> ,000 - \$9,999	<b>\$10,000 - \$24,999</b>	☐ \$25,000-OR MORE
COP	Y AND ATTACH ADDI	TIONAL PAGES A	AS NECESSARY	

INTERESTS IN REA	L PROPERTY			PART <b>7A</b>		
☐ NOT APPLICABLE						
calendar year. If the interest was						
When reporting information about providing the number under which			ld about whom you are	reporting by		
<sup>1</sup> HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CH	IILD		
2 STREET ADDRESS  NOT AVAILABLE CHECK IF FILER'S HOME ADDRESS		STREET ADDRESS, INCLUD	DING CITY, COUNTY AND STATE			
3 DESCRIPTION  ☑ LOTS ☐ ACRES	иим 1 lot Dallas County	BER OF LOTS OR ACRES AND	) NAME OF COUNTY WHERE LOCA	ATED		
4 NAMES OF PERSONS RETAINING AN INTEREST  NOT APPLICABLE (SEVERED MINERAL INTEREST)						
5 IF SOLD  NET GAIN NET LOSS	LESS THAN \$5,000	<b>55,000 - \$9,999</b>	<b>\$10,000 - \$24,999</b>	☐ \$25,000OR MORE		
HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHI	ILD		
STREET ADDRESS  NOT AVAILABLE CHECK IF FILER'S HOME ADDRESS	1537 Pleasant Run DeSoto, TX 75115	STREET ADDRESS, INCLUDI	OING CITY, COUNTY AND STATE			
DESCRIPTION  LOTS  ACRES	NUME 5 acres Dallas County	BER OF LOTS OR ACRES AND	NAME OF COUNTY WHERE LOCA	ATED		
NAMES OF PERSONS RETAINING AN INTEREST  NOT APPLICABLE (SEVERED MINERAL INTEREST)						
IF SOLD  NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	☐ \$25,000OR MORE		
COP	Y AND ATTACH ADD	ITIONAL PAGES A	AS NECESSARV			

Texas	<b>Fthics</b>	Commission

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INTERESTS IN REAL	L PROPERTY			PART <b>7A</b>
☐ NOT APPLICABLE				
Describe all beneficial interests in calendar year. If the interest was For an explanation of 'beneficial in INSTRUCTION GUIDE.	sold, also indicate the	category of the amour	nt of the net gain or loss i	realized from the sale.
When reporting information about providing the number under which	a dependent child's ac the child is listed on th	tivity, indicate the chil	d about whom you are re	porting by
<sup>1</sup> HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILI	D
2 STREET ADDRESS  NOT AVAILABLE CHECK IF FILER'S HOME ADDRESS	1212 Regents Park DeSoto, TX 75115-26		OING CITY, COUNTY AND STATE	
3 DESCRIPTION  \[ \bigsize Lots  \int Acres	NUM 1 lot Dallas County	MBER OF LOTS OR ACRES AND	NAME OF COUNTY WHERE LOCATE	:D
4 NAMES OF PERSONS RETAINING AN INTEREST  NOT APPLICABLE (SEVERED MINERAL INTEREST)				
5 IF SOLD  NET GAIN NET LOSS	LESS THAN \$5,000	<b>55,000 - \$9,999</b>	<b>\$10,000 - \$24,999</b>	☐ \$25,000OR MORE
COP	Y AND ATTACH ADD	NITIONAL BACES A	e Necessary	

INTERESTS IN BUS	SINESS ENTITIES		PART 7B		
☐ NOT APPLICABLE					
Describe all beneficial interests in business entities held or acquired by you, your spouse, or a dependent child during the calendar year. If the interest was sold, also indicate the category of the amount of the net gain or loss realized from the sale. For an explanation of 'beneficial interest' and other specific directions for completing this section, see FORM PFSINSTRUCTION GUIDE					
When reporting information abo providing the number under whi			about whom you are reporting by		
<sup>1</sup> HELD OR ACQUIRED BY		SPOUSE	DEPENDENT CHILD		
<sup>2</sup> DESCRIPTION		NAME AND (check if Filer's	ADDRESS s Home Address)		
	Reach Media, Inc				
	13760 Noel Rd Dallas, TX 75240-7336				
3 IF SOLD  NET GAIN  NET LOSS	☐ LESS THAN \$5,000	<b>\$5,000 - \$9,999</b>	☐ \$10,000 - \$24,999 ☐ \$25,000OR MORE		
HELD OR ACQUIRED BY		SPOUSE	DEPENDENT CHILD		
DESCRIPTION	NAME AND ADDRESS  (check if Filer's Home Address)				
	Skyview Development LLC				
	320 South R. L. Thornton Fr Dallas, TX 75203-1804	eeway			
IF SOLD  NET GAIN  NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	☐ \$10,000 - \$24,999 ☐ \$25,000OR MORE		
HELD OR ACQUIRED BY	☑ FILER	SPOUSE	DEPENDENT CHILD		
DESCRIPTION		NAME AND (check if Filer's	ADDRESS Home Address)		
	West & Associates LLP				
	320 South R. L. Thornton Fro Suite 300 Dallas, TX 75203-1804	eeway			
IF SOLD ☐ NET GAIN ☐ NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	☐ \$10,000 - \$24,999 ☐ \$25,000OR MORE		
COPY AND ATTACH ADDITIONAL PAGES AS NECESSARY					

Texas Ethics Commission P.O.	Box 12070 A	ustin, Texas 7	8711-2070	(512)463	-5800 1-800-325-850
INTERESTS IN BUS	SINESS EN	ITITIES			PART <b>7B</b>
☐ NOT APPLICABLE				•	
Describe all beneficial interests calendar year. If the interest wa For an explanation of 'beneficial INSTRUCTION GUIDE	s sold, also indica	ate the cated	gory of the amount	of the net gain or loss	realized from the sale.
When reporting information aborproviding the number under which	•			about whom you are r	eporting by
<sup>1</sup> HELD OR ACQUIRED BY			SPOUSE	DEPENDENT CHIL	.D
2 DESCRIPTION  NAME AND ADDRESS  (check if Filer's Home Address)					
	Royce West & A	Associates P.	.C.		
	320 South R. L. Suite 300 Dallas, TX 7520		eeway		
<sup>3</sup> IF SOLD  ☐ NET GAIN ☐ NET LOSS	☐ LESS T	'HAN \$5,000	\$5,000 - \$9,999	<b>\$10,000 - \$24,999</b>	☐ \$25,000OR MORE
		•			
			,		

## COPY AND ATTACH ADDITIONAL PAGES AS NECESSARY

ASSETS OF B	<b>USINESS ASSOCIAT</b>	IONS		PART <b>11A</b>	
☐ NOT APPLICABLE	Ē				
corporation, professiona dent child held, acquired of the assets. For more When reporting informat	ach coporation, firm, partnership, lind association, joint venture, or other d, or sold 50 percent or more of the information, see FORM PFSINST tion about a dependent child's activater which the child is listed on the	r business associ outstanding own FRUCTION GUID ity, indicate the c	ation in which you, your spous ership and indicate the catego E.	se, or a depen- ry of the amount	
<sup>1</sup> BUSINESS ASSOCIATION	West & Associates LLP	AND ADDRESS	(Check if Filer's Home Address)		
	320 South R. L. Thornton Freeway Suite 300 Dallas, TX 75203-1804				
<sup>2</sup> BUSINESS TYPE	Limited Liability Partnership				
<sup>3</sup> HELD, ACQUIRED, OR SOLD BY	X FILER	SPOUSE	DEPENDENT CHILD	<del></del>	
<sup>4</sup> ASSETS	DESCRIPTION Property/Equipment		CATEC		
	Office Furniture		X LESS THAN \$5,000     X \$10,000 - \$24,999	□ \$5,000 - \$9,999 □ \$25,000-OR MORE	
	Computer Equipment		│ │	\$5,000 - \$9,999 \$25,000OR MORE	
CORY AND ATTACH ADDITIONAL PAGES AS NECESSARY					

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ASSETS OF B	USINESS ASSOCIATION	ONS		PART <b>11A</b>
☐ NOT APPLICABLE	Ē			
corporation, professional dent child held, acquired of the assets. For more When reporting informations	ach coporation, firm, partnership, limi al association, joint venture, or other l d, or sold 50 percent or more of the o information, see FORM PFSINSTF tion about a dependent child's activity nder which the child is listed on the C	ousiness association utstanding ownersh RUCTION GUIDE. y, indicate the child	n in which you, your spo ip and indicate the cateo	use, or a depen- gory of the amount
1 BUSINESS ASSOCIATION	NAME A Royce West & Associates P.C.	ND ADDRESS	(Check if Filer's Home Address	)
	320 South R. L. Thornton Freeway Suite 300 Dallas, TX 75203-1804			
<sup>2</sup> BUSINESS TYPE	Professional Corporation			
<sup>3</sup> HELD, ACQUIRED, OR SOLD BY	☐ FILER	SPOUSE	DEPENDENT CHILD	
4 ASSETS	DESCRIPTION No Assets		CAT	EGORY
			X LESS THAN \$5,000  ↑ \$10,000 - \$24,999	\$5,000 - \$9,999 \$25,000OR MORE
				• • • • • • • • • • • • • • • • • • • •
	<i>,</i>			
COPY AND ATTACH ADDITIONAL PAGES AS NECESSARY				

Texas Ethics Comm	issior
ASSETS	OF

ASSETS OF B	USINESS ASSOCIA	TIONS		PART 11 <b>A</b>
☐ NOT APPLICABLE	Ē			
corporation, professional dent child held, acquired of the assets. For more When reporting informat	ach coporation, firm, partnership, al association, joint venture, or oth d, or sold 50 percent or more of the information, see FORM PFSIN tion about a dependent child's acted which the child is listed on the	ner business associatine outstanding owners STRUCTION GUIDE. tivity, indicate the chil	ion in which you, your spo ship and indicate the cate	use, or a depen- gory of the amount
1 BUSINESS ASSOCIATION	NAI Skyview Development LLC	ME AND ADDRESS	(Check if Filer's Home Address	)
, neede with ent	320 South R. L. Thornton Freewa Dallas, TX 75203-1804	ау		
<sup>2</sup> BUSINESS TYPE	Real Estate Development: Limite	ed Liability Company		
<sup>3</sup> HELD, ACQUIRED, OR SOLD BY		SPOUSE	DEPENDENT CHILD	
<sup>4</sup> ASSETS	DESCRIPTIO Building	N	CAT	EGORY
			LESS THAN \$5,000	\$5,000 - \$9,999 \$25,000OR MORE
	COPY AND ATTACH ADD	DITIONAL PAGES A	S NECESSARY	

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LIABILITIES O	F BUSINESS ASS	SOCIATIONS		PART 11B
☐ NOT APPLICABLE	€			
corporation, professiona dent child held, acquired of the liabilities. For mo When reporting informat	each coporation, firm, partne al association, joint venture, or d, or sold 50 percent or more ore information, see FORM PF tion about a dependent child's ander which the child is listed o	or other business associ of the outstanding own FSINSTRUCTION GU s activity, indicate the cl	iation in which you, your spor ership and indicate the categ IIDE.	use, or a depen- gory of the amount
<sup>1</sup> BUSINESS ASSOCIATION	West & Associates LLP	NAME AND ADDRESS	(Check if Filer's Home Address)	
	320 South R. L. Thornton Fre Suite 300 Dallas, TX 75203-1804	eway		
<sup>2</sup> BUSINESS TYPE	Limited Liability Partnership			
<sup>3</sup> HELD, ACQUIRED, OR SOLD BY		SPOUSE	DEPENDENT CHILD	
<sup>4</sup> LIABILITIES	DESCRIF Client Trust Account	PTION	1	EGORY
			LESS THAN \$5,000	\$5,000 - \$9,999
	<b> </b>		\$10,000 - \$24,999	X \$25,000OR MORE
	COPY AND ATTACH A	ADDITIONAL PAGES	AS NECESSARY	

LIABILITIES C	F BUSINESS ASSOC	IATIONS		PART 11B
☐ NOT APPLICABLI	E			
corporation, professional dent child held, acquired of the liabilities. For mo When reporting informa	f each coporation, firm, partnership, I al association, joint venture, or other d, or sold 50 percent or more of the o pre information, see FORM PFSINS tion about a dependent child's activit nder which the child is listed on the C	business associa outstanding owne STRUCTION GUI by, indicate the ch	ation in which you, your spo ership and indicate the cate DE.	use, or a depen- gory of the amount
1 BUSINESS ASSOCIATION	NAME A Skyview Development LLC 320 South R. L. Thornton Freeway Dallas, TX 75203-1804	AND ADDRESS	(Check if Filer's Home Address	)
<sup>2</sup> BUSINESS TYPE	Limited Liability Company			
<sup>3</sup> HELD, ACQUIRED, OR SOLD BY		SPOUSE	DEPENDENT CHILD	)
4 LIABILITIES	DESCRIPTION Debt		CAT         LESS THAN \$5,000         \$10,000 - \$24,999	
	Tenant Deposits		 	
				•
	COPY AND ATTACH ADDIT	ONAL PAGES	AS NECESSARY	

BOARDS AND EXE	ECUTIVE POSITION	DNS		PART 12
☐ NOT APPLICABLE				
List all boards of directors of whyour spouse, or a dependent che ships, professional corporations stating the name of the organiz	nild hold in corporations, firms s, professional associations, j	s, partnerships, limi joint ventures, othe	ted partnerships, limited liability r business associations, or prop	partner- prietorships,
When reporting information about providing the number under wh			about whom you are reporting	by
<sup>1</sup> ORGANIZATION	Tom Joyner Foundation, Inc			
<sup>2</sup> POSTITION HELD	Secretary			
<sup>3</sup> POSITION HELD BY		SPOUSE	DEPENDENT CHILD	-
ORGANIZATION	Skyview Development LLC			
POSTITION HELD	President			
POSITION HELD BY	X FILER	SPOUSE	DEPENDENT CHILD	
ORGANIZATION	Reach Media, Inc			
POSTITION HELD	Secretary			
POSITION HELD BY	X FILER	SPOUSE	DEPENDENT CHILD	
ORGANIZATION	West & Associates LLP			
POSTITION HELD	Managing Partner			
POSITION HELD BY	☑ FILER	SPOUSE	DEPENDENT CHILD	
ORGANIZATION	Royce West & Associates P.0	C.		
POSTITION HELD	President			
POSITION HELD BY	⊠ FILER	SPOUSE	DEPENDENT CHILD	
COPY AND ATTACH ADDITIONAL PAGES AS NECESSARY				

Tovoo	Ethion	Commission
Lexas	EUTICS	Commission

Austin, Texas 78711-2070

(512)463-5800

BOARDS AND EX	ECUTIVE POSI	TIONS		PART 12
☐ NOT APPLICABLE				
your spouse, or a dependent c ships, professional corporation	hild hold in corporations, s, professional association	firms, partnerships, limons, joint ventures, other	a member and all executive position in a member and all executive positions partnerships, limited liability per business associations, or proprion, see FORM PFSINSTRUCTION.	etorships,
When reporting information about providing the number under wh	out a dependent child's a nich the child is listed on t	ctivity, indicate the child the Cover Sheet.	d about whom you are reporting b	<b>y</b>
<sup>1</sup> ORGANIZATION	Circle Ten Council of Bo	by Scouts of America		
<sup>2</sup> POSTITION HELD	Vice President Scout Re	each		-
<sup>3</sup> POSITION HELD BY	X FILER	SPOUSE	DEPENDENT CHILD	
ORGANIZATION	Black America Web Reli	ief Fund		
POSTITION HELD	Secretary			
POSITION HELD BY	X FILER	SPOUSE	DEPENDENT CHILD	
ORGANIZATION	Education is Freedom			
POSTITION HELD	Board Member			
POSITION HELD BY	X FILER	SPOUSE	DEPENDENT CHILD	-
	OPY AND ATTACH AD	DITIONAL DACES A	e NECESSARV	

Texas	Fithics	Commission

P.O. Box 12070 Austin, Texas 78711-2070

(512)463-5800

EXPENSES ACCE	PTED UNDER HONORARIUM EXCEPTION PART 13
☐ NOT APPLICABLE	
of the Penal Code, in connection audience or participating in a set transportation, meals, or lodging on a campaign finance report, or	ed you with necessary transportation, meals, or lodging, as permitted under section 36.07(b) in with a conference or similar event in which you rendered services, such as addressing an eminar, that were more than perfunctory. Also provide the amount of the expenditures on g. You are not required to include items you have already reported as political contributions or expenditures required to be reported by a lobbyist under the lobby law (chapter 305 of the information, see FORM PFSINSTRUCTION GUIDE
<sup>1</sup> PROVIDER	NAME AND ADDRESS
	Advance Research Inc.
	9901 IH-10 West Suite 700 San Antonio, TX 78230
<sup>2</sup> AMOUNT	\$364.39
PROVIDER	NAME AND ADDRESS
TROVIDER	Missouri City Juneteenth Celebration Foundation
	P O Box 7007 Missosuri City, TX 77489
AMOUNT	\$199.44
PROVIDER	NAME AND ADDRESS
	Hispanic Contractors Association de San Antonio
	P O Box 33425 San Antonio, TX 78265
AMOUNT	\$103.91
	NOVANDATTACH ADDITIONAL TAGES AS A STORE OF THE STORE OF
CC	OPY AND ATTACH ADDITIONAL PAGES AS NECESSARY

	SERVICES RENDERED PART 15  OBBYIST'S EMPLOYER		
chapter 305 of the Government Code, sates or reimburses a person required	Report any fee you received for providing services to or on behalf of a person required to be registered as a lobbyist under chapter 305 of the Government Code, or for providing services to or on behalf of a person you actually know directly compensates or reimburses a person required to be registered as a lobbyist. Report the name of each person or entity for which the services were provided, and indicate the category of the amount of each fee. For more information, see FORM PFS		
<sup>1</sup> PERSON OR ENTITY FOR WHOM SERVICES WERE PROVIDED	Texas Instruments		
<sup>2</sup> FEE CATEGORY	☐ LESS THAN \$5,000 ☐ \$5,000 - \$9,999 ☐ \$10,000 - \$24,999 ☒ \$25,000OR MORE		
PERSON OR ENTITY FOR WHOM SERVICES WERE PROVIDED	Countrywide Financial Corporation		
FEE CATEGORY	☐ LESS THAN \$5,000 ☐ \$5,000 - \$9,999 ☐ \$10,000 - \$24,999 ☒ \$25,000OR MORE		
PERSON OR ENTITY FOR WHOM SERVICES WERE PROVIDED	Linebarger, Goggan Blair & Sampson		
FEE CATEGORY	☐ LESS THAN \$5,000 ☐ \$5,000 - \$9,999 ☐ \$10,000 - \$24,999 ☒ \$25,000OR MORE		
PERSON OR ENTITY FOR WHOM SERVICES WERE PROVIDED	Wells Fargo Bank NA		
FEE CATEGORY	☐ LESS THAN \$5,000 ☐ \$5,000 - \$9,999 ☐ \$10,000 - \$24,999 ☒ \$25,000OR MORE		
PERSON OR ENTITY FOR WHOM SERVICES WERE PROVIDED	Baylor Health Care Systems		
FEE CATEGORY	☐ LESS THAN \$5,000 ☐ \$5,000 - \$9,999 ☐ \$10,000 - \$24,999 ☒ \$25,000OR MORE		
PERSON OR ENTITY FOR WHOM SERVICES WERE PROVIDED	First Southwest Company		
FEE CATEGORY	☐ LESS THAN \$5,000 ☐ \$5,000 - \$9,999 ☐ \$10,000 - \$24,999 ☒ \$25,000OR MORE		
COPY AI	ND ATTACH ADDITIONAL PAGES AS NECESSARY		

	SERVICES RENDERED PART 15  OBBYIST'S EMPLOYER	
chapter 305 of the Government Code, sates or reimburses a person required	ling services to or on behalf of a person required to be registered as a lobbyist under or for providing services to or on behalf of a person you actually know directly compento be registered as a lobbyist. Report the name of each person or entity for which the ne category of the amount of each fee. For more information, see FORM PFS	
<sup>1</sup> PERSON OR ENTITY FOR WHOM SERVICES WERE PROVIDED	Bank of America	
<sup>2</sup> FEE CATEGORY	☐ LESS THAN \$5,000 ☐ \$5,000 - \$9,999 ☐ \$10,000 - \$24,999 ☒ \$25,000OR MORE	
PERSON OR ENTITY FOR WHOM SERVICES WERE PROVIDED	Dallas Independent School District	
FEE CATEGORY	☐ LESS THAN \$5,000 ☐ \$5,000 - \$9,999 ☐ \$10,000 - \$24,999 ☒ \$25,000OR MORE	
PERSON OR ENTITY FOR WHOM SERVICES WERE PROVIDED	Ciitgroup Global Market, Inc	
FEE CATEGORY	☐ LESS THAN \$5,000 ☐ \$5,000 - \$9,999 🗵 \$10,000 - \$24,999 ☐ \$25,000OR MORE	
PERSON OR ENTITY FOR WHOM SERVICES WERE PROVIDED	City of Dallas	
FEE CATEGORY	☐ LESS THAN \$5,000 ☐ \$5,000 - \$9,999 ☐ \$10,000 - \$24,999 ☒ \$25,000OR MORE	
PERSON OR ENTITY FOR WHOM SERVICES WERE PROVIDED	Dallas Area Rapid Transit	
FEE CATEGORY	☐ LESS THAN \$5,000 ☐ \$5,000 - \$9,999 ☐ \$10,000 - \$24,999 ☒ \$25,000—OR MORE	
CORY AL	ND ATTACH ADDITIONAL PAGES AS NECESSARY	

## PERSONAL FINANCIAL STATEMENT AFFIDAVIT

The law requires the personal financial statement to be verfied. The verfication page must have the signature of the individual required to file the personal financial statement, as well as the signature and stamp or seal of office of a notary public or other person authorized by law to administer oaths and affirmations. Without proper verification, the statement is not considered filed.

> I swear, or affirm, under penalty of perjury, that this financial statement covers calendar year ending December 31, 2010, and is true and correct and includes all information required to be reported by me under chapter 572 of the Government Code.



AFFIX NOTARY STAMP / SEAL ABOVE

Sworn to and subscribed before me by to certify which, witness my hand and seal of office